### <u>REVIEW OF PLANNING AND IMPLEMENTATION OF COWIN PLATFORM INTO</u> <u>NATIONAL COVID-19 VACCINATION PROGRAMME</u>

Dissertation Submitted to the Panjab University, Chandigarh for the award of degree of **Executive Masters in Public Administration and Public Policy,** in partial fulfilment of the requirement for the Advanced Professional Programme in Public Administration (2023-24)

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49<sup>th</sup> ADVANCED PROFESSIONAL PROGRAMME IN PUBLIC ADMINISTRATION (2023-24) INDIAN INSTITUTE OF PUBLIC ADMINISTRATION NEW DELHI

### **CERTIFICATE**

I have the pleasure of certifying that Brig Vikram Jeet Singh Varaich, has pursued his research work and prepared the present dissertation titled, "**Review of Planning and Implementation of CoWIN Platform into National Covid-19 Vaccination Programme**", under my guidance and supervision. The same is the result of research done by him/her and to the best of my knowledge; no part of the same has been part of any monograph, dissertation, or book earlier. This is being submitted to the Panjab University, Chandigarh, for the purpose of **Executive Masters in Public Administration and Public Policy** in partial fulfilment of the requirement for the Advanced Professional Programme in Public Administration (APPPA) of Indian Institute of Public Administration (IIPA), New Delhi.

I recommend that the dissertation of Brig Vikram Jeet Singh Varaich, is worthy of consideration for the award of Executive Masters degree of the Panjab University, Chandigarh.

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> **Brig Vikram Jeet Singh Varaich** Participant, 49 APPPA Course

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# **LIST OF ABBREVIATIONS**

Abbreviation	<u>Full Form</u>
CoWIN	COVID-19 Vaccine Intelligence Network
AEFI	Adverse Events Following Immunization
ANOVA	Analysis of Variance
EHRs	Electronic Health Records
ABDM	Advanced Biometric Data Management
NDHM	National Digital Health Mission
OTP	One-Time Password
ICMR	Indian Council of Medical Research
AIIMS	All India Institute of Medical Sciences
CERT-In	Indian Computer Emergency Response Team
MoHFW	Ministry of Health and Family Welfare
DO	Demi Official Letter
SWOT	Strengths, Weaknesses, Opportunities, and Threats
eVIN	Electronic Vaccine Intelligence Network
SAFE-VAC	Safe Vaccination
DIVOC	Digital Infrastructure for Vaccination Open Credentialing
UNDP	United Nations Development Programme
UNICEF	United Nations International Children's Emergency Fund
SOPs	Standard Operating Procedures
NCM	National Expert Group for Vaccine Administration for COVID-19
eID	Electronic Identity

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#### EXECUTIVE SUMMARY

The CoWIN platform emerged as a pivotal tool in India's mass vaccination campaign against COVID-19, facilitating the administration of over 2 billion vaccine doses within record timeframes. Spearheaded by the Empowered Group on Vaccine Administration and jointly overseen by the Ministry of Health and Family Welfare (MoHFW) and the Ministry of Electronics and Information Technology (MeitY), CoWIN served as the technological backbone, enabling the seamless coordination and efficient management of vaccination efforts across the nation.

The COVID-19 pandemic necessitated the rapid development, procurement, and distribution of vaccines on an unprecedented scale. Amidst challenges of speed, coordination, and fairness, CoWIN emerged as a solution to ensure transparency, equity, and efficiency in the vaccination process. The platform addressed critical concerns such as tracking vaccine distribution, enabling citizen agency, and fostering trust through transparency.

CoWIN, or the Covid Vaccine Intelligence Network, was conceptualized as a transparent and inclusive digital information management system to streamline vaccine administration. Developed within a remarkably short timeframe of two weeks, CoWIN was launched alongside India's vaccination program in January 2021. Serving as a single source of truth, CoWIN facilitated real-time tracking of vaccine doses, performance evaluation of vaccination centers, and data-driven policy formulation.

Ensuring inclusivity for India's diverse population, CoWIN provided multiple modes of registration, including online, walk-ins, and assisted registrations. The platform simplified the registration process, minimized data requirements, and accommodated linguistic diversity. CoWIN's digital allotment system mitigated risks of overcrowding and facilitated seamless vaccination scheduling, ensuring equitable access to vaccines for all citizens.

The CoWIN platform is playing a critical role in facilitating the administration and monitoring of COVID-19 vaccinations across India. This study aims to provide valuable insights into the effectiveness and usability of the platform, offering recommendations for improvement to enhance its overall functionality and user experience. As such, understanding user perceptions and satisfaction levels regarding its usability and effectiveness is paramount. This dissertation seeks to delve into various aspects of user experiences, including healthcare workers and the general public, to identify strengths, weaknesses, and opportunities for enhancement within the CoWIN platform.

#### Methodology:

To achieve a comprehensive understanding of user perspectives, a mixed-methods approach was adopted. This involved quantitative analysis of survey data collected from users, supplemented by qualitative insights gleaned from interviews and focus groups. By combining these methodologies, the study aimed to capture both the quantitative metrics and qualitative nuances of user experiences with the CoWIN platform.

#### **Key Findings:**

The analysis revealed several key findings regarding user perceptions and satisfaction levels with the CoWIN platform. Overall, users expressed moderate to high levels of satisfaction, particularly in areas such as ease of use and navigation. However, concerns were raised regarding data security and loss of data, highlighting potential areas for improvement. Notably, variations in perceptions were observed among healthcare workers and the general public, underscoring the importance of tailored solutions for different user groups.

#### **Conclusions:**

Based on the findings, it is evident that continuous monitoring and iterative improvements are essential for optimizing the CoWIN platform's effectiveness and responsiveness. Addressing identified deficiencies and implementing user-centric enhancements are crucial steps towards maintaining user trust and confidence in the platform. By prioritizing user feedback and implementing targeted improvements, the CoWIN platform can better serve its intended purpose of facilitating vaccination administration and monitoring.

#### **Recommendations:**

To enhance the CoWIN platform's functionality and user experience, several recommendations are proposed. These include: Enhancing data security measures to bolster user trust and confidentiality. Improving query/feedback mechanisms to ensure timely and effective responses to user inquiries. Providing additional training and support to standardize user experiences across different attributes. Continuously monitoring user feedback and satisfaction levels to identify emerging issues and implement iterative improvements.

#### **Implications**:

Effective implementation of the recommendations outlined in this dissertation can lead to improved user satisfaction, streamlined vaccination processes, and enhanced public health outcomes. By addressing user concerns and optimizing platform functionality, the CoWIN platform can play a more effective role in supporting successful vaccination initiatives and combating the COVID-19 pandemic effectively.

In conclusion, this dissertation provides valuable insights into user perceptions and satisfaction levels regarding the CoWIN platform, offering actionable recommendations for enhancing its effectiveness and responsiveness. Continued efforts in this direction are essential for supporting successful vaccination initiatives and mitigating the impact of the COVID-19 pandemic.

#### ABSTRACT

In the ever-evolving landscape of COVID-19 management, the CoWIN platform emerges as a pivotal tool, orchestrating vaccination efforts across India. This research report delves into the user experiences with CoWIN, exploring perceptions, challenges, and recommendations for enhancement. Through a blend of quantitative analysis and qualitative insights, we unravel the intricacies of user interactions with the platform. Key findings highlight commendations for CoWIN's intuitive design and navigation, juxtaposed with concerns surrounding data security and loss. Divergent perspectives between healthcare workers and the public shed light on nuanced user experiences. Drawing from these insights, the report proposes actionable recommendations to fortify CoWIN's efficacy, including bolstering data security measures, refining feedback mechanisms, and tailoring training programs. By harnessing user feedback and charting a course for continuous improvement, this research sets the stage for a more resilient and user-centric CoWIN platform, poised to navigate the challenges of tomorrow's public health landscape.

#### **CHAPTER 1: INTRODUCTION**

#### "CoWIN: Where Digital Threads Weave a Tapestry of Health, Hope, and Unity"

In this digital tapestry, every registration, every vaccination, and every certificate issued represents resilience, compassion, and a shared commitment to a healthier future. CoWIN's success story is a testament to human ingenuity and collective strength.

The year 2020 brought about an unprecedented global health crisis with the emergence of the COVID-19 pandemic. In response, nations around the world swiftly launched mass vaccination programs as a beacon of hope in the fight against the virus. The Co-WIN (COVID Vaccine Intelligence Network) system was at the forefront of India's vaccination campaign, a digital platform designed to orchestrate the complex logistics of vaccine distribution, appointment scheduling, and data management. This pivotal development marked a significant advancement in public health management, promising to curb the spread of the virus and lay the groundwork for future healthcare initiatives. However, as with any revolutionary undertaking, COWIN's implementation was accompanied by various challenges and complexities, ranging from technical hurdles to data security and privacy concerns.

The integration and operation of the COWIN within the National COVID-19 vaccination program presented multifaceted technical challenges. These challenges encompassed the system's scalability, data management, user accessibility, interoperability with other healthcare systems, and the reliability of the digital infrastructure. The sheer scale of the vaccination effort, coupled with the urgency of the pandemic, amplified the importance of addressing these technical obstacles effectively. Understanding the nature and scope of these challenges is not only essential for the immediate effectiveness of the system but also for its adaptability in the face of future healthcare crises.

Amid the urgency of the pandemic, the COWIN assumed the responsibility of handling vast volumes of sensitive healthcare data, including the personal health information of millions of individuals. Ensuring the robust security of this data and safeguarding patient privacy emerged as paramount concerns. The research community, policymakers, and the public raised questions about the system's data security protocols, potential vulnerabilities, and compliance with privacy regulations. The need to instil confidence in the system's ability to protect patient data while effectively managing vaccination efforts became a critical aspect of public health management.

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The present research endeavours to provide a comprehensive investigation into the implementation of the COWIN during the National COVID-19 vaccination program. It seeks to delve into these critical problem areas, namely the technical challenges encountered and the intricacies of data security and privacy. By addressing these issues, this study aims to deliver a substantial contribution to public health management not only during pandemics but also in anticipation of future healthcare emergencies. Furthermore, the insights and recommendations generated through this research endeavour are poised to enhance the effectiveness, efficiency, and sustainability of the COWIN. In doing so, they stand to play a pivotal role in shaping the future landscape of public health initiatives in India and beyond, ensuring that healthcare systems remain resilient and adaptive in the face of ever-evolving challenges.

#### **Statement of the Problem**

The implementation of the COWIN during the National COVID-19 vaccination program stands as a significant milestone in the field of public health management. Despite the system's undeniable success, there remains an opportunity to evaluate and leverage its accomplishments for ongoing and future healthcare initiatives. The following key problem areas, in this post-pandemic era, warrant thorough investigation:

Post-Implementation Assessment: The COWIN, having proven its effectiveness during the pandemic, offers an opportunity for a comprehensive post-implementation assessment. This assessment aims to identify strengths and weaknesses, opportunities for optimization, and lessons learned from its deployment.

Long-Term Sustainability and Adaptability: While initially designed for crisis management, the COWIN's potential for use in non-emergency healthcare initiatives and future healthcare emergencies should be explored. This research seeks to evaluate its long-term sustainability and adaptability to evolving healthcare needs.

Data Security and Privacy beyond the Pandemic: Sensitive healthcare data remains a paramount concern even in the absence of a pandemic. Investigating the data security protocols and privacy measures of the COWIN ensures ongoing protection of patient information and maintains public trust in healthcare data systems.

Optimizing Healthcare Technology: The successful implementation of the COWIN offers valuable insights into streamlining healthcare processes and systems. This research aims to identify areas where lessons from COWIN can be applied to enhance overall healthcare technology efficiency.

Despite the COWIN's success and the conclusion of the COVID-19 pandemic, these problem areas offer opportunities for research and improvement. The research seeks to leverage the accomplishments of the COWIN to enhance ongoing healthcare initiatives, ensure long-term sustainability, protect data privacy, optimize technology, and contribute to global healthcare best practices.

#### **Research Objectives**

1. To document technical challenges and lessons learned in terms of scalability, data management, user accessibility, interoperability, and system reliability while integrating the COWIN into the National COVID-19 vaccination program.

2. To evaluate data security and privacy measures in terms of vulnerabilities and risks in the COWIN.

3. To suggest measures to improve accessibility, scalability, data protection, maintain regulatory compliance, and foster public trust in COWIN.

#### **Research Strategy**

1. Research Approach: A mixed-methods research approach was adopted, that combined both quantitative and qualitative research methods. This approach allowed for a comprehensive analysis of technical and operational aspects as well as insights into data security and privacy concerns.

2. Data Collection: Quantitative Data Collection: Surveys were conducted among key stakeholders involved in the National COVID-19 Vaccination Program, including healthcare workers, administrators, and users of the COWIN. Use of standardized questionnaires with Likert scale questions and closed-ended questions to quantify responses was done. Qualitative Data Collection: Interviews with experts/ policymakers who have experience with the COWIN implementation was conducted. Use of open-ended questions to elicit in-depth insights into data security, privacy concerns, and the system's long-term sustainability were done.

3. Sampling: Snowball and convenience sampling technique was adopted to obtain inputs from healthcare professionals, and the public at large. An appropriate sample size based on the research objectives was ensured.

4. Data Analysis: Quantitative Data Analysis: Statistical analysis of the data captured in the survey was done. Descriptive statistics has been done and summarized findings highlighted in the form of tables and charts. Thematic analysis to identify key themes and patterns in the interview has been done.

#### **Research Design**

**Objective 1: Examining Technical Challenges** 

Quantitative Phase: Designing of a structured questionnaire to assess technical challenges has been done. Measurement of scalability, data management, user accessibility, interoperability, and system reliability through Likert scale questions has been done. The survey was administered to stakeholders and analysis of survey data has been done using statistical methods.

Qualitative Phase: Interviews of the Champion of Change, an expert to delve deeper into technical challenges has been conducted. Identification of key themes and issues related to COWIN implementation done. Recommendations based on qualitative findings have been formulated.

**Objective 2: Evaluating Data Security and Privacy Measures** 

Quantitative Phase: Survey questions have been included to gauge perceptions of data security and privacy. Responses collected from stakeholders to assess their concerns and satisfaction. Statistical analysis has been done to identify trends in data security perceptions.

Qualitative Phase: In-depth interview has been done to explore data security and privacy vulnerabilities. Examination of privacy protocols and regulatory done and recommendations for enhancing data security and privacy made.

#### **Rationale or Justification**

The rationale and justification exists for undertaking research on the implementation of the COWIN during the National COVID-19 vaccination program even with the successful implementation of the COWIN and the conclusion of the pandemic, there are several compelling reasons to undertake research on this topic:

Continuous Improvement and Knowledge Sharing: Researching the technical challenges encountered during the COWIN's implementation, even after its success and the end of the pandemic, serves the purpose of continuous improvement. By identifying, documenting, and addressing these challenges, the healthcare sector can continually enhance its technological infrastructure. Furthermore, sharing these findings with the broader healthcare and technology communities can contribute to collective knowledge and the development of best practices for future healthcare technology implementations.

In essence, while the COWIN's success is undeniable, conducting research on the technical challenges faced can help ensure that valuable lessons are learned and applied for the benefit of future healthcare technology projects, both within India and in other parts of the world.

The technical challenges identified in the implementation of the COWIN have real-world consequences. Addressing issues related to system scalability, data management, user accessibility, and interoperability is essential for streamlining vaccination operations. Effective solutions can improve the system's efficiency, reduce bottlenecks, and ensure a smoother vaccination process.

While the pandemic may have ended, it is crucial to conduct a thorough post-pandemic evaluation of the COWIN's implementation. Understanding the strengths and weaknesses of the system in retrospect can provide valuable insights for future public health crises or vaccination programs.

Assessing the long-term sustainability of the COWIN is vital. The system was initially developed for a specific crisis, but its potential for use in ongoing healthcare initiatives or future emergencies should be explored. This research can help determine if the system can be repurposed or adapted for other healthcare needs.

Even after the pandemic, sensitive healthcare data remains a critical concern. Investigating the data security and privacy measures of the COWIN ensures that patient information continues to be protected. Lessons learned in this regard can be applied to future healthcare data systems.

Research identifies areas where the COWIN can be optimized for efficiency and cost-effectiveness. Lessons learned from its successful implementation can be used to streamline other healthcare processes and systems.

India's experience with the COWIN can serve as a model for other countries facing similar healthcare challenges. Research can help document best practices and share them with the global community, contributing to international knowledge and collaboration in healthcare management.

This research can contribute to ongoing healthcare initiatives and serve as a valuable resource for future healthcare emergencies.

Investigating the technical challenges and complexities associated with the COWIN is essential to optimize its performance, which directly impacts the effectiveness of vaccination campaigns.

Identification of technical challenges during implementation of the COWIN will have real-world consequences. Identifying issues related to system scalability, data management, user accessibility, and interoperability will pave way for Effective solutions to improve the system's efficiency, reduce bottlenecks, and contribute to pandemic preparedness by providing insights and actionable recommendations for the development and deployment of similar systems in the future.

Data Security and Privacy: Healthcare data security and patient privacy are non-negotiable aspects of any healthcare system, particularly one handling sensitive information at the scale of the COWIN. Investigating data security protocols and vulnerabilities is essential to prevent data breaches and protect the personal health information of millions. Compliance with privacy regulations is not only a legal requirement but also builds trust among users.

Public Trust and Acceptance: A well-functioning vaccination system not only saves lives but also builds public trust in healthcare authorities. Addressing the identified challenges and ensuring the effectiveness, efficiency, and security of the COWIN can enhance public confidence in vaccination programs, leading to higher vaccine acceptance rates.

Long-Term Sustainability: Beyond the immediate pandemic response, the long-term sustainability of the COWIN is vital. Investigating its adaptability to future healthcare needs and crises ensures that the system remains a valuable asset in the healthcare ecosystem.

In conclusion, research on the COWIN's implementation is justified by its potential to significantly impact public health, optimize technical operations, enhance data security, contribute to pandemic preparedness, build public trust, and ensure the long-term sustainability of healthcare systems. This research is essential in addressing the challenges posed by the COVID-19 pandemic and in preparing for future healthcare emergencies.

#### **Research Questions**

Objective 1: Documenting Technical Challenges and Lessons Learned:

What were the major technical challenges faced during the integration of the COWIN into the National COVID-19 vaccination program, and how did they impact its performance?

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How did the COWIN address issues related to scalability, data management, user accessibility, interoperability, and system reliability during the vaccination program?

How the COWIN handle scalability during the vaccination program, and what were the factors affecting its future scalability?

What data management issues or discrepancies were observed in the COWIN, and how did they affect the accuracy and reliability of vaccination data?

How effectively did the COWIN integrate with other healthcare information systems, and what were the implications of interoperability issues?

What was the overall reliability of the COWIN in terms of system failures, downtimes, and data security breaches during the National COVID-19 vaccination program?

Objective 2: Evaluating Data Security and Privacy Measures:

What data security measures were in place within the COWIN system during the National COVID-19 vaccination program, and how effectively did they safeguard patient information?

What vulnerabilities and risks exist in the COWIN system's data security and privacy measures, particularly concerning the protection of patient information and regulatory compliance?

How satisfied are stakeholders with the current data security and privacy measures in the COWIN, and what concerns or areas for improvement have they identified?

What recommendations can be made to enhance data protection and maintain regulatory compliance within the COWIN, and how can these recommendations foster public trust in healthcare data systems?

Are there specific lessons from the COWIN's data security and privacy practices that can be applied to improve overall healthcare technology efficiency and security?

How can the experience of implementing COWIN in India serve as a model for global best practices in healthcare technology implementation, particularly in the context of data security and privacy?

**Objective 3: Suggesting Measures for Improvement** 

What measures can be suggested to enhance the accessibility of the COWIN system, particularly for individuals with diverse needs and in different healthcare settings?

How can the COWIN system be optimized for scalability to meet the demands of future healthcare initiatives, including non-emergency scenarios?

What regulatory and technical measures should be recommended to improve data protection and privacy in the COWIN system, both during and beyond the pandemic?

In what ways can the lessons learned from the COWIN system's successful implementation be applied to enhance the efficiency of healthcare technology in other areas of public health management?

How can India's experience with the COWIN system serve as a model for global best practices in healthcare technology implementation, and what recommendations can be made to share this knowledge with the international healthcare and technology communities?

#### **Limitations**

Some limitations of this research on the implementation of the COWIN during the National COVID-19 Vaccination Program:

1. Data Availability: The availability of data, especially historical data and access to sensitive information within the COWIN, may be restricted, which could limit the depth of analysis.

2. Sample Size and Representativeness: The survey and interview participants may not fully represent all stakeholders involved in the COWIN, potentially introducing bias into the findings. Obtaining a large and diverse sample might be challenging due to time and resource constraints.

3. Response Bias: Survey respondents and interviewees may provide answers that they believe are socially desirable or that align with organizational interests, potentially leading to response bias.

4. Temporal Constraints: The research may be limited by the specific time frame during which data is collected, which might not capture the long-term effects of the COWIN's implementation.

5. Generalizability: Findings and recommendations may not be directly applicable to other healthcare systems or regions with different contexts, infrastructure, or healthcare practices.

6. Ethical and Privacy Concerns: Ethical considerations and privacy constraints may limit access to certain types of data or influence the willingness of participants to share information openly.

7. Subjective Nature of Qualitative Data: Qualitative data obtained through interviews and focus groups are subject to interpretation, which can introduce subjectivity into the analysis.

8. Changing Technological Landscape: Rapid advancements in technology may render some recommendations or findings outdated in a relatively short period, particularly in the fast-evolving field of healthcare technology.

9. Bias in Document Analysis: The interpretation of official reports and documentation may be influenced by the perspective of the document creators or the availability of comprehensive records.

10. COVID-19 Context Dependency: The research is centred on the context of the COVID-19 pandemic, and findings may not fully translate to non-pandemic healthcare scenarios.

11. Resource and Time Constraints: Limited resources and time may restrict the depth and breadth of data collection and analysis, potentially leading to incomplete insights.

12. External Factors: External factors, such as changes in government policies or technological advancements, could impact the relevance and applicability of the research findings.

13. Language and Cultural Considerations: Language barriers and cultural nuances in data collection and interpretation may affect the comprehensiveness of the study.

#### **Research Methods**

Survey Questionnaires: Structured survey questionnaires have been utilized to gather quantitative data on technical challenges, scalability, data management, user accessibility, interoperability, and system reliability. The surveys were administered to a representative sample of stakeholders involved in the COWIN, including healthcare workers, administrators, and the public.

Semi-Structured Interviews: In-depth semi-structured interviews has been conducted with, the Champion of Change. Open-ended questions were be used to explore nuances, gain insights, and understand perspectives related to system implementation, data security, and privacy.

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Quantitative Data Analysis: Statistical analysis has been done of the data collected from survey. Descriptive statistics, correlation analysis, and regression analysis has been done to address specific research questions related to technical challenges and system performance.

Qualitative Data Analysis: Thematic analysis has been done to analyze qualitative data from interview. Organising and categorizing of key themes and patterns related to data security, privacy, and system adaptability have been done.

#### **Data Sources**

Surveys: Collection of quantitative data through surveys administered to various stakeholders involved in the National COVID-19 Vaccination Program has been done. These were conducted online and in person.

Interviews: The gathering of qualitative insights through one-on-one interviews with the Champion of Change , an expert and policymaker involved in the COWIN implementation has been done.

Secondary Data: Secondary data sources, such as academic research papers, articles, and case studies that provide insights into healthcare technology implementation, data security, and privacy in similar contexts have been used.

Expert Opinions: Input from experts in the fields of healthcare technology, data security, and public health who can provide valuable insights and perspectives have been included.

#### **Chapterisation Scheme**

The chapterisation scheme for the research on the "Implementation of Cowin During National Covid-19 Vaccination Programme: A Critical Review":

#### Chapter 1: Introduction

In Chapter 1, the report sets the stage by outlining the problem statement, research objectives, and strategy. It delves into the rationale behind the research, posing key research questions while acknowledging any limitations. The chapter details the research design and methods employed to address the objectives effectively.

Chapter 2: Literature Review

Chapter 2 offers a comprehensive review of existing literature on the deployment of digital health tools in Low- and Middle-Income Countries (LMICs) during the COVID-19 pandemic. Drawing from studies such as that by Caitlyn Mason et al. (2022), it explores factors influencing the development and deployment of such tools, aiming to provide insights aligned with public health objectives, including the Sustainable Development Goals (SDGs).

Chapter 3: Introduction to CoWIN Program

This chapter provides context by discussing the background of the COVID-19 outbreak in India and the subsequent deployment of the CoWIN platform. It offers insights into the development process and components of the CoWIN system, operational guidelines, and phases of implementation.

Chapter 4: Program Implementation and Stakeholder Engagement

Chapter 4 focuses on the implementation of the CoWIN program and stakeholder engagement strategies. It examines the utilization of the Co-WIN platform, partnerships, governance mechanisms, capacity strengthening efforts, and scaling strategies. Additionally, it identifies key stakeholders and assesses the impact areas while highlighting global acceptance and recognition.

Chapter 5: Challenges, Successes, and Future Outlook

This chapter evaluates the enabling environment preceding COVID-19, outlines key implementation challenges faced, and presents solutions and mitigation strategies. It discusses remaining challenges and proposes a roadmap for the future, outlining the way forward for the CoWIN program.

Chapter 6: Data Security and Privacy

Chapter 6 delves into the critical aspects of data security and privacy concerning the CoWIN program. It assesses current security measures and challenges, presents case studies and recent data breaches, and explores user perspectives. The chapter concludes with recommendations for enhancing data security, collaboration opportunities, and future directions.

#### Chapter 7: Field Survey of Stakeholder Perspectives

Chapter 7 delves into the perspectives and recommendations gathered from two primary stakeholders: healthcare workers and the general public. Through tailored questionnaires, the chapter aims to capture insights into their experiences with and expectations from the CoWIN platform, acknowledging the inherent variations in their usage and participation.

Health Workers' Perspectives: Questionnaire Design and Methodology. Public Perspectives: Questionnaire Design and Methodology. Key Insights and Analysis. Inputs from Health Workers. Public Feedback and Recommendations.

Chapter 8: Findings, Recommendations, and Conclusion

Chapter 8 presents the findings of the research, along with recommendations and a conclusion drawn from the analysis. Program Implementation and Stakeholder Engagement, Recommendations Findings and Recommendations from the Survey of Stakeholders (Healthcare Workers and Public).Survey of Healthcare Workers. Survey of the Public. Conclusion: Navigating the Digital Healthcare Landscape

#### **CHAPTER 2: LITERATURE REVIEW**

As dawn broke on the battle against COVID-19, a digital symphony emerged-a harmonious blend of technology, strategy, and human resilience. At its heart stood COWIN, an ingenious platform designed to orchestrate the vaccination dance across the vast expanse of India.

As scholars, we don our lenses and peer into the intricate machinery of COWIN. Our quest? To unravel the nuances of planning and implementation. How did this digital maestro leap from concept to reality? What challenges did it encounter? And how did it waltz through the labyrinth of a nation's vaccination aspirations?

Our compass points to the literature—a treasure trove of insights, critiques, and revelations. We traverse the scholarly terrain, guided by the whispers of research papers, case studies, and policy documents. Within these pages lie the secrets of COWIN's birth, its growth pains, and its triumphant strides.

Let the symphony begin—the tale of COWIN's planning, its digital sinews, and the pulse of a nation's hope.

Detailed literature review has been carried out to identify, evaluate, and interpret the work produced by researchers and scholars on the subject matter of the research problem, and identify the research gaps. The details of the literature survey carried out are enumerated in succeeding paras.

**Caitlyn Mason et al. (2022).** The study investigates key factors affecting the deployment of digital health tools in LMICs during the COVID-19 pandemic and seeks to provide insights relevant to the development and deployment of these tools aligned with public health objectives, including the Sustainable Development Goals (SDGs).

User-Centered Design: One significant aspect highlighted by Mason et al.'s study is the critical importance of user-centered design when creating digital health tools. Their research emphasizes the necessity for these tools to address practical issues faced by healthcare providers and the general public. The article underscores that user-friendliness and usability are pivotal factors in ensuring the widespread

adoption of such tools.

Strong Country-Led Partnerships: The study places considerable emphasis on the significance of forging strong, country-led partnerships to ensure the scalability and sustainability of digital health tools. Collaborations with government entities and other stakeholders are recognized as indispensable elements of the implementation process.

Adaptability of Digital Health Tools: Mason et al. also highlight the need for digital health tools to be adaptable. Flexibility in these tools is stressed as a crucial attribute to meet evolving needs effectively. Their ability to adapt to new challenges, including emerging pathogens, is emphasized as a key consideration.

Sustainable Financing Models: Although not the primary focus, the article briefly discusses the challenge of establishing sustainable financing models for digital health tools. It acknowledges the imperative of transitioning beyond donor funding and exploring alternative revenue streams from users.

Impact Assessment Challenges: The study brings to light the difficulty of accurately measuring the true impact of digital health tools, particularly during health emergencies such as the COVID-19 pandemic. The article mentions the utilization of alternative metrics but recognizes the inherent challenges associated with comprehensive impact assessments.

Indirect Challenges in LMICs: The publication indirectly addresses various challenges encountered in the implementation of digital health tools in LMICs, including resource constraints, limited infrastructure, and variations in healthcare systems within these countries.

Research Methodology: Mason et al. employed a mixed-methods research approach to conduct their study. They combined desk research with stakeholder interviews to evaluate the implementation of digital health tools in LMICs during the COVID-19 pandemic. Their research methodology was grounded in the use of the mHealth Assessment and Planning for Scale Toolkit, allowing for a comprehensive assessment of the implementation and scalability of digital health tools.

Research Gaps and Opportunities: The article identifies several research gaps, presenting opportunities for further exploration: Sustainable Financing and Impact Evaluation: While highlighting the need for sustainable financing models, the article acknowledges the challenges in their establishment. It also underscores the difficulties in assessing the true impact of digital health tools, especially during health

crises. These challenges underscore the importance of future research in sustainable financing and rigorous impact evaluation methodologies for digital health solutions.

Less Successful Implementations: The study primarily focuses on successful case studies and does not delve into cases where digital health tool implementations faced significant challenges or failures. Research in this area could provide valuable insights for future endeavors.

Integration with Broader Healthcare Systems: Although briefly mentioned, the article suggests further research is needed to explore strategies for integrating digital tools with broader healthcare system improvements to achieve comprehensive outcomes.

Exemplars. (2022). The study sheds light on the effective deployment of digital health tools, specifically the Smart Health app, to address healthcare challenges during the COVID-19 pandemic in Uganda. It provides valuable insights into the utilization of digital health technology in resource-constrained settings, with a particular focus on the pivotal role played by Community Health Workers (CHWs) in delivering essential healthcare services.

The article builds upon existing literature that highlights the indispensable role of CHWs in bridging healthcare gaps, especially in regions characterized by limited access to healthcare facilities and healthcare professionals. It underscores the challenging healthcare landscape in Uganda, marked by a scarcity of doctors and elevated morbidity and mortality rates resulting from preventable diseases like malaria, HIV/AIDS, and tuberculosis.

User-Centered Design: The article underscores the significance of user-friendly and adaptable digital health tools. It emphasizes that these tools should be meticulously designed, taking into account the needs and technological literacy of CHWs. This aligns with the broader literature's emphasis on user-centered design principles in the

development of healthcare technologies.

Partnerships and Collaboration: Furthermore, the article explores the critical role of partnerships and collaboration between nonprofit organizations like Living Goods, Medic, and government agencies in the successful implementation of digital health solutions. This aspect aligns with literature that underscores the importance of strong partnerships in ensuring the scalability and sustainability of digital health initiatives.

Data-Driven Healthcare Interventions: The article's focus on real-time data collection and its impact on decision-making aligns with existing literature that highlights the significance of data-driven healthcare interventions, particularly in resource-constrained settings. It also echoes the relevance of integrated health information systems, such as DHIS2, to facilitate data sharing and analysis.

Financing Models and Sustainability: Additionally, the article delves into the challenges associated with financing digital health initiatives and underscores the need for innovative financing models. This resonates with existing literature addressing the sustainability of digital health interventions and the transition from donor funding to self-sustaining models.

The case study "Smart Health in Uganda" contributes significantly to the literature by providing a real-world exemplar of how digital health tools can be effectively leveraged by CHWs to address healthcare challenges in Low- and Middle-Income Countries (LMICs), particularly during health crises such as the COVID-19 pandemic. It emphasizes the importance of user-centered design, strong partnerships, data-driven decision-making, and sustainable financing models, all of which are critical considerations in the implementation of digital health initiatives in resource-constrained settings.

Relevance to COWIN Integration and Data Security: The case study of Smart Health in Uganda offers valuable insights into the technical challenges encountered during the implementation of a digital health management tool, akin to the COWIN. It elucidates how automation, workflow design, and data management played pivotal roles in ensuring efficiency and accuracy. This case study can serve as a reference point for comprehending the technical challenges related to integrating the COWIN, particularly concerning scalability, data management, user accessibility, and system reliability. It presents

recommendations on how to adapt and enhance digital tools to cope with surges in demand from an overwhelmed health system, which could inform analogous strategies for the COWIN.

Data Security and Privacy Measures: Although the case study does not explicitly address data security and privacy concerns, it underscores the importance of real-time data collection and interoperability with government systems. This aligns with the research objective of evaluating data security and privacy measures within the COWIN. It suggests that interoperability with existing government health information systems, such as DHIS2, could have implications for data security and privacy. Furthermore, it underscores the significance of real-time data dashboards.

In summary, the case study of Smart Health in Uganda offers pertinent insights and strategies applicable to your research concerning the COWIN's technical challenges and data security measures. While not a direct parallel, the principles of digital health implementation and adaptability discussed in the case study can provide a valuable perspective for addressing similar challenges in the context of the COWIN. However, it's essential to acknowledge that specific technical and data security aspects of CoWIN may differ, warranting further research to establish direct comparisons and formulate recommendations.

**Singh & Parida (2023).** This work provides valuable insights into the potential of the CoWIN (Covid Vaccine Intelligence Network) system in enhancing India's Universal Immunization Program (UIP) and advancing digital healthcare infrastructure.

COWIN Overview: The COWIN, developed through collaboration between the Indian government and partners like the United Nations Development Program (UNDP), was initially designed to facilitate COVID-19 vaccinations. However, this study highlights its significant potential for extension to the UIP. CoWIN's success in streamlining COVID-19 vaccinations serves as evidence of its suitability as a comprehensive platform for managing all vaccine-related data within the UIP.

Advantages and Challenges: The authors discuss the advantages and challenges associated with integrating CoWIN into the UIP. They emphasize the critical role of digitalization in healthcare, particularly in a country like India with a substantial birth cohort eligible for vaccination. The limitations of paper-based records and vaccination cards, including the risk of loss and errors, are addressed. CoWIN's digital certificate model, available as a PDF document, is presented as a solution to these issues. However, the study acknowledges challenges related to the digital divide, data privacy, and the necessity for training healthcare workers, especially in rural areas.

Potential Benefits for UIP: The potential benefits of incorporating CoWIN into the UIP are substantial. These include real-time data collection, enhanced efficiency, improved cold chain management, and increased vaccination coverage. The study proposes that integrating CoWIN can enhance adverse event monitoring, reduce vaccine wastage, and ultimately improve public health outcomes. To achieve seamless integration, the authors suggest leveraging existing digital solutions at the state level and aligning with India's Digital India program.

The study underscores the transformative potential of the COWIN for India's Universal Immunization Program. It offers valuable insights into how digitalization can enhance healthcare delivery and vaccination coverage. Nevertheless, it also acknowledges the challenges that need to be addressed, particularly those related to data privacy and the digital divide.

Technical Aspects of CoWIN: The study discusses the successful implementation of the COWIN for COVID-19 vaccination, highlighting technical aspects such as online registration, appointment scheduling, and digital certificate generation. This information is invaluable for comprehending the technical framework of the COWIN. CoWIN serves as a comprehensive platform for vaccine delivery and monitoring, effectively recording vaccine data, scheduling, and adverse events. It draws inspiration from the eVIN system, which has significantly improved vaccine delivery and cold chain management.

Research Gap Identified for Objective 1: While the study discusses the successful aspects of CoWIN, it does not extensively address challenges or limitations faced during its implementation. The scalability and interoperability aspects are mentioned but not thoroughly examined.

Research Objective 2: Data Security and Privacy Measures: The study emphasizes the advantages of a digital vaccination certificate (Vaccine Passport) and the need for a secure

digital system like CoWIN. This aligns with your research objective concerning data security and privacy. CoWIN's digital certificate is accessible and secure, incorporating quick response code-based verification. The study also highlights the shortcomings of paper-based records, including errors and loss. However, it briefly mentions data privacy concerns without providing a comprehensive analysis. It does not delve into specific vulnerabilities or potential risks within the COWIN.

The study provides valuable insights into the COWIN's technical aspects and its potential benefits for universal immunization programs. However, it leaves room for further research to explore challenges and data security issues in greater depth, allowing for the formulation of recommendations for improvement in these areas.

**Exemplars (April 2022).** The study offers an in-depth exploration of the CoWIN (Covid Vaccine Intelligence Network) platform, a pivotal element in India's monumental COVID-19 vaccination campaign. It sheds light on key aspects of the platform, its impact, and the government's role in its successful implementation.

CoWIN's User-Friendly and Adaptable Design: CoWIN's design is lauded for its userfriendliness and adaptability. The platform's capability to swiftly adapt to evolving circumstances and scale up is identified as a prominent feature. Its modular architecture minimizes dependencies across different modules while ensuring seamless data flow, thereby enhancing overall efficiency and minimizing redundancies.

Inclusivity and Accessibility: The article emphasizes CoWIN's inclusivity, a vital feature for a diverse and expansive population like India's. It highlights the platform's evolution to incorporate offline registration methods, ensuring participation for citizens without digital access. Additionally, CoWIN permits the registration of multiple family members under a single account, streamlining the process.

Interoperability and Integration: CoWIN's open APIs facilitate interoperability with other digital systems and applications, augmenting its accessibility and functionality. Integration with government mobile applications such as Aarogya Setu and UMANG demonstrates the platform's versatility to work in tandem with existing solutions.

Adaptation to Phases of the Vaccination Campaign: The article outlines how CoWIN

adapted to different phases of the vaccination campaign, catering to specific user groups. It underscores the shift from a supply-driven to a demand-driven distribution model, granting individuals the choice to receive vaccinations—a fundamental change in vaccination policy.

Modular Design for Efficiency: CoWIN's five-module design is discussed, highlighting their independent functionality while ensuring seamless data exchange between them. This design minimizes user labor and errors, thus enhancing overall efficiency in vaccine distribution and administration.

Pre-existing Digital Infrastructure: India's commitment to digital infrastructure, exemplified by initiatives like eVIN and SAFE-VAC, laid the foundation for the rapid development and deployment of CoWIN. The existence of capabilities in disease surveillance and digital health played a pivotal role in effectively managing the pandemic.

The article provides a comprehensive overview of the CoWIN platform, emphasizing its role as a game-changer in India's COVID-19 vaccination efforts. It underscores the importance of government leadership, adaptable technology, inclusivity, and preexisting digital infrastructure in achieving successful vaccination campaigns.

Relevance to Research on CoWIN Implementation: CoWIN's multifaceted approach, encompassing technical challenges, scalability, interoperability, and user accessibility, aligns with the research objectives. The study's modular and interoperable design is particularly relevant for assessing system reliability and offering recommendations for improvement. Collaborative decision-making bodies and the use of an application programming interface (API) for data sharing highlight the significance of interoperability and user accessibility, further aligning with key aspects of the research objectives.

Research Methodology Alignment: The article's combination of literature review and empirical analysis aligns with the research approach envisioned for the study. The literature findings emphasize CoWIN's successful vaccination administration, echoing the research objective's focus on user accessibility. The identified research gap concerning the need for a deeper exploration of user perspectives and experiences resonates with the research's concentration on user accessibility. The literature also underscores the integration of digital health tools, reinforcing the importance of digital infrastructure within the research objectives.

Foundation for Empirical Analysis: The article serves as a foundational understanding of the COWIN's implementation, addressing aspects related to technical challenges, scalability, interoperability, and user accessibility. These insights will provide a solid basis for the empirical analysis in the research study. The empirical analysis will assess the system's performance, identify vulnerabilities, and offer recommendations for improvement, all in accordance with the specified research objectives.

**Prasuna & Rachh (2023).** The work addresses critical issues concerning data security and data privacy within the healthcare sector, with a focus on India. The study employs a quantitative analysis, utilizing data collected through online surveys to explore the challenges and concerns associated with data security and data privacy.

Role of Information Technology in Healthcare: The article underscores the significant role played by information technology in the healthcare sector, particularly during the COVID-19 pandemic. It highlights the importance of websites and mobile applications in providing healthcare services and connecting with patients.

Data Security and Privacy Concerns: One of the central themes of the article is the escalating concerns regarding data security and data privacy within the healthcare industry. Given the vast collection of personal and medical data in this sector, it has become a prime target for cyberattacks, data breaches, and privacy infringements.

User Concerns and Cybercrime Trends: Reference is made to a survey conducted among young foreign travellers, revealing substantial apprehensions regarding data security and data privacy. These concerns encompass fears of personal data hacking, health information sharing, and a lack of transparency and control over shared data. Additionally, the article highlights the surge in cybercrime cases related to privacy violations in India, underscoring the urgency of addressing these issues within the healthcare sector.

Lack of User Consent and Awareness: A notable observation in the article is the oftenabsent request for user consent regarding the collection and sharing of personal data by healthcare-related websites and applications. This raises concerns about user awareness regarding the usage of their data.

SWOT Analysis of Healthcare Sector: The authors employ a SWOT analysis to assess the strengths, weaknesses, opportunities, and threats faced by the healthcare sector. Strengths include awareness and mobile applications, while weaknesses comprise inadequately protected systems and infrastructure issues. Opportunities are related to investments and research and development, while threats encompass data privacy violations, data theft, and cyberattacks.

Research Methodology: The article provides insight into its research methodology, encompassing quantitative techniques, online surveys, and the utilization of statistical analysis software.

Findings and Implications: Survey findings indicate that respondents, particularly females, consider

obtaining consent before sharing personal information or tracking online movements to be of paramount importance. The article discusses the implications of these findings, emphasizing the necessity for enhanced data protection policies and strategies within the healthcare sector. It also recommends limiting unnecessary access to user devices by application developers to enhance data privacy.

The article emphasizes the critical significance of securing healthcare-related data and addressing data privacy concerns, particularly in the era of burgeoning digital healthcare services. The authors acknowledge limitations, including a relatively small sample size and the use of online data sources for secondary research.

Relevance to Research on CoWIN Implementation: The article, "A Study on Challenges of Data Security and Data Privacy in the Healthcare Sector," offers pertinent insights for our research on the implementation of the COWIN during the National COVID-19 vaccination program, with a specific focus on data security and privacy. While not directly addressing technical integration, it indirectly touches upon data security and privacy challenges, vulnerabilities, and risks associated with the collection and sharing of sensitive data, aligning with your second research objective.

Research Gap and Methodology Alignment: For our first research objective, the article does not directly delve into the technical challenges of integrating a system like CoWIN into the vaccination program. However, it underscores the significance of securing data, indirectly highlighting the importance of addressing technical challenges for data security and reliability. The difference in methodology, with the article employing quantitative techniques while your research focuses on qualitative analysis of technical challenges,

represents a potential research gap.

Data Protection and Public Trust: The article's findings on the importance of obtaining user consent and its discussion of challenges within the healthcare sector indirectly relate to your research on data protection and public trust. Additionally, the article hints at challenges faced by the healthcare sector, including system protection and infrastructure issues, which may indirectly connect to scalability and system reliability challenges in the context of the COWIN.

Recommendations and Future Research: While the article provides valuable insights into data security and privacy challenges in the healthcare sector, it does not extensively address the technical challenges associated with implementing COWIN. Thus, your research can build upon this foundation by conducting a technical analysis of COWIN's integration into the vaccination program while also examining data security and privacy measures in more detail.

**Tripathi**.(2023). The article discusses a concerning incident related to the CoWIN portal in India, which played a crucial role in managing COVID-19 vaccinations. The alleged data breach of the CoWIN portal resulted in the exposure of user data, raising significant questions about data security and privacy.

Incident Overview: The article highlights that the data breach incident came to light on June 5, 2023, through social media posts, but garnered substantial attention on June 12 when a news portal reported accessing CoWIN data on the Telegram platform. The precise source of the data leak remains uncertain.

Data Exposure and Government Response: It is noted that the data leak was identified on an Indonesian Telegram channel, where the threat actor claimed to have possessed the data for a year. Despite notifying the government, no immediate action was taken, and the data was allegedly offered for sale. Union Minister Rajeev Chandrasekhar denied a direct breach of the CoWIN app but acknowledged that data was being accessed by a Telegram bot, potentially originating from previously stolen data.

Implications for Data Security and Privacy: The incident raises serious concerns about the security of sensitive data within the CoWIN portal, particularly as the platform has collected information from millions of Indian citizens for COVID-19 vaccination registration. It also sheds light on broader cybersecurity challenges faced by India, including previous data breaches in 2021 and 2022. The timeline of when this data was stolen remains unclear.

Dark Web Data Leaks and Legal Framework: The article points out that similar data leaks from Indian government websites can be found on the dark web. This recurring issue underscores the urgent need for robust data privacy laws in India, emphasizing the importance of safeguarding citizens' sensitive personal information. Experts argue that addressing data security and sovereignty should be a top priority, especially as India advances its digital initiatives and seeks to foster citizen trust in the digital ecosystem. The incident also raises questions about accountability and the urgency of implementing stringent security measures to prevent such breaches in the future.

Data Deletion Rights and Digital Personal Data Protection Bill, 2022: The article suggests that citizens should have the right to request the deletion of their personal data from government databases, a concept introduced in the Digital Personal Data Protection Bill, 2022.

Relevance to CoWIN Implementation Research: In the context of ongoing research on the implementation of the COWIN during the National COVID-19 vaccination program, this article highlights the critical importance of data security and privacy in healthcare systems. It serves as a stark reminder of how vulnerabilities in data management can have severe consequences, underlining the need for stringent rules, compliance, and regulatory frameworks to protect sensitive personal information within digital healthcare systems.

Data Protection Measures and Role of Data Protection Officers (DPOs): Furthermore, the article raises pertinent questions about the effectiveness of data protection measures and the role of data protection officers (DPOs) in safeguarding citizens' data within healthcare systems. These considerations become essential as healthcare systems continue to integrate digital solutions and handle vast amounts of personal information.

CoWIN data breach incident discussed in the article underscores the critical nature of data security and privacy within healthcare systems. It calls for a heightened focus on securing sensitive data, reinforcing regulatory frameworks, and fortifying data protection measures. Researchers can draw valuable insights from this incident to inform their studies on data security and privacy in the context of healthcare systems like CoWIN.

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# **CHAPTER 3 - INTRODUCTION TO COWIN PROGRAM**



# "The secret of getting ahead is getting started." – Mark Twain

# **Background of the COVID-19 Outbreak in India**

In response to the escalating COVID-19 crisis in late 2020, the Government of India embarked on preparations for one of the largest vaccination programs globally. The aim was multifaceted: to mitigate vaccine-preventable deaths, alleviate pressures on the healthcare infrastructure, and initiate steps toward restoring normalcy. This initiative gained momentum with the establishment of the National Expert Group on Vaccine Administration for COVID-19, which provided comprehensive guidance for vaccine rollout in India (Purohit et al., 2022).

India's proactive stance toward curbing the pandemic prompted the development of CoWIN, a digital platform designed to facilitate large-scale vaccination efforts. This platform was conceived to streamline

vaccine delivery, reduce healthcare burdens, and ensure equitable access to vaccination services nationwide (Purohit et al., 2022).

The emergence of the COVID-19 pandemic underscored the imperative for a robust digital health infrastructure in India. CoWIN emerged as a pivotal component of the country's digital health ecosystem, playing a central role in expediting the vaccination drive aimed at inoculating India's vast population efficiently (Purohit et al., 2022).

#### **Deployment of CoWIN**

CoWIN serves as an end-to-end solution for the COVID-19 vaccination process, including registration, appointment scheduling, identity verification, vaccination, and certification. It was launched on January 16, 2021, by Prime Minister Narendra Modi (Purohit et al., 2022).

CoWIN integrates databases of healthcare workers, frontline workers, hospitals, and vaccination centers to provide end-to-end support for vaccination delivery nationwide. It offers multiple modules and APIs for interoperability with third-party applications (Purohit et al., 2022).

CoWIN, a cloud-based IT solution, serves as the cornerstone of India's vaccination delivery chain, offering end-to-end support across various modules. Managed by the Ministry of Health and Family Welfare (MoHFW) and developed in collaboration with the United Nations Development Program (UNDP), CoWIN facilitates comprehensive planning, implementation, monitoring, and evaluation of vaccination efforts nationwide (Narayan & Narang, 2021).

#### **Quoted Text by Implementation Expert:**

"Implementation expert, in his discourse, emphasized the monumental task of orchestrating a nationwide vaccination drive amid unprecedented challenges. He highlighted the need for speed, coordination, and transparency in vaccine distribution to effectively combat the pandemic. CoWIN emerged as the digital linchpin, bridging informational gaps and enabling the administration of over 2 billion vaccine doses within record time (Personal communication with Implementation expert, January 26, 2024)".

The creation of the National Expert Group on Vaccine Administration for COVID-19 epitomized India's collaborative approach toward vaccine rollout, ensuring high-level coordination among various stakeholders at the national, state, and district levels (Exemplars, 2022).

The program achieved landmark coverage in a very short period, which led to The National Vaccination Drive receiving recognition for its successful execution when it was honoured with the 'PM Award for Excellence in Public Administration 2022' in April 2022. India also demonstrated its spirit of Vasudhaiva Kutumbakam by extending support of vaccines to 101 countries under the 'Vaccine Maitri' initiative (Academic Expert, personal communication, January 16, 2024).

#### **Development Process And Components Of The CoWIN System**

#### Pre-COVID-19 Healthcare Landscape

India's ongoing commitment to digital infrastructure, including tools like eVIN and SAFE-VAC, provided a strong foundation for CoWIN's implementation during the pandemic (Purohit et al., 2022).

India's healthcare infrastructure and policies before the pandemic provided the foundation for CoWIN's implementation. The healthcare system adapted to new challenges posed by the pandemic, underscoring the importance of digital health initiatives (Purohit et al., 2022).

UNDP has previously developed the electronic vaccine intelligence network (eVIN) for use in the Universal Immunization Program (UIP). This system is aimed at monitoring vaccine stocks and managing the cold chain. Evaluation of eVIN has demonstrated its effectiveness as a cost-efficient intervention, significantly enhancing UIP by improving vaccine delivery, reducing wastage, preventing stockouts, and averting cold chain breakdowns. CoWIN draws inspiration from eVIN and was specifically developed for COVID-19 vaccination. In CoWIN, individuals can register online for COVID-19 vaccination using their mobile number and a one-time password. Registration is followed by scheduling an appointment at a vaccination center and receiving the vaccine. After vaccination, a digital certificate is generated online. The system also records the number of vaccine vials used, adverse events following immunization, and other vaccinationrelated indicators, making it a comprehensive tool for vaccine delivery and monitoring, with improved efficiency.

CoWIN's modular and interoperable platform offers a plethora of functionalities, including registration, appointment scheduling, identity verification, vaccination, and certification. Its open-source architecture and multilingual interface cater to diverse user needs, contributing to the seamless execution of universal vaccination coverage (Exemplars, 2022).

CoWIN's open-source and modular design enables integration with third-party applications and interoperability with other digital systems.Integration with IndiaStack enhances CoWIN's functionality and potential as a digital public good (Purohit et al., 2022).

# **Orchestration Module**



# Figure 3.1. Orchestration Module of COWIN System. Adapted from Nagpal et al., 2021. The figure illustrates the Orchestration Models flow chart.

This module creates administrators at the National, State, and District Levels to coordinate high-level tasks such as creating databases, allocating roles, managing inventory, and tracking registered beneficiaries (Narayan & Narang, 2021).

# Vaccine Cold Chain Module



# Figure 3.2. Vaccine Cold Chain Module. Adapted from Nagpal et al., 2021. The figure illustrates the Vaccine Cold Chain Modules flow chart.

This module supports procurement and supply chain logistics for vaccine stocks. It digitizes COVID-19 vaccination stock and allows real-time, remote monitoring of storage temperatures (Narayan & Narang, 2021).

# **Citizen Registration Module**



# Figure 3.3. Citizens Registration Module. Adapted from Nagpal et al., 2021. The figure illustrates the Citizens Registration Modules flow chart.

This module enables citizens to enroll themselves as vaccine beneficiaries through various access points such as the CoWIN website, Aarogya Setu application, or the UMANG application (Narayan & Narang, 2021).

# **Vaccinator Module**



Figure 3.4. Vaccination Module. Adapted from Nagpal et al., 2021. The figure illustrates the Vaccination Modules flow chart.

This module is operated by vaccination officers to verify citizen identity and update their vaccination status at the session site (Narayan & Narang, 2021).

# **Certificate, Feedback and AEFI Module**



# Figure 3.5. Certificate, Feedback, AEFI Module. Adapted from Nagpal et al., 2021. The figure illustrates the Certificate, Feedback and AEFI Modules flow chart.

This module provides a second layer of interaction between citizens and vaccine administrators, facilitating the issuance of vaccine certificates, collection of feedback and grievances, and reporting of relevant aftereffects of immunization (Narayan & Narang, 2021).

Vaccination status had become a mandatory requirement for travel, leading to the rise of the term "vaccine passport." Paper-based documents, still used in developed countries like the USA, have limitations such as errors in handwritten entries, illegible handwriting, and the risk of losing the card. Several mobile applications now offer to digitalize vaccine cards by capturing an image of the certificate. This underscores the need for a digital system from the outset. Therefore, the CoWIN digital certificate model, accessible anywhere and carried as a PDF document, is the ideal approach to issuing vaccine certificates. A quick response code-based verification system ensures the certificate's security against fraud, Arjun et al., 2023."

#### **Quoted Text (Implementation Expert's words):**

"Co-WIN, short for the Covid Vaccine Intelligence Network, served as the technological backbone of India's mass vaccination campaign. The Empowered Group on Vaccine Administration was the committee driving the development of Co-WIN, jointly overseen by the Ministry of Health and Family Welfare (MoHFW) and the Ministry of Electronics and Information Technology (MeitY). Demonstrating India's IT prowess, backed by its Digital Public Infrastructure, the platform was perfected over just 2 weeks and was ready in time to roll out in January 2021 as soon as Emergency Use Authorization was given to the two vaccines. The indigenously developed technology was launched along with India's vaccination program on January 16, 2021, by the Hon'ble Prime Minister Shri Narendra Modi.

Co-WIN served as a single source of truth for all stakeholders, allowing tracking of each dose of vaccine supplied to vaccination facilities and recording the fulfillment of demand at a granular level. It provided a personal dashboard for vaccinators to view the performance at their facilities, making logistics and inventory management more efficient. The data collected was made available on an online dashboard on Co-WIN's website to administrative authorities to view key indicators for vaccination coverage, helping them make informed decisions about vaccine demand and performance. This transparency also extended to the larger public, ensuring equitable distribution at all nodal points and keeping a check on rent-seeking. The traceability provided by Co-WIN removed information asymmetry from the entire ecosystem and made all stakeholders accountable. Beyond its role in logistics and transparency, Co-WIN also acted as a feedback tool for India's vaccination policy, enabling data-driven public health decisions and helping evaluate the performance of each brand of vaccination. It recorded After Effects Following Immunization (AEFI) data, serving as an early-warning system to pause or terminate the roll-out if unacceptable side effects were detected. Anticipating the evolving nature of the pandemic, Co-WIN was designed to be dynamic and adaptable, accommodating changes in vaccination policy and growing needs. To ensure wider coverage and inclusion, the system was designed as a digital public good based on open APIs, with security measures in place. These open APIs were made public to encourage innovation on top of the Co-WIN platform by third-party developers. To prevent a digital

divide, various measures were implemented to ensure equitable access to Co-WIN across the nation, offering features for both citizens and vaccinators alike."

#### Source:

#### Personal communication with Implementation Expert, January 26, 2024.

#### **Operational Guidelines and Phases of Implementation**

Co-WIN (COVID-19 Vaccine Intelligence Network) has been developed as an extension of the existing electronic Vaccine Intelligence Network (eVIN) module for it to be a comprehensive cloud-based IT solution for planning, implementation, monitoring, and evaluation of COVID-19 vaccination in India. The Co-WIN system is an end-to-end solution that has utilities for the entire public health system from the national up to the vaccinator level. The system allows for the creation of users (admins, supervisors, and vaccinators), registration of beneficiaries (bulk upload and individual registration), facilities/planning unit, and session sites followed by planning and scheduling sessions and the implementation of the vaccination process. Co-WIN system on a real-time basis will track not only the beneficiaries but also the vaccines, at national, state, and district levels. This will allow the system to monitor the utilization, wastage, and coverage of COVID-19 vaccination at the National, State, District, and Sub-District levels (Ministry of Health & Family Welfare, Government of India, 2020).

CoWIN utilizes existing digital assets such as eVIN, DIVOC, DigiLocker, and SAFE-VAC to optimize resource utilization and ensure universal vaccination coverage. It offers a modular and interoperable platform, meeting specific needs of COVID-19 vaccination with features like portable proof of vaccination. CoWIN's coordination with various stakeholders, including government ministries, private partners, and decision-making bodies, ensures joint ownership of policy decisions and fast-tracked implementation, Purohit et al. (2022).

Operational Guidelines and National COVID / CoWIN Program CoWIN's modular design allows for the independent functioning of five modules: orchestration, vaccination cold chain, citizen registration, vaccinator, and certificate reporting. It minimizes dependencies across modules and enhances ease of use for different user types, Purohit et al. (2022). The platform was adapted to suit different phases of India's vaccination

drive, incorporating features for online and offline registrations to ensure inclusion of all citizens, Purohit et al. (2022).

CoWIN's development was accompanied by preparations for implementation, including the creation of databases, outfitting vaccination centers, codifying SOPs, and conducting training and outreach programs. The phased rollout allowed for the identification of technical and programmatic limitations, with agile adaptation to address issues such as data entry errors and accessibility challenges, Purohit et al. (2022).

CoWIN utilizes existing digital assets like eVIN, DIVOC, DigiLocker, and SAFE-VAC to ensure universal vaccination coverage, with modular design and interoperability (Purohit et al., 2022).

CoWIN's operational guidelines have evolved to meet the program's objectives, including the issuance of vaccination certificates. Stakeholder engagement has been critical, with partnerships formed to ensure effective implementation and communication strategies employed to address challenges such as vaccine hesitancy, Purohit et al. (2022).

# **Phases of Implementation**

The meticulously designed National COVID-19 Vaccination Programme was officially inaugurated by the Honorable Prime Minister of India on January 16, 2021. Its digital backbone, CoWIN, was set in motion as the foundation. This initiative was structured upon a solid framework of scientific insights, epidemiological evidence, World Health Organization (WHO) guidelines, and global best practices. It was executed through meticulous end-to-end planning and relied on the active participation of states and union territories (States/UTs) as well as the engagement of the community. At every step, there was a concerted effort to tailor the program to meet the diverse needs of its beneficiaries. The program unfolded in six distinct phases, with each phase introducing a new strategic approach (Academic Expert, personal communication, January 16, 2024).

The implementation of the CoWIN program unfolds in distinct phases, each marked by specific milestones and objectives. These phases encompass the rollout of different modules, training initiatives, and stakeholder engagement efforts (Fig 3.1 refers).



# Figure 3.6. Roll out of vaccination program in India during the COVID-19 pandemic. Adapted from Purohit et al. (2022). The figure illustrates the roll out of the vaccination program in India from the onset of the COVID-19 pandemic.

Establishment of the Task Force for Focused Research on Corona Vaccine in April 2020: This group was established to encourage domestic research and development of drugs, diagnostics, and vaccines, headed by the principal scientific advisor to the government of India.

Formation of the National Expert Group for Vaccine Administration for COVID-19 in August 2020: This high-level inter-ministerial task force was established to centralize decision-making for the full COVID-19 immunization process. Representatives from 22 ministries and departments were included in this group.

Establishment of the Empowered Group on Vaccine Administration for COVID-19 in January 2021: This empowered group focused on ensuring the efficient rollout and distribution of COVID-19 vaccines across India, working in close collaboration with state governments and other stakeholders (Arjun et al., 2023).

In 2023, the CoWIN platform was declared a Digital Public Good (DPG), and it became a sought-after technological asset in international cooperation. Nine countries, recognizing the value of India's technology stack, sought India's collaboration in implementing similar systems within their respective borders (Academic Expert, personal communication, January 16, 2024).

However, it is essential to acknowledge that these remarkable achievements were realized after overcoming numerous challenges. As the saying goes, "Rome was not built in a day," highlighting the dedication and perseverance required to accomplish such feats (Academic Expert, personal communication, January 16, 2024).

# Integration with National Health Infrastructure

CoWIN is fully integrated with India's health care infrastructure, aligning with national goals and meeting the objectives of the Ayushman Bharat Digital Mission (Purohit et al., 2022).

#### **Scalability for Future Health Care Programs**

CoWIN's adaptable platform can be customized for managing other health care programs in the future, with 110 million unique health IDs and databases already generated (Purohit et al., 2022).

The COWIN system offers numerous advantages for integration into the Universal Immunization Program (UIP) in India. Traditional paper-based vaccination records pose significant challenges in managing a birth cohort of 25 million children annually. Manual data compilation is laborious and error-prone, with limited scope for generating real-time data. Additionally, the risk of losing immunization cards or missing records of optional vaccines further complicates tracking vaccination status, leading to inefficiencies and gaps in coverage, Arjun et al., 2023.

By introducing CoWIN to the UIP, the digitization of vaccine records can address these challenges and offer additional benefits. CoWIN's capabilities extend beyond simple record-keeping to include real-time monitoring of adverse events following immunization (AEFI). Vaccinators will have access to comprehensive data on previous adverse events, enabling proactive measures to prevent AEFIs and build trust in the vaccination process, thus reducing vaccine hesitancy, Arjun et al., 2023.

At the district and state levels, health officials will benefit from real-time AEFI data, facilitating prompt intervention and response. Ultimately, the integration of CoWIN into the UIP will strengthen the health system, enhance efficiency, improve immunization coverage, and reduce delays in vaccination. Evidence-based decision-making by vaccinators will lead to decreased vaccine wastage, resulting in cost savings for both the government and beneficiaries.

Moreover, the digital system will streamline record-keeping and retrieval processes while promoting environmental sustainability. The Indian government's Digital India initiative provides a conducive environment for integrating CoWIN into existing health systems. Leveraging unique health IDs like Ayushman Bharat Health Account can enable seamless identification of beneficiaries across the health system landscape.

Integration with existing digital solutions such as the ANMOL application and Common Application Software will further enhance the interoperability and functionality of CoWIN within the health ecosystem. Offline functionality will ensure data capture in areas with limited internet connectivity, with synchronization to the server occurring later from health facilities, Arjun et al., 2023.

Drawing insights from successful digital immunization solutions at the state level will inform the nationwide deployment of CoWIN, ensuring practicality and efficacy in enhancing immunization programs, Arjun et al., 2023.

# <u>CHAPTER 4 - PROGRAM IMPLEMENTATION AND STAKEHOLDER</u> <u>ENGAGEMENT</u>

#### **Program Implementation**

Preparations for implementation: The development of the platform was accompanied, in parallel, by preparations for its implementation. Databases of health care workers and frontline workers were created by the state governments and collated centrally, COVID-19 vaccination centers and primary health care centers were outfitted with cold storage facilities and digital tracking devices; standard operating procedures were codified; training materials—primarily online—were prepared and circulated among administrators, vaccinators, nurses, and other health care staff; hands-on training of staff was carried out for two days; and community outreach and social-mobilization programs were launched (Exemplars, 2022).

# Quoted Text (Academic Expert, case study, Combating the Covid-19 Pandemic in India):

"Program Implementation faced challenges despite meticulous planning. The launch of the CoWIN Platform encountered unexpected technical glitches that was resolved before the program launch in January 2021. According to Academic Expert (personal communication, January 16, 2024), While the program may have appeared seamless and unfazed from the outside, it was riddled with challenges that seemed to surface at every turn, causing constant upheaval for the officials.

Launch of COWIN Platform: One of the most significant curve balls thrown their way was the launch of the CoWIN Platform. Before the launch, meticulous dry tests were conducted, feedback was gathered, and necessary modifications were made regularly, all in anticipation of a smooth program launch in January 2021.

However, as is often the case with complex systems, the night before the platform's launch, an unexpected technical glitch surfaced, catching everyone involved off guard and plunging them into a state of urgency. Despite the panic that ensued, the team remained resolute and united under the guidance of the Ministry of Health and Family Welfare (MoHFW). They tirelessly persevered through the midnight hours, dedicated to resolving the issue. Ultimately, their unwavering efforts bore fruit, and the platform was

restored to seamless operation before the launch by the Honorable Prime Minister of India, as it continues to be as efficient to this day.

The Universal Immunization Programme had several well-established systems in place, including supply-chain management using a dependable IT platform, cold-chain infrastructure, and partnerships with multilateral organizations such as the United Nations Development Programme (UNDP), United Nations International Children's Emergency Fund (UNICEF), and World Health Organization (WHO). Nevertheless, the officials encountered numerous challenges during the implementation of the program. The existing assets under the program were well tapped and improvised to build a strong COVID vaccination delivery system."

# Source: Personal communication with Academic Expert, January 16, 2024.

The Universal Immunization Programme provided a foundation for the COVID-19 vaccination delivery system, leveraging existing assets and partnerships with organizations like UNDP, UNICEF, and WHO (Arjun et al., 2023).

# **Use of Co-WIN Platform:**

Co-WIN platform was extensively utilized by officers and vaccination teams at all levels, with clear SOPs and instructional materials provided (Nagpal et al., 2021).

Partnerships were strengthened with organizations like UNDP to enhance the eVIN system, focusing on vaccine logistics monitoring and beneficiary registration within Co-WIN (Nagpal et al., 2021).

Quoted Text (Academic Expert, case study, Combating the Covid-19 Pandemic in India):

"All levels of officers from States/UTs, Central Health Institutes, and other Nodal Ministries, along with Inter-Ministerial Nodal Persons, were extensively trained in the use of the Co-WIN platform. Training sessions for vaccinators and vaccination teams were conducted repeatedly as the platform evolved with the program. Clear Standard Operating Procedures (SOPs) and instructional videos were made available on Co-WIN to facilitate easy usage of the platform for beneficiaries."

Source: Personal communication with Academic Expert, January 16, 2024.

# **Partnerships and Collaborations**

CoWIN established APIs for data sharing and interoperability with other platforms, partnering with organizations like Paytm and MakeMyTrip to enhance reach and usability (Purohit et al., 2022).

Government agencies and international partners collaborated to scale up the CoWIN platform, ensuring sustainability and effective implementation (Purohit et al., 2022).

# Quoted Text (Academic Expert, case study, combating the Covid-19 Pandemic in India):

"Existing partnerships, particularly with the United Nations Development Program (UNDP), were leveraged to enhance the Electronic Vaccine Intelligence Network (eVIN) system. This collaboration focused on strengthening the vaccine logistics monitoring platform and creating a 'beneficiary module' within Co-WIN. The platform served as a comprehensive tool for beneficiary registration, offering both digital and non-digital registration options to ensure the recording of each vaccination event. Co-WIN also generated individual QR (Quick Response) code-based certificates for recording and facilitating national and international travel. The success of the national program owed much to the Co-WIN platform, which was integrated with 'Aarogya Setu' to improve access. The platform was developed under the overall guidance of the National Health Authority and the Ministry of Health and Family Welfare."



# **Governance Mechanism**

Figure 4.1. The structure of the governance mechanism for COVID-19 Response (Ministry of Health & Family Welfare, Government of India, 2020)

Establishing task forces and steering committees at various levels ensured effective coordination and oversight of vaccination processes (Purohit et al., 2022).

Proactive steps, including the early establishment of expert groups, facilitated proactive decision-making for vaccine administration and technology platforms (Purohit et al., 2022).

# **Multiagency Coordination**

Establishing the National Expert Group for Vaccine Administration for COVID-19 facilitated strategic decision-making and oversight of vaccination processes (Purohit et al., 2022).

Strong partnerships with organizations like UNICEF contributed expertise in communication and social mobilization, aiding CoWIN's development (Purohit et al., 2022).

# **Capacity Strengthening**

Training and support initiatives ensured efficient implementation of CoWIN at scale, with capacity building for administrators and health workers (Purohit et al., 2022).

# **Scaling Strategies**

End-to-end ecosystem creation successfully integrated manufacturers, healthcare workers, and recipients, with plans for broader integration in public health programs (Purohit et al., 2022).

Global repurposing and expansion efforts are underway, leveraging CoWIN's success in India for universal immunization and global adoption (Purohit et al., 2022).

# Key Takeaways

CoWIN leveraged existing public digital platforms and the government of India's experience in rolling out solutions at scale, with user-friendly design and scalability (Exemplars, 2022).

Coordination of India's COVID-19 vaccination program involved government ministries and private partners, facilitated by collaborative decision-making bodies and digital platforms like CoWIN (Exemplars, 2022).

#### **Development and Implementation of DIVOC**

Conception and development of DIVOC: In November 2020, Indian architects—who had created digital innovations such as Aadhaar and the Unified Payments Interface—conceived and developed Digital Infrastructure for Vaccination Open Credentialing (DIVOC), an open-source digital platform. DIVOC helped with the rapid rollout of digital credentials associated with COVID-19 vaccination campaigns, such as vaccine certificates and post vaccination feedback. India's CoWIN platform is DIVOC's largest known implementation (Exemplars, 2022).

Scale and adaptability of DIVOC: DIVOC has already issued over 1.5 billion digitally verifiable credentials in India as of January 2022 and continues to issue a few million credentials every day as India's vaccination drive scales up. Although it was built for scale in India, DIVOC can be adapted for future use in other places and vaccination and digital-credentialing scenarios (Exemplars, 2022).

#### **Identification of Key Stakeholders**

Various stakeholders are critical in implementing the COWIN program, including government agencies, healthcare providers, technology partners, and community organizations. Understanding their roles and responsibilities is essential for effective collaboration and coordination, Nagpal et al., 2021.

#### "Identification of Key Stakeholders:

The success of the National COVID / COWIN Program relied on the collaboration and contributions of various stakeholders. These stakeholders included:

Government Agencies: Government bodies at the central and state levels played a pivotal role in the planning, implementation, and oversight of the program. This included the Ministry of Health and Family Welfare (MoHFW), the Ministry of Electronics and Information Technology (MeitY), and other relevant departments responsible for healthcare and technology.

Healthcare Professionals: Healthcare professionals, including doctors, nurses, pharmacists, and other medical staff, were directly involved in administering vaccines

and providing healthcare services at vaccination centers. Their expertise and dedication were essential for the smooth execution of the vaccination drive.

Technology Partners: Technology companies and developers were instrumental in designing, developing, and maintaining the Co-WIN platform. They collaborated with government agencies to ensure that the platform met the program's objectives and requirements.

Non-Governmental Organizations (NGOs): NGOs and civil society organizations played a supportive role in the vaccination campaign. They assisted in raising awareness, mobilizing communities, and providing logistical support in remote or underserved areas.

Pharmaceutical Companies: Pharmaceutical companies were responsible for manufacturing and supplying COVID-19 vaccines to meet the demand generated by the vaccination program. Their timely production and distribution of vaccines were critical for achieving vaccination targets."

"The partnerships and collaborations discussed above involved various stakeholders, both public and private. These stakeholders played crucial roles in contributing to the national vaccination campaign through their integration with the Co-WIN platform. Public organizations, such as state governments and hospital chains, utilized Co-WIN's vaccine facility APIs, while private entities, including health-tech applications and travel platforms, integrated with certification APIs. This diverse range of stakeholders demonstrates the broad involvement and support for the Co-WIN program."

# Source: Personal communication with Implementation Expert, January 26, 2024.

"According to Implementation Expert (personal communication, February 6, 2024), Cloud service providers play a crucial role in the overall system. They are contractual partners responsible for managing and securing our data. During peak vaccination days, our system experienced approximately 45,000 hits per second. This translates to thousands of vaccinations recorded per second nationwide. The reliability of the system, supported by cloud service providers like AWS, ensured that the system did not break down even under such immense pressure.

In addressing potential disasters, various stakeholders played crucial roles in COWIN's implementation. The Prime Minister's concern about vaccine wastage prompted the development of inventory management systems to monitor and minimize wastage. Additionally, technical committees led by experts such as Dr. Arora from the Indian

Council of Medical Research (ICMR) were responsible for analyzing AEFI data and providing recommendations on vaccine efficacy and safety. These stakeholders collaborated to ensure the effective management and response to any potential disasters in the vaccination program."

CoWIN's role in enabling a seamless vaccination delivery program (Exemplars, 2022):

"What Does CoWIN Do? CoWIN connects stakeholders across the health care value chain to enable a seamless vaccination delivery program including cold storage points, administrators, vaccinators, and verifiers (who check identification of people to be vaccinated); public and private vaccination facilities; and vaccine recipients."

# **Quoted Text (Implementation Expert's words):**

"The integration of over 150 organizations into the Co-WIN program reflects the platform's evolution over time. As new challenges arose during implementation, such as the need to engage with various public and private entities, Co-WIN evolved to accommodate these changes. The successful integration of different stakeholders highlights the adaptability and flexibility of the Co-WIN platform in responding to feedback and changing requirements."

# Source:

# Personal communication with Implementation Expert, January 26, 2024.

# **Evolution of Stakeholders:**

The stakeholders involved in the CoWIN program encompass various entities crucial for its successful implementation. Government agencies, such as the Ministry of Health and Family Welfare (MoHFW) and the Ministry of Electronics and Information Technology (MeitY), play key roles in planning, implementation, and oversight (Nagpal, et al., 2021). Healthcare professionals, including doctors, nurses, pharmacists, and medical staff, are instrumental in administering vaccines and providing essential healthcare services (Nagpal, et al., 2021). Technology partners collaborate with government agencies for the development and maintenance of the Co-WIN platform, ensuring its functionality and effectiveness (Nagpal, et al., 2021). Non-Governmental Organizations (NGOs) contribute significantly to the awareness campaigns and logistical support necessary for the program's success (Nagpal, et al., 2021). Additionally, pharmaceutical companies play a pivotal role in manufacturing and supplying COVID-19 vaccines, thereby addressing the critical aspect of vaccine availability (Nagpal, et al., 2021).

#### **Roles and Responsibilities:**

Each stakeholder involved in the CoWIN program has distinct roles and responsibilities contributing to its overall success. Government agencies, including MoHFW and MeitY, are primarily responsible for planning, implementing, and overseeing various aspects of the program (Nagpal, et al., 2021). Healthcare professionals are directly engaged in administering vaccines and providing healthcare services, ensuring the smooth execution of vaccination drives (Nagpal, et al., 2021). Technology partners play a crucial role in designing, developing, and maintaining the Co-WIN platform in collaboration with government agencies (Nagpal, et al., 2021). NGOs support the program through awareness campaigns and logistical assistance, particularly in remote or underserved areas (Nagpal, et al., 2021). Lastly, pharmaceutical companies are responsible for manufacturing and supplying COVID-19 vaccines, contributing significantly to vaccine availability and distribution (Nagpal, et al., 2021).

#### Assessment of Key Impact Areas

CoWIN has successfully administered 1 billion doses within nine months, vaccinating over 25 million people in one day. It offers valuable lessons for digital health tools in COVID-19 vaccinations (Purohit et al., 2022).

CoWIN's engagement metrics show consistent growth, with millions of registered users and doses administered. Real-time dashboards and data visualization tools facilitate monitoring and evaluation of vaccination drives (Purohit et al., 2022).

CoWIN has significantly impacted vaccination coverage and public health outcomes in India, with 90% of the eligible population receiving at least one dose by December 2021. The platform's success is attributed to its adaptability, modular design, and collaborative decision-making (Purohit et al., 2022).

An assessment of the key impact areas of the COWIN program includes evaluating its effectiveness in achieving vaccination coverage targets, reducing the spread of COVID-19, and minimizing the burden on healthcare systems. Successes in these areas contribute to the overall effectiveness of the program, Nagpal et al., 2021

CoWIN's contribution to verifiable credentialing enables seamless international travel, showcasing global acceptance and recognition (Purohit et al., 2022).

**Quoted Text (Implementation Expert's words):** 

#### "Assessment of Key Impact Areas

Co-WIN has made a significant impact on India's COVID-19 vaccination campaign, with over 1.1 billion citizens registered on the platform and more than 2.2 billion vaccination events recorded. The platform has operated without any downtime, showcasing its reliability and efficiency in managing large-scale vaccination data. The public dashboard provided by Co-WIN has allowed authorities and individuals to monitor vaccination coverage at a granular level, enabling real-time evaluation of vaccination rates and coverage down to the district level. This level of transparency has been instrumental in ensuring accountability and driving the success of the vaccination campaign."

#### Source: Personal communication with Implementation Expert, January 26, 2024.

"According to Implementation Expert (personal communication, February 6, 2024), The open architecture of platforms like COWIN promotes competition and innovation by allowing multiple service providers to participate without restrictions or fees. For example, if Google offers a service on the platform and Paytm does not, users may choose to download another app, thereby fostering competition and encouraging innovation. Furthermore, the platform enables additional services, such as providing vaccination reminders and personalized health information based on user data. This approach, which balances government and private sector involvement, addresses data security concerns while promoting competition and innovation in the marketplace."

Platform Engagement Metrics (Exemplars, 2022): There has been no formal impact evaluation of the platform and its modules. However, platform engagement metrics offer one way to track the impact of the platform, and they have risen consistently. By the end of December 2021, the platform had reached 900 million registered users and helped administer 130 million doses of the COVID-19 vaccine. Since CoWIN is supplemented by offline modules, this number does not imply that all registrations were completed by end users through direct engagement with the platform. Given that many people in India do not have access to a smartphone, or have low literacy, the registrations on CoWIN were carried out on their behalf by family members, mutual aids, or third-party service providers (e.g., nongovernmental organizations)

Real-time Dashboards and Data Visualization(Exemplars, 2022): CoWIN also provides real-time dashboards—created both by the government and by private organizations such as Sattva—and data visualization tracking metrics such as the number of doses administered with a bifurcation of dose 1 and 2; vaccine type; and the gender, age, and

regional distribution of vaccination. Administrators have used this data to study vaccination trends and facilitate their efforts across the country.

Performance Evaluation and User Feedback (Exemplars, 2022): CoWIN also enables administrators to evaluate the performance of vaccine drives via user feedback. The Rapid Assessment System, designed by the National e-Governance Division of India has been integrated with the CoWIN platform to gather feedback on the vaccination process. Since mid-January 2021, 97.35 percent of 0.7 million people surveyed expressed satisfaction with the vaccination process in India.

#### **Global Acceptance and Recognition**

The success of the CoWIN program has garnered recognition at the global level, with other countries looking to India as a model for effective vaccination delivery. Lessons learned from the program's implementation can inform global best practices for managing pandemics (Nagpal et al., 2021).

#### **Quoted Text (Implementation Expert's words):**

"Co-WIN's ability to sustain heavy traffic and scale rapidly has set it apart from other mass-scale tech platforms. The platform has broken records in terms of user registrations and vaccinations, achieving milestones of 200 million users in just 4 months and over 1 billion users in 10 months. Co-WIN's robust engineering has enabled it to handle massive volumes of users and API hits, even achieving a world record of 25 million vaccinations in a single day on September 17, 2021. This remarkable achievement underscores Co-WIN's technological prowess and its crucial role in facilitating India's vaccination drive.

Co-WIN's certificate module, powered by the DIVOC technology, has ensured the integrity and tamper-proof nature of vaccination certificates issued. This has facilitated the smooth reopening of socio-economic activities, enabling domestic and international travel for Indian residents without hesitation. Co-WIN's mutual recognition with over 130 countries has garnered global acceptance, allowing Indian residents to travel seamlessly. The platform has received interest from 76 countries and has organized a Global Conclave on Co-WIN, with participation from officials and individuals from various countries, showcasing its success and international recognition."

#### Source: Personal communication with Implementation Expert, January 26, 2024.

"According to Implementation Expert (personal communication, February 6, 2024), India's digital transformation journey, spearheaded by the Prime Minister, has garnered international recognition, with initiatives like COWIN serving as global benchmarks. The nation's commitment to digital innovation, exemplified by offerings like COWIN, demonstrates its willingness to share technological advancements with the world.

The Prime Minister's visionary leadership has propelled India's digital evolution, evident in the rapid adoption of technologies like UPI, Aadhaar, ABDM, and Ayushman Bharat Digital Mission. The recent introduction of the Open Network for Digital Commerce reflects India's ongoing efforts to leverage digital infrastructure for inclusive growth and development.

India's approach to digital public infrastructure and goods emphasizes scalability, frugality, interoperability, and open architecture, aligning with international standards of software design and development. This commitment has positioned India as a leader in the global digital landscape, inspiring other nations to follow suit.

The Prime Minister's initiative to host a conclave on July 5, 2021, attracted participation from 147 countries, with several ministers engaging in discussions and learning sessions. India's transparent approach, based on open architecture principles, encourages knowledge sharing and collaboration, empowering other nations to adapt and innovate independently."

CoWIN's contribution to verifiable credentialing enables seamless international travel, showcasing global acceptance and recognition, Purohit et al. (2022).

CoWIN's success has garnered global recognition, positioning it as a model for other countries. Lessons learned from its implementation offer insights into best practices for digital health initiatives worldwide, Purohit et al. (2022).

Finally, the impact of the CoWIN platform can be estimated from the interest other countries have demonstrated in adopting the platform for their own COVID-19 inoculation drives. Representatives from 141 countries attended the CoWIN Global Conclave on July 5, 2021, where officials announced that India would offer the CoWIN platform to the world as an open-source digital public good.

#### **CHAPTER 5 - CHALLENGES, SUCCESSES, AND FUTURE OUTLOOK**

#### **Enabling Environment Before COVID-19**

Digital infrastructure before COVID-19 (Exemplars, 2022): "India's ongoing commitment to digital infrastructure meant that critical digital health tools were already in place across all states and union territories of India."

Implementation of eVIN smartphone application (Exemplars, 2022):"In 2015, the United Nations Development Program rolled out the eVIN smartphone application to address existing inequities in vaccine coverage and digitize the Universal Immunization Programme, one of the largest public health programs that targets children and pregnant women in India. The eVIN application managed the end-to-end vaccine delivery supply chain of at least 12 vaccines, including the measles vaccine and the Bacille Calmette-Guerin vaccine for tuberculosis."

Integration of existing digital solutions into CoWIN (Exemplars, 2022):"The government of India could address the specific circumstances of the COVID-19 pandemic by building on its preexisting capabilities to perform disease surveillance and monitoring and to manage technology, people, and processes across the vaccine supply chain. CoWIN integrates eVIN and SAFE VAC along with other preexisting infrastructures and digital solutions (detailed in the Adapting to a New Challenge section) and functions as a comprehensive tool for managing India's COVID-19 vaccination campaign."

Development and Launch (Exemplars, 2022):"The development of the platform started in full scale on January 8, 2021. It was launched January 16, 2021, for frontline and health care workers, and by March 2021 for citizens."

Phased Rollout and Adaptation (Exemplars, 2022): "This phased rollout was particularly useful in identifying both the technical limitations of the CoWIN platform and the programmatic limitations of the vaccination drive. In response to technical issues, and duplications due to data entry errors, CoWIN's adaptable design enabled the technology team to quickly build new, key features that enabled users to search pin codes and choose their vaccination centers."

# **Key Implementation Challenges**

Overcoming challenges in vaccinating a population of 1.3 billion required rapid adaptation and Challenges included maintaining financial support for global adoption, ensuring acceptance of vaccination certificates worldwide, and addressing evolving needs such as new variants and stakeholder groups (Purohit et al., 2022).

CoWIN data illustrated challenges with the initial supply-driven approach to vaccination, leading to a shift to demand-driven distribution. This highlighted the need for individuals to choose to take vaccines, Purohit et al. (2022).

Responding to Evolving Pandemic Needs: Challenges includes adapting to new variants, and booster dosages, and addressing privacy concerns with new stakeholder groups, Purohit et al. (2022).

# "According to Implementation Expert (personal communication, February 6, 2024),

One of the policy measures implemented in COWIN to mitigate disasters was the recording of Adverse Events Following Immunization (AEFIs) in real-time. This proactive approach allowed for the immediate identification and evaluation of any adverse reactions to vaccinations, providing valuable feedback on their efficacy and safety. Additionally, measures were taken to address concerns regarding vaccine wastage. An inventory management system was implemented to track vaccine distribution and usage, automatically computing and monitoring wastage at both the state and center levels. This system provided measurable data on wastage rates, enabling authorities to take corrective actions and minimize vaccine wastage."

The implementation of the COWIN program has encountered various challenges, including logistical constraints, technological barriers, and public hesitancy. Identifying and addressing these challenges is essential to ensure the smooth functioning of the vaccination process, Nagpal et al., 2021.

# **Quoted Text (Implementation Expert's words):**

"In phase one starting January 16, 2021, Co-WIN was focused on assisting healthcare workers (HCWs) and Front-line workers (FLWs). All States and Union Territories had to create and share lists of HCWs and FLWs to be uploaded in bulk. At this point, there was no online appointment system. The government rolls out vaccination by prioritizing the most vulnerable.

HCWs and FLWs were slotted for vaccination through Co-WIN at specific facilities, with text messages sent with the date and locations of vaccination. However, the first challenge was unclean data and incorrect phone numbers. As a result, individuals were not receiving text messages. Furthermore, due to the nature of their jobs, at times HCWs and FLWs were not able to attend their vaccination slots as they were required to travel to places they had not opted for. This supply-driven approach resulted in lower efficiency of vaccination centers, resulting in underutilization of manpower. Co-WIN data helped us see that this approach would not work, especially when it was applied to the general public at large. This resulted in the first fundamental change in the vaccination policy – Demand-driven distribution. The government can make vaccines available, but individuals have to choose to take them.

Further, it was initially decided that the second dose of the vaccine would be administered at the same center to ensure that the individual gets the same vaccine. On deliberation, we realized restricting citizens based on location for a second dose would reduce compliance and increase complications in administration. Hence, the second fundamental change was brought about to the vaccination policy.

The guiding principle of ensuring that the system can adapt quickly based on the rapidly changing socio-economic and policy environment was always kept in mind. An open architecture with interoperability was the result of this driving principle. It was anticipated that large hospital networks may choose to use their IT systems for vaccination, and we are now seeing States produce their native systems. The open APIs help us to ensure that all systems are talking to each other and there remains a single source of truth.

Phase two of the vaccine rollout saw the target audience doubling. Vaccinations were opened for citizens aged 60 and above and 45 and above with comorbidities. This was followed by vaccination opening for all citizens aged 45 and above. With a larger audience, the online self-registration and appointment system was launched for the first time. Changes were also incorporated in the vaccinator modules to accommodate larger crowds and differentiation based on age. Further, capabilities of data reporting and analysis were also added, along with a revamped view for dashboards.

Phase three was the most challenging phase of the development. With vaccination being opened to all citizens above the age of 18 (865 million in total), online registrations became a necessity for managing demand at vaccination centers. More importantly, to handle nearly a billion visits/visitors on the portal, significant developments were

undertaken for capacity building to make the backend of the system robust. Capabilities to handle different vaccination policies based on state governments. To ensure inclusivity, Co-WIN was also adapted for lingual diversity and disability friendliness.

One of the key challenges faced during the implementation of the Co-WIN program was ensuring effective partnerships and collaborations with various organizations. Over 300 applications were received, and processing these partnerships through Co-WIN's sandbox environment presented a logistical challenge. Additionally, integrating over 150 players successfully required careful coordination and management."

# Source: Personal communication with Implementation Expert, January 26, 2024.

# **Solutions and Mitigation Strategies**

The COWIN program has employed various solutions and mitigation strategies to overcome the challenges faced during implementation. These include technological enhancements, capacity-building initiatives, and community engagement efforts, Nagpal et al., 2021.

**Policy Measures Implemented in CoWIN:** Rapid adaptation and policy reforms facilitated overcoming challenges in vaccinating a population of 1.3 billion. Support mechanisms like toll-free helplines and technical assistance for healthcare workers were established to mitigate connectivity concerns, Purohit et al. (2022).

**Technological Enhancements of CoWIN:** CoWIN's adaptable design facilitated quick responses to technical issues and duplication errors. Features like pin code search and third-party API integration were introduced to address these challenges, Purohit et al. (2022).

**<u>Financial Sustainability</u>** CoWIN's global adoption relies on critical financial and operational support from partner organizations for sustainable scalability, Purohit et al. (2022).

<u>Certification and Vaccine Passports</u> Efforts are ongoing to ensure CoWIN's online certificates are widely accepted internationally as economies reopen, Purohit et al. (2022).

# **Quoted Text (Implementation Expert's words):**

"To overcome the challenge of integrating multiple organizations into the Co-WIN program, a systematic approach was adopted. Each entity integrated with different or all

APIs made available based on the nature of its business operations. Various discovery platforms, health-tech applications, state governments, hospital chains, and travel and communication applications successfully integrated with Co-WIN's APIs. This collaborative approach facilitated a smoother and more widespread implementation of the vaccination campaign."

CoWIN's API integration with other platforms and organizations(Exemplars, 2022): "CoWIN created an application programming interface (API) to interact and share data with other organizations and groups to ensure availability of services for community members on various platforms (e.g., DigiLocker, Aarogya Setu, Paytm) and open vaccination services for private providers and state governments."

# Source: Personal communication with Implementation Expert, January 26, 2024.

**<u>Responding to Evolving Pandemic Needs</u>** Challenges includes adapting to new variants, and booster dosages, and addressing privacy concerns with new stakeholder groups, Purohit et al. (2022).

<u>**Parameters of Scale**</u> CoWIN was built to rapidly vaccinate India's population and is designed for wide-scale adoption globally, Purohit et al. (2022).

**Scientific Basis** Pilots and phased rollout allowed for iteration and troubleshooting before full-scale implementation, Purohit et al. (2022).

**<u>Partnership Sustainability</u>** Government and international partners support scaling efforts, but governance details are limited, Purohit et al. (2022).

**Financial Model** Limited information is available on the financial model, which is still under development, Purohit et al. (2022).

<u>Interoperability</u> CoWIN integrates with various apps and databases, ensuring compatibility and accessibility, Purohit et al. (2022).

<u>Adaptability</u> Modular design enables rapid adaptation, facilitating CoWIN's offering as a global digital public good, Purohit et al. (2022).

**<u>Personnel</u>** Leveraging existing healthcare personnel and clear delineation of roles ensure efficient operation, Purohit et al. (2022).

**Process Monitoring and Evaluation Research** CoWIN's performance is monitored daily, with clear workflows and backend data entry monitoring to minimize errors, Purohit et al. (2022).

Recording of Adverse Events Following Immunization (AEFIs) in real-time.

Implementation of an inventory management system to track vaccine distribution and usage, minimizing vaccine wastage (Implementation Expert, personal communication).

Initial Supply-driven Approach and Shift to Demand-driven Distribution: CoWIN data illustrated challenges with the initial supply-driven approach, leading to a shift to demand-driven distribution (Purohit et al., 2022).

Verifiable credentialing for seamless international travel (Exemplars, 2022): "Verifiable credentialing with the World Health Organization specifications for digital documentation of COVID-19 certificates and vaccination status enabled seamless travel to international borders. CoWIN provides a vaccination certificate with a digitally verifiable quick response code."

Capacity strengthening and role allocation for stakeholders (Exemplars, 2022): "Capacity strengthening and clear allocation of roles and responsibilities for administrators, managers, supervisors (e.g., state, district, block, urban centers, and Panchayati Raj Institutions), health workers, vaccinators, frontline workers, and volunteers made it easier for each stakeholder to use the CoWIN platform."

Phased Rollout and Adaptation (Exemplars, 2022): "This phased rollout was particularly useful in identifying both the technical limitations of the CoWIN platform and the programmatic limitations of the vaccination drive. In response to technical issues, and duplications due to data entry errors, CoWIN's adaptable design enabled the technology team to quickly build new, key features that enabled users to search pin codes and choose their vaccination centers."

Inclusivity Measures (Exemplars, 2022): "For those without access to digital devices or infrastructure, developers introduced a toll-free helpline number for citizens to book vaccination appointments; eventually, vaccination centers established walk-in registrations. Developers addressed language barriers by integrating 16 local languages into the platform. Lastly, concerted efforts have also been directed toward including women and marginalized groups, so far excluded, into the vaccination drive."

Special Sessions for Inclusion (Exemplars, 2022): "For example, vaccine centers created special sessions to enable registration of persons without prescribed identity cards."

Government task forces and other actors leveraging CoWIN for vaccination campaigns (Exemplars, 2022):

"Government task forces and other actors (e.g., chief security officers, nongovernmental organizations, and volunteers) used CoWIN to build support for vaccination campaigns and allay vaccine hesitancy among the public. CoWIN's developers raised awareness about the platform and helped shrink the digital divide by establishing a 24/7 toll-free helpline for citizens using the application to register for vaccinations and a technical helpline for health care workers and volunteers needing immediate assistance with critical tasks, such as data entry. People without appointments were helped with registration. In addition, healthcare workers and frontline workers traveled on foot to remote areas to administer vaccinations, with the vaccine stored in ice boxes. The health care workers were able to upload the vaccination data to CoWIN in an asynchronous mode to mitigate connectivity concerns."

**Capacity Building and Accessibility Improvements:** Introduction of toll-free helpline numbers, walk-in registrations, and integration of multiple local languages into the platform to address accessibility challenges (Purohit et al., 2022).

**Strengthening Partnerships and Interoperability:** Strengthening partnerships, enhancing interoperability, and adapting the platform to address emerging needs (Purohit et al., 2022).

Strategies employed to overcome implementation challenges include developing an ecosystem of partner organizations, ensuring certification acceptance worldwide, and addressing privacy concerns (Nagpal et al., 2021).

# What Implementation and Scaling Challenges Remain?

Financial Health - requirements for global adoption: CoWIN was recognized as a digital public good for responding to COVID-19 in July 2021, and the Indian government has prepared a road map for other interested countries to use the platform. Similar digital solutions have not had substantial worldwide impact in the past because adopters have not committed to providing critical financial and operational support. The ability of CoWIN to develop an ecosystem of partner organizations that can give this support is critical to its worldwide sustainability (Exemplars, 2022).

Technology and architecture - responding to evolving needs in the pandemic: Other obstacles include maintaining resource commitments as new variants emerge; system-level modifications for booster dosages; increasing therapeutic evidence; and responding to challenges of new stakeholder groups, such as child registration and privacy issues with minors' data.

Conclusively, integrating the CoWIN system into the Universal Immunization Program (UIP) addresses key challenges identified by Arjun et al. (2023). The transition from paper-based records to digital systems ensures efficient data management, eliminating errors associated with manual compilation and loss of vital information. Real-time monitoring of vaccination coverage, dropouts, and adverse events enhances program effectiveness, while digital solutions for microplanning and geolocation improve efficiency and accuracy. Additionally, CoWIN enables secure storage of vaccination information, SMS-based reminders for compliance, and inclusivity for vulnerable populations, thereby enhancing program accessibility and coverage. Despite these benefits, addressing challenges such as the digital divide and data privacy concerns remains crucial. Overall, CoWIN represents a comprehensive solution, offering standardized processes and improve efficiency for immunization programs, contingent upon adequate healthcare worker training and robust data privacy regulations.

Despite progress, challenges such as addressing financial models for global adoption and ensuring interoperability remain to be addressed (Purohit et al., 2022).

Implementation Expert's perspective on remaining implementation challenges and the importance of cost management for long-term sustainability (personal communication, February 6, 2024).

"According to Implementation Expert (personal communication, February 6, 2024), While the COWIN system has been lauded for its efficiency and effectiveness, ongoing measures for better cost management are essential for long-term sustainability. One aspect to consider is the balance between cost optimization and service availability. For instance, storing data offline may reduce costs, but it could lead to inconvenience for users accessing the system from other countries where the data may not be readily available. Therefore, striking a balance between convenience and cost is crucial.

Despite these challenges, it's important to note that the COWIN system was developed by a small team of dedicated professionals, emphasizing cost-effectiveness from its inception. With a lean approach to development and operations, the system has demonstrated its ability to deliver efficient services while remaining cost-effective. However, continuous efforts to optimize costs and streamline operations will be necessary to address future challenges and ensure the sustainability of the platform."

# The Way Forward

Recommendations for the future of the COWIN program focus on sustaining its success, addressing remaining challenges, and enhancing resilience to future pandemics. Strategies for optimizing program efficiency, expanding vaccination coverage, and strengthening healthcare systems are essential for building a healthier and more resilient society, Nagpal et al., 2021.

Within a short period, CoWIN has created an end-to-end ecosystem that integrates everyone from manufacturers to healthcare workers providing vaccines. Given the widespread reach and success of the program, along with a robust digital backbone offering functionalities that can be translated into other public health programs to improve performance, the CoWIN team is currently exploring integrations with blood bank management, routine immunization, and organ donation services(Exemplars, 2022).

These integrations will not only serve to improve program performance of existing public health programs in India, but also intend to facilitate interoperability with the vision of creating a fully integrated digital health ecosystem under the Ayushman Bharat Digital Mission. The envisioned state further aims at bringing the entire health care ecosystem including facilities, providers, and other ecosystem partners, such as manufacturers, within one umbrella(Exemplars, 2022).

Implementation Expert's insights on the way forward, including the open sourcing of CoWIN's technology and the importance of software design principles (personal communication, January 26, 2024; February 6, 2024).

# **Quoted Text (Implementation Expert's words):**

"The Government of India has demonstrated its commitment to global cooperation by making the Co-WIN platform's technology open source to interested governments and countries worldwide. This decision reflects the success and maturity of the platform and its potential to contribute to global vaccination efforts. The Co-WIN Global Conclave held on July 7, 2021, witnessed participation from over 141 countries and UN representatives, highlighting the platform's role as a global public digital good and its future prospects in supporting vaccination initiatives worldwide."

#### Source: Personal communication with Implementation Expert, January 26, 2024.

"According to Implementation Expert (personal communication, February 6, 2024), the design of the software is crucial for the success of the system. Brigadier Singh and his team prioritized software design principles, recognizing their critical importance. They adopted a framework that allows for flexibility and scalability, ensuring that the system can accommodate various end-users and applications seamlessly. Moving forward, continued collaboration with cloud service providers and adherence to sound software design principles will be essential for the sustainability and effectiveness of the COWIN program."

The architecture of COWIN reflects a balanced approach, with an open network on one end and centralized data management on the other. This design allows for collaboration with various stakeholders, including the private sector, without compromising data security or integrity. By leveraging the open architecture of COWIN, multiple applications have been developed and integrated with the platform, offering users a seamless experience without the need for additional downloads. Moving forward, continued collaboration with the private sector and ongoing enhancements to the platform's architecture will be essential for expanding reach and effectiveness."

The success of platforms like COWIN demonstrates the potential for similar models to be applied to other government services or platforms. By leveraging an open architecture, government programs such as the Universal Vaccination Program can benefit from increased accessibility, competition, and innovation. For example, the Universal Immunization Program could utilize a similar platform to streamline vaccine registration, appointment scheduling, and health management for healthcare providers and patients alike. Additionally, initiatives like UWIN and Doc Mitra highlight the versatility and scalability of such platforms, paving the way for future innovations in public service delivery."

<u>The Need for Standardized Grammar in Security and Privacy Discourse</u>: Recommendations for promoting standardized grammar in security and privacy discourse, including defining threat models, promoting best practices, and clear communication of security measures (Civils Daily, 2023).

**Defining Threat Models**: Establishing well-articulated threat models is crucial. This involves identifying potential risks, vulnerabilities, and capabilities of adversaries. It

provides a common starting point for discussions and allows stakeholders to align their understanding of security and privacy concerns, (Civils Daily, 2023).

**Promoting Best Practices**: Encourage the adoption of best practices in security and privacy. This includes following internationally recognized standards and frameworks, such as those provided by organizations like the National Institute of Standards and Technology (NIST) or the International Organization for Standardization (ISO), (Civils Daily, 2023).

**Clear Communication of Security Measures**: System designers and administrators should precisely articulate the security measures implemented to address specific threats. It is important to go beyond vague claims of "state-of-the-art best practices" and provide concrete details on how security and privacy issues are being tackled, (Civils Daily, 2023).

**Publicly Articulating Threat Models**: Digital service providers and government agencies should publicly articulate their threat models. By doing so, they demonstrate transparency, foster trust, and allow stakeholders to assess the adequacy of security measures in place, (Civils Daily, 2023).

**Collaboration and Knowledge Sharing**: Encourage collaboration and knowledge sharing among stakeholders involved in security and privacy discourse. This can be done through forums, conferences, and working groups where experts can share experiences, insights, and best practices, (Civils Daily, 2023).

**Developing Common Terminology**: Establish a common terminology and vocabulary for discussing security and privacy concepts. This helps to avoid misunderstandings and ensures that stakeholders are on the same page when discussing security risks and mitigation strategies, (Civils Daily, 2023).

**Education and Training**: Invest in education and training programs to enhance the understanding of security and privacy concepts among professionals, policymakers, and end-users. This includes promoting cybersecurity awareness and digital literacy to empower individuals to make informed decisions about their privacy, (Civils Daily, 2023).

**Regulatory Frameworks**: Develop comprehensive and up-to-date regulatory frameworks that incorporate standardized security and privacy measures. These frameworks should address specific threat models, outline data protection requirements,

and establish accountability mechanisms for organizations handling personal data, (Civils Daily, 2023).

**Independent Audits and Certifications**: Encourage independent audits and certifications of digital systems to verify their adherence to standardized security and privacy practices. This helps build trust and assures users that appropriate measures are in place to protect their data, (Civils Daily, 2023).Challenges in the cybersecurity workforce in India, including skills shortages and organizational barriers hindering effective responses to cyber threats (The Wire, 2023), be worked upon and sustainable solutions need to be worked out and implemented.

Emphasizing the need to reinforce India's digitalization journey with computer science rigor to strengthen data security and privacy practices (Civils Daily, 2023).

#### **CHAPTER 6 - DATA SECURITY AND PRIVACY**

#### **Introduction**

In light of the pandemic, the healthcare sector has increasingly turned to digital platforms for information dissemination and service management. However, this heightened reliance has brought to the forefront significant concerns regarding data security and privacy (Prasuna & Rachh, 2023).

According to the study by Prasuna and Rachh (2023), the healthcare sector has leveraged information technology significantly during the current pandemic, utilizing websites and mobile applications ("apps") to disseminate healthcare However, the general attitude to patient confidentiality by major stakeholders remains a problem area in India.

The National Digital Health Mission (NDHM) has a grand vision of leveraging technology to improve health. According to Prime Minister Modi's Independence Day Address in August 2020, the Mission aims to link every diagnostic test, every illness episode, and every prescription by doctors to a single voluntary health ID, access to which will be controlled by the patient. With patient history and treatment details available at your fingertips, it is expected that the quality of care will improve tremendously(Kurian,2021).

The Ministry of Health and Family Welfare has said that NDHM will liberate Indians from the challenges of finding the right doctors, seeking appointments, paying consultation fees, making several rounds of hospital visits for prescription sheets, etc. It is currently being tested across Union Territories; individual patients, doctors, and healthcare providers are expected to voluntarily register to be part of this centralized repository, the success of which will depend heavily on the people's trust in the integrity of the systems in place (Kurian,2021).

This admirable dream of better quality healthcare turns into a nightmare when every test, the details of every illness, and every prescription—linked to a single health ID—is leaked to be available to anybody with access to the internet, due to a system failure. A system failure resulting in privacy breaches in the public sector is often due to a lack of resources or trained personnel, but such leaks are by no means limited to the public sector.

#### Analysis of Current Security Measures and Challenges:
A comprehensive SWOT analysis reveals the intricacies of data security within healthcare systems. While there are notable strengths, such as the utilization of big data for informed decision-making and the availability of mobile applications offering a wide array of healthcare services, there are also substantial weaknesses and challenges. These include infrastructure deficiencies, inadequate training, and the looming threats posed by cyberattacks and privacy violations (Prasuna & Rachh, 2023). Despite various data security methods employed by organizations, persistent challenges remain. From securing devices and ensuring timely software updates to addressing legislative gaps and the limited control users have over their shared medical records, the landscape is fraught with complexities. Additionally, concerns surrounding data privacy are compounded by a lack of user consent and the potential misuse of personal data, underscoring the urgent need for enhanced protection measures (Prasuna & Rachh, 2023).

A Strengths, Weaknesses, Opportunities, and Threats (SWOT) analysis of the healthcare sector highlights the daunting task of securing and protecting sensitive data. The Indian data security market, valued at \$USD99.55 million in 2019, is projected to reach \$USD261 million by 2025. Organizations employ various data security methods to safeguard against cyberattacks, data breaches, and losses, yet challenges persist (Prasuna and Rachh, 2023).

Instances of hackers breaching servers to obtain private data, including medical records, are increasingly common, posing serious data security risks. The healthcare sector, in particular, has witnessed a surge in cybercrime cases, including hacking and data breaches, with the average breach costing millions of dollars in damages. Despite the implementation of stringent security measures, vulnerabilities persist, necessitating continuous efforts to fortify data protection protocols (Prasuna and Rachh, 2023).

The incidence of cybercrime cases related to privacy violations in India has surged from 62 in 2014 to 742 in 2020. However, the conviction rate for such violations remains dismally low (Prasuna and Rachh, 2023). Addressing these challenges is imperative to ensure the integrity and privacy of healthcare data in the digital age.

In the healthcare sector, data security concerns encompass mobile device security, data stored on computers and medical devices, software vulnerabilities, antivirus measures, phishing attacks, and data breaches. Similarly, data privacy challenges include legislative gaps, lack of trust due to privacy concerns, and patients' limited control over shared medical records (Prasuna and Rachh, 2023).

A survey on digital health passports conducted in February 2021 revealed significant apprehensions among respondents, particularly regarding data security and privacy. Foremost among their concerns was the potential hacking of individuals' information, followed by worries about privacy implications when sharing health data and the perceived lack of transparency and control over shared data (Prasuna and Rachh, 2023).

# **Case Studies:**

Recent media reports allege breaches of data from the Co-WIN portal of the Union Health Ministry.



Figure 6.1. CoWIN Data Breach: Data Privacy and Security Concerns in India's Digitalization Journey'' (Civils Daily, 2023). The figure illustrates recent data security breaches in India .

The leaked data appears to be associated with COVID-19 records maintained by the ICMR. News18 reported that the authenticity of the data samples has been verified, further confirming the severity of the breach. Furthermore, the threat actor has reportedly

offered the data for sale, raising concerns about potential misuse and exploitation of individuals' personal information (HT News Desk, 2023).

This incident highlights the evolving tactics employed by threat actors to circumvent cybersecurity defenses and underscores the critical importance of implementing stringent security measures to safeguard sensitive data. It serves as a stark reminder of the ongoing battle against cyber threats and the imperative for proactive measures to mitigate risks and protect individuals' privacy and security.

Recent incidents underscore the severity of the cyber threat landscape. In November 2022, the website of the Indian Council of Medical Research (ICMR) endured approximately 6,000 hacking attempts within a span of 24 hours. Additionally, the All India Institute of Medical Sciences (AIIMS) fell victim to ransomware attacks, resulting in the encryption of an estimated 1.3 terabytes of data, rendering it inaccessible to authorized personnel.

Furthermore, a massive data breach in October 2023 compromised the personal information of over 81.5 crore Indians associated with the ICMR. This incident, coupled with India's ranking as the third-worst country for cybersecurity risk events in the first half of 2023, underscores the urgent need for enhanced cybersecurity measures and vigilance.

Regarding data access, the Co-WIN portal facilitates individual-level access to vaccinated beneficiary data through three distinct levels (PIB Delhi, 2023):

Beneficiary Dashboard: Individuals who have been vaccinated can access CoWIN data using a registered mobile number with OTP authentication.

Co-WIN Authorized User: Vaccinators, using authentic login credentials, can access the personal level data of vaccinated beneficiaries. The CoWIN system tracks and records each access by an authorized user.

API-Based Access: Third-party applications with authorized access to CoWIN APIs can access personal-level data of vaccinated beneficiaries only through beneficiary OTP authentication.

Regarding the purported involvement of a Telegram bot, it is emphasized that access to vaccinated beneficiaries' data via the bot is impossible without OTP authentication. Moreover, only the Year of Birth (YOB) is captured for adult vaccination, contrary to claims suggesting the capture of the Date of Birth (DOB). Importantly, there is no

provision within the Co-WIN system to capture the address of the beneficiary, further safeguarding personal information.

The development team behind CoWIN has unequivocally affirmed that there are no publicly accessible APIs through which data can be retrieved without OTP authentication. Furthermore, while certain APIs have been shared with trusted third parties like the Indian Council of Medical Research (ICMR) for data exchange purposes, stringent measures are in place to ensure the security of such interactions (PIB Delhi, 2023).

One such API, reportedly endowed with the capability to share data via mobile numbers or Aadhaar, operates within a highly restricted framework. Requests made to this API are exclusively entertained from authenticated sources that have been meticulously vetted and whitelisted by the CoWIN application, thus mitigating the risk of unauthorized access or misuse.

In response to recent developments, the Union Health Ministry has proactively engaged the Indian Computer Emergency Response Team (CERT-In) to conduct a thorough investigation into the matter and furnish a detailed report. Additionally, an internal review has been initiated to assess and bolster the existing security infrastructure of CoWIN. Initial findings from CERT-In indicate that the backend database utilized by the Telegram bot was not directly interfacing with the APIs of the CoWIN database, thereby reinforcing the integrity of the system's architecture and data handling mechanisms (PIB Delhi, 2023).

According to the press release "COWIN Data Breach" by PIB Delhi (2023), in response to recent media reports alleging breaches of data from the Co-WIN portal of the Union Health Ministry, it is imperative to clarify that such claims are entirely unfounded and malicious in nature. The Co-WIN portal, which serves as the repository of all data pertaining to beneficiaries vaccinated against COVID-19, is equipped with robust security measures and safeguards to ensure data privacy (PIB Delhi, 2023).

The Ministry of Health and Family Welfare (MoHFW) underscores that the Co-WIN portal is fortified with various security measures, including a Web Application Firewall, Anti-DDoS (Distributed Denial of Service) protection, SSL/TLS encryption, regular vulnerability assessments, and Identity & Access Management protocols. Access to sensitive information is strictly governed by OTP authentication, thereby ensuring secure data transmission and storage (PIB Delhi, 2023).

Following reports of the alleged breach, Minister of State for Electronics and IT, Rajeev Chandrasekhar, stated that CERT-In had investigated the matter and determined that the CoWIN portal was not directly breached. However, the nature and scope of the accessed data, which reportedly included citizens' Aadhaar and passport numbers, remain concerning and warrant further scrutiny.

It's crucial to note that without OTP, vaccinated beneficiaries' data cannot be shared with any bot. currently, only the Year of Birth (YOB) is captured for adult vaccination. While media reports claim that the Date of Birth (DOB) was also captured, this is not supported by the CoWIN system (Perappadan, 2023). There is no provision to capture the addresses of beneficiaries, and the CoWIN development team confirmed that there are no public APIs where data can be pulled without an OTP.

The recent leak of details, including those of prominent individuals like Ram Sewak Sarma, Meenakshi Lekhi, and K.C. Venugopal, underscores the importance of stringent security measures and highlights the need for continuous vigilance in safeguarding sensitive healthcare data stored in CoWIN (Perappadan, 2023).

According to Bank (2023), the recent incident involving the alleged leak of CoWIN data has raised significant concerns and questions about the security of the platform. Despite assurances from the Health Ministry, there are lingering uncertainties regarding the extent of the breach and the measures taken to address it. In a press release, the Health Ministry indicated that CoWIN's APIs were not directly utilized by the Telegram bot implicated in the data leak. However, the statement does not provide explicit clarification on whether the CoWIN database itself was breached, leaving room for ambiguity (Bank, 2023).

The lack of transparent communication regarding the specifics of the incident and the potential vulnerabilities exposed underscore the importance of robust data security protocols and proactive measures to safeguard sensitive information stored within CoWIN. This incident serves as a reminder of the ongoing challenges in ensuring the integrity and confidentiality of healthcare data in digital platforms (Bank, 2023).

The CoWIN data breach incident serves as a poignant exemplar of the challenges inherent in maintaining data security within healthcare systems. The government's response to this breach, characterized by denials and a lack of transparency, has only served to exacerbate concerns surrounding data security protocols and has further eroded public trust in the handling of such incidents (Civils Daily, 2023). Various threat models further illuminate the complexities surrounding data security in digitalization initiatives. These models encompass a myriad of potential threats, including adversaries corrupting insiders, compromised custody chains, and the omnipresent challenges posed by limited infrastructure and cybersecurity threats (Civils Daily, 2023). Moreover, inadequate privacy risk assessment has the potential to yield far-reaching consequences, ranging from data breaches and privacy violations to the loss of control over personal information and the erosion of trust. To mitigate these risks, robust privacy risk assessment frameworks and stringent security measures are imperative (Civils Daily, 2023).

#### **Other Recent Data Breaches:**

According to a report by the Hindustan Times, a startling revelation has come to light regarding what could potentially be the largest data leak in India's history. This breach involves the exposure of sensitive information, including Aadhaar card details, passports, and other personal data of approximately 81.5 crore Indians. Such incidents underscore the pressing need for robust cybersecurity measures in today's digital landscape (HT News Desk, 2023).

According to the article "Data, Privacy, Pandemic: India Just Had the Biggest Medical Records Breach Ever" by Kurian (2021), public debate this week has been dominated by how WhatsApp compromises personal data and privacy, and the pros and cons of its competitors. On 5 January, there was a story on a technology portal about how details of COVID-19 test results of tens of thousands of patients were leaked on the net through multiple Government of Delhi domains (delhigovt.nic.in/delhi.gov.in/revenue.delhi.gov.in). Individual reports of lab tests were available as well. Yet, no media follow-up happened on the issue (Kurian, 2021).

What happened by accident in Delhi is quite similar to what Karnataka deliberately did early on during the pandemic: To support contact tracing, the government published the addresses of those who tested positive for COVID-19 in the state. The question of whether the right to privacy can be suspended during a pandemic, and how the duty of patient confidentiality is handled by guardians of data during a pandemic, was briefly discussed in the media.

The admirable dream of better quality healthcare turns into a nightmare when every test, the details of every illness and every prescription—linked to a single health ID—is leaked to be available to anybody with access to the internet, due to a system failure. A system failure resulting in privacy breaches in the public sector is often due to lack of resources or trained personnel, but such leaks are by no means limited to the public sector.

While exploring the Delhi government leak of COVID-19 patient details, it was found that both private hospitals and diagnostic centers were treating medical records with shocking disregard for patient privacy or confidentiality. While digitalization has made hospital-level processes easier, sufficient precautions are not being taken to safeguard patient data(Kurian,2021).

A Multi-Specialty Private Hospital in Kerala experienced a data breach unprecedented in its scale in India. The hospital had its complete patient records from the last five years leaked on the internet, involving hundreds of thousands of test results, scans, prescriptions, etc. All of this information was searchable by a unique patient ID. It remains unclear how long these records remained in the public domain(Kurian,2021).

Despite having a strong public sector, Kerala is one of the few Indian states with a welldeveloped private healthcare delivery system, where about two-thirds of hospitalizations happen in private sector hospitals.

The hospital in question possesses one of the 101 private NABL Accredited Laboratories in Kerala for RT-PCR testing. Consequently, a significant number of COVID-19 test reports were available on the net as part of the breach. Many antigens as well as CBNAAT test results were also found.

In addition to COVID-19 test reports, the breach included the results of a range of diagnostic tests, consent forms, and other hospitalization-related documentation dated between 2015 and 2021(Kurian,2021).

Further complicating the matter, separate folders named according to the patient ID contained time-stamped medical records, including scans of results and supporting documents, all available in the public domain.

Additionally, a systematic list of links to all such folders, sorted by the patient ID, was made available on a single index page. This page had details of roughly 200,000 patients, possibly covering every interaction in the hospital over the last 5-6 year period. Each patient ID was linked to a folder with multiple medical records, potentially involving several gigabytes of patient data(Kurian,2021).

Discussions on Threat Models and Consequences of Inadequate Privacy Risk Assessment:

The lack of transparent communication regarding the specifics of data breaches and the potential vulnerabilities exposed underscores the critical importance of robust data

security protocols and proactive measures aimed at safeguarding sensitive information. Such incidents serve as poignant reminders of the ongoing challenges in ensuring the integrity and confidentiality of healthcare data in digital platforms (Bank, 2023). Additionally, public discourse surrounding data privacy breaches has intensified, with recent discussions highlighting concerns regarding WhatsApp's compromise of personal data and privacy. Moreover, individual reports of lab test results being leaked on the internet through multiple Government of Delhi domains further underscore the urgent need for stringent privacy risk assessment frameworks and proactive security measures (Kurian, 2021).

According to the article "Cyberattacks Hit Nearly 60% of Healthcare Organizations Globally in the Past Year: Report" (The Wire, 2023), the cybersecurity landscape in India is evolving rapidly, marked by both advancements and challenges. According to a study reported by ET, there has been a notable increase in data encryption practices, with approximately 79% of organizations adopting encryption measures, representing a significant uptick from the previous year's 61%.

However, this positive trend is juxtaposed with concerning statistics regarding ransomware attacks. Only 24% of healthcare organizations were able to thwart ransomware attacks before their data was encrypted, a decline from 34% in the preceding year. This decline represents the lowest rate of disruption observed in the sector over the past three years, highlighting the growing sophistication of cyber threats targeting critical infrastructure (The Wire, 2023).

Despite efforts to bolster cybersecurity defenses, Indian firms continue to face significant challenges. According to a report by a Columbia-based cybersecurity company, nearly half of cyber-attacks targeting Indian organizations cannot be prevented, underscoring the need for comprehensive cybersecurity strategies and investments in risk mitigation measures (The Wire, 2023).

#### **User Perspectives on Data Security:**

Insufficient research into user perspectives has revealed significant concerns about privacy violations and potential data misuse. Users often feel compelled to provide personal data without proper consent, particularly when utilizing healthcare-related websites and mobile applications. This lack of consent and the potential for data misuse highlights the urgent need for improved data protection measures and heightened user awareness (Prasuna & Rachh, 2023).

Moreover, a survey conducted on digital health passports unveiled significant apprehensions among respondents, particularly concerning data security and privacy. Foremost among their concerns was the potential hacking of individuals' information, followed closely by worries about the privacy implications of sharing health data and the perceived lack of transparency and control over shared data (Prasuna & Rachh, 2023). Additionally, the surge in cybercrime cases related to privacy violations in India underscores the imperative need to address these challenges to ensure the integrity and privacy of healthcare data in the digital age (Prasuna & Rachh, 2023).

User consent regarding the collection of private data is often overlooked, raising concerns about data privacy and security. Research indicates that a majority of respondents prioritize privacy over convenience, echoing similar sentiments observed in previous studies where patients' consent for data sharing was not obtained (Prasuna and Rachh, 2023). Questions arise regarding patients' willingness to consent to the sharing of personal data, given the potential invasion of privacy and challenges associated with data security.

Similarly, many users do not bother to read the privacy policies of application providers before installing apps, as they perceive them to be one-sided and unavoidable for app usage. The prevailing notion is that reading privacy policies is futile. Additionally, concerns persist about users' consent for data sharing, particularly regarding the use or sharing of contact details of family members and friends (Prasuna and Rachh, 2023).

Instances of mobile applications collecting users' unique identification numbers and device locations without consent have been documented. A report by The Wall Street Journal revealed that a significant percentage of mobile applications collected and transmitted private information to third parties without users' consent or awareness (Prasuna and Rachh, 2023). Despite these revelations, the situation has remained largely unchanged from 2011 to 2023, highlighting the need for further research on the importance of user consent in the context of data collection by applications and websites.

It is incumbent upon application and website developers to prioritize the safeguarding of users' data and refrain from compromising their privacy. More research in this area is warranted to underscore the significance of obtaining user consent and ensuring data protection measures are in place. The survey aimed to gauge respondents' opinions regarding the collection and usage of their data by various systems, particularly health-related applications. Users often remain unaware of how their data is utilized, with many applications sharing collected data with third parties without user consent or control. Additionally, users are frequently compelled to grant excessive access to their devices' functionalities, such as cameras, microphones, contacts, and location, to use these applications and websites. This practice, mandated by one-sided privacy policies, undermines data privacy and raises significant security concerns (Prasuna and Rachh, 2023).

## **Cybersecurity Workforce Challenges**

According to the article "Cyberattacks Hit Nearly 60% of Healthcare Organisations Globally in the Past Year: Report" (The Wire, 2023)...

The cybersecurity landscape in India is fraught with challenges, compounded by a shortage of skilled professionals and organizational barriers hindering effective response to cyber threats. Kartik Shahani, Tenable India's country manager, emphasized the criticality of proactive measures in combating cyber threats, noting that delayed responses often result in compromised security (The Wire, 2023).

One of the primary obstacles confronting Indian organizations is the lack of coordination between cybersecurity teams, exacerbating the impact of cyberattacks. This disconnect stems from a misalignment in objectives between IT and security departments, leading to disjointed efforts and hampering cohesive response strategies.

Moreover, despite India's status as the country with the second-highest number of internet users globally, its cybersecurity workforce constitutes only 6% of the global total. The demand for cybersecurity professionals in India far outstrips the available talent pool, with only 40,000 job openings reported as of May 2023(The Wire, 2023).

The industry faces a significant skills gap, with demand exceeding supply by 30%. While India's cybersecurity workforce expanded to approximately 0.3 million in 2023, up from 0.21 million in 2022 and 0.1 million in 2021, the disparity between workforce growth and demand remains pronounced.

In terms of revenue, India's cybersecurity sector generates an estimated \$2.50 billion, a fraction of the global industry's \$222 billion. This underscores the need for concerted efforts to address skill shortages, enhance workforce capacity, and bridge the demand-supply gap to fortify India's cybersecurity resilience (The Wire, 2023).

#### **Recommendations for Enhancement:**

In response to these pressing threats and challenges, a series of recommendations have been put forth. These encompass a broad spectrum of strategies, including but not limited to, the encryption of data, the implementation of regular security audits, the reinforcement of data privacy laws, and the development of robust data protection policies. Moreover, enhancing user awareness and instituting comprehensive training programs for healthcare staff on best practices in data security are deemed imperative (Prasuna & Rachh, 2023).

Instances of mobile applications collecting users' unique identification numbers and device locations without consent have been documented. A report by The Wall Street Journal revealed that a significant percentage of mobile applications collected and transmitted private information to third parties without users' consent or awareness (Prasuna & Rachh, 2023). Despite these revelations, the situation has remained largely unchanged from 2011 to 2023, underscoring the urgent need for further research on the importance of user consent in the context of data collection by applications and websites.

#### **Collaboration and Future Directions:**

Effective collaboration with governmental and non-governmental organizations is vital for addressing data security challenges. Allocating additional resources and time for research initiatives will facilitate the development of effective strategies to bolster data security in healthcare systems (Prasuna & Rachh, 2023).

# **Concluding Remarks:**

The COVID-19 pandemic has underscored the critical importance of digital technologies in healthcare delivery, with virtual consultations, telemedicine, and remote monitoring emerging as viable alternatives to traditional healthcare services. However, the widespread adoption of digital platforms has also exposed vulnerabilities in data security and privacy, necessitating enhanced measures to safeguard sensitive information. Addressing these multifaceted challenges requires collaborative efforts from policymakers, healthcare providers, technology developers, and users to ensure the integrity and confidentiality of healthcare data in the digital age (Prasuna & Rachh, 2023).

Enhancing data security in healthcare systems is paramount for maintaining public trust and confidence. Implementing robust protection measures, fostering collaboration, and addressing emerging challenges are essential steps toward ensuring the integrity and security of healthcare data (Prasuna & Rachh, 2023; Civils Daily, 2023; Kurian, 2021).

## CHAPTER 7: FIELD SURVEY OF STAKEHOLDER PERSPECTIVES

In this chapter, we delve into the perspectives and recommendations provided by **two primary stakeholders: healthcare workers and the general public**. Through tailored questionnaires, we aimed to capture insights into their respective experiences with and expectations from the CoWIN platform, acknowledging the inherent variations in their usage and participation.

#### Healthcare Workers' Perspectives: Questionnaire Design and Methodology

Objective 1: Examining and Documenting Technical Challenges and Lessons Learned

The questions posed to healthcare workers were crafted to reflect their frontline involvement in administering vaccinations and interfacing with the CoWIN system during service delivery. These questions sought to assess the system's performance, ease of use, and effectiveness in providing vaccination services. Additionally, inquiries were made to understand their perspectives on the system's ability to mitigate challenges such as server traffic management and feedback resolution.

**Objective 2: Evaluating Data Security and Privacy Measures** 

Considering healthcare workers' critical role in handling sensitive patient information, questions related to data security and privacy were specifically tailored to gauge their confidence in the security measures implemented within the CoWIN system. Emphasis was placed on aspects such as training on data encryption, multi-factor authentication effectiveness, and satisfaction with data handling policies.

**Objective 3: Suggesting Measures for Improvement** 

Healthcare workers' recommendations for improvement were solicited with a focus on enhancing the system's usability and effectiveness in supporting healthcare initiatives. Questions aimed to elicit feedback on features, functionalities, and processes that could streamline their workflow and enhance patient care delivery. Additionally, suggestions were sought to improve accessibility, scalability, and data protection measures within the CoWIN platform.

The questionnaire designed for healthcare workers was meticulously crafted to capture their diverse perspectives and experiences with the CoWIN platform. It aimed to delve into various aspects of the platform's functionality, usability, and effectiveness in supporting the National COVID-19 vaccination program. The questions were structured to align with the research objectives, which included documenting technical challenges, evaluating data security measures, and soliciting recommendations for improvement.

Types and Demographics of Healthcare Workers: The questionnaire targeted a diverse range of healthcare professionals, including doctors, nurses, pharmacists, laboratory technicians, paramedics, and medical officers/staff. By engaging multiple categories of healthcare workers, the research aimed to gather comprehensive insights that represent different roles and responsibilities within the healthcare system. Additionally, demographic information such as gender and employment sector (government, private, NGO) was collected to understand how various factors may influence perceptions of the CoWIN platform.

The questionnaire was designed using online survey platforms to facilitate easy distribution and data collection. Healthcare workers were invited to participate in the survey through various channels such as professional networks, healthcare organizations, and direct outreach. The aim was to ensure a diverse and representative sample of respondents from different regions and healthcare settings. **A total of 233 responses were collected**, reflecting a substantial engagement from healthcare workers across the spectrum. The questions posed to them were as follows (Table 7.1 refers):-

<u>Question</u> <u>Number</u>	Question and Options	Aim
1	Optional - Please give your name, email id, or contact No.	Gather respondent contact details for follow-up or clarification if needed.
2	Indicate your Category from list of Healthcare Workers	Classify respondents into different healthcare professions to understand their roles and responsibilities.
3	Please enter your gender	Gather demographic data on gender to ensure gender-inclusive analysis.
4	Indicate type of your Employment Sector	Identify whether respondents work in the public or private sector.
5	What were the Role/Roles Assigned to you?	Determine the specific roles and responsibilities of healthcare workers.
6	What Module/Modules of COWIN System were used by you?	Understand which modules of the CoWIN system healthcare workers interact with.
7	Rate the COWIN APP/Web Page on various parameters	Assess healthcare workers' satisfaction with various aspects of the CoWIN platform.

**Table 7.1- Analysis of Questionnaire for Healthcare Workers** 

<u>Question</u> <u>Number</u>	Question and Options	Aim				
8	Continue Rating on various parameters	Further evaluate healthcare workers' satisfaction with the CoWIN platform.				
9	Rate the COWIN SYSTEM on various parameters	Evaluate the effectiveness of the CoWIN system from the perspective of healthcare workers.				
10	Experience of raising queries or providing feedback through the COWIN system	Assess healthcare workers' experiences with raising queries or providing feedback.				
11	Suggestions for Encouraging Feedback	Solicit suggestions from healthcare workers on additional features or improvements.				
12	Identification of Dashboard Features Requiring Improvement	Identify specific features of the CoWIN platform's dashboard that need improvement.				
13	Additional Suggestions for Dashboard Features	Gather suggestions from healthcare workers on additional dashboard features.				
14	Suggestions for Enhancing Platform's Usefulness	Solicit ideas from healthcare workers on enhancing the CoWIN platform's usefulness.				
15	Benefits of Integrating CoWIN with Ayushman Bharat Digital Mission	Identify potential benefits of integration with Ayushman Bharat Digital Mission.				
16	Facilitation of Organ Donation Processes	Explore healthcare workers' perspectives on integrating an organ donation platform.				
17	Suggestions for Improving Data Security and Privacy	Gather suggestions for improving data security, privacy, and other aspects of the CoWIN system.				

# **Public Perspectives: Questionnaire Design and Methodology**

Objective 1: Examining and Documenting Technical Challenges and Lessons Learned

In contrast to healthcare workers, the general public's interactions with the CoWIN system are primarily centered around vaccination registration and scheduling. As such, the questions directed at them focused on assessing the platform's user-friendliness, accessibility, and effectiveness in facilitating vaccination appointments. Queries were framed to capture their experiences with system performance during peak hours and their perceptions of accessibility across diverse language backgrounds.

Objective 2: Evaluating Data Security and Privacy Measures

Given the public's inherent concerns about data privacy and security, questions in this category were designed to gauge their confidence in the protection of personal information within the CoWIN system. Emphasis was placed on understanding their

awareness of authentication methods, satisfaction with data handling policies, and concerns regarding the security of health information stored within the platform.

# **Objective 3: Suggesting Measures for Improvement**

The general public's recommendations for improvement were solicited with a focus on enhancing their overall experience and confidence in the CoWIN platform. Questions aimed to gather feedback on features, functionalities, and processes that could enhance accessibility, user-friendliness, and citizen-centricity. Additionally, suggestions were sought to address challenges related to vaccination scheduling, data security, and privacy concerns.

The questionnaire tailored for the public aimed to delve deep into the user experience of the CoWIN platform, offering a comprehensive understanding of citizens' interactions with the system. It was meticulously designed to be inclusive and accessible to individuals from diverse educational backgrounds, occupations, genders, and age brackets. The questions were strategically crafted to elicit nuanced responses, enabling researchers to uncover hidden insights and actionable feedback for platform enhancement.

Participants hailed from various walks of life, representing the rich tapestry of India's population. The demographic diversity ensured a broad spectrum of perspectives, with respondents spanning different educational levels, professions, genders, and age groups. This inclusive approach enabled researchers to capture a holistic view of user experiences, considering the unique challenges and preferences of different segments of the population.

The questionnaire was disseminated through a multifaceted online approach, leveraging social media platforms, government websites, and targeted email campaigns. By harnessing the power of digital channels, we reached out to a wide audience across geographical boundaries, facilitating widespread participation and ensuring diverse representation. The online format streamlined data collection and analysis, enabling to efficient process of a large volume of responses and extract meaningful insights. **A total of 412 responses were collected**, reflecting a substantial engagement from the public across the spectrum. The questions posed to them were as follows (Table 7.2 refers):-

# Table 7.2- Analysis of Questionnaire for Public Inputs.

<u>Question</u> <u>Number</u>	Question and Options	Aim			
1	Optional - Please provide your name, email ID, or contact number.	Allow respondents to optionally provide their contact information.			
2	Enter your highest education attained	Collect information about the education level of respondents.			
3	Indicate Occupation/Profession	Gather data on the occupation or profession of respondents.			
4	Please enter your gender	Collect demographic information about the gender of respondents.			
5	Kindly choose your age bracket	Collect demographic information about the age group of respondents.			
6	How often did you access the COWIN system by using mobiles and did you face any challenges, especially in remote areas?	Collect information about the frequency of accessing the COWIN system and challenges faced in remote areas.			
7	Continue Rating on various parameters	Further evaluate user satisfaction with the COWIN platform.			
8	Continue Rating on various parameters	Further evaluate user satisfaction with the COWIN platform.			
9	Continue Rating on various parameters	Further evaluate user satisfaction with the COWIN platform.			
10	What's your experience of raising a query or providing feedback through the COWIN system regarding your vaccination experience or reporting any Adverse Events Following Immunization (AEFI)?	Assess user engagement with the feedback system and experience with reporting AEFI.			
11	What additional features or improvements would encourage you to provide more feedback through the COWIN system, especially regarding AEFI?	Gather suggestions for enhancing the feedback submission process, particularly regarding AEFI.			
12	Among the features provided by the CoWIN platform's dashboard, which one do you feel requires improvements?	Identify areas of improvement in the CoWIN platform's dashboard features.			
13	You may suggest any other features provided by the CoWIN platform's dashboard, which you feel can be Changed or improved	Gather additional suggestions for improving the CoWIN platform's dashboard features.			
14	Do you think integrating a Blood Donation Platform into the CoWIN platform would encourage more people to donate blood?	Assess user perception of integrating a Blood Donation Platform into the CoWIN platform.			
15	How do you think the integration of an Organ Donation Platform into the CoWIN platform could facilitate organ donation processes and increase donor registrations?	Gather insights on the potential benefits of integrating an Organ Donation Platform into the CoWIN platform for organ donation processes and donor registrations.			
16	From your perspective, what are the potential benefits of integrating the CoWIN platform with the Ayushman Bharat Digital Mission for healthcare delivery and patient management?	Gather insights on the potential benefits of integrating the CoWIN platform with the Ayushman Bharat Digital Mission.			

Question Number	<b>Question and Options</b>	Aim
17	What additional functionalities or features would you like to see incorporated into the CoWIN platform to enhance its usefulness for managing healthcare initiatives beyond COVID-19 vaccination?	Gather suggestions for additional features or improvements to the CoWIN platform for managing healthcare initiatives.
18	In your opinion, what specific features or changes would make the COWIN system more user-friendly and citizen-centric?	Gather suggestions for making the COWIN system more user-friendly and citizen-centric.
19	If you have faced challenges in tracking your vaccination schedule through the COWIN system, what improvements would you recommend?	Gather recommendations for improving the process of tracking vaccination schedules through the COWIN system.
20	If you were to suggest improvements to the COWIN system's data security and privacy features or any other aspect as well, what would they be?	Gather suggestions for improving data security, privacy features, or other aspects of the COWIN system.

# KEY INSIGHTS AND ANALYSIS

# **Inputs: Health Workers**



# Figure 7.1: Distribution of Categories of the Health Workers

The distribution of different categories among the health workers was surveyed (Please refer to Fig 7.1). The majority of health workers who responded were nurses, followed by doctors, with smaller proportions in other roles such as medical officers/staff, paramedics, and pharmacists.



### Figure 7.2: Distribution of Gender in the Health Workers

There are 161 occurrences where the response option is "Female". This indicates that the majority of health workers in the surveyed population identify as female. There are 70 occurrences where the response option is "Male". This suggests that a smaller proportion of health workers identify as male. There is 1 occurrence where the response option is "Prefer not to say" (Refer to Fig 7.2).



Figure 7.3: Distribution of Gender and Categories of Health Workers

Reference Figure 7.3, For, 'Medical Officers/ Staff', there are 11 occurrences of 'Male'. This suggests that the majority of Medical Officers/ Staff in the surveyed population identify as male. For the response option 'Nurses', there are 143 occurrences of 'Female', indicating that the majority of Nurses in the surveyed population identify as female. Additionally, there is 1 occurrence where it is 'Male'. For the response option 'Doctors',

there are 45 occurrences of 'Male', indicating that a significant portion of Doctors in the surveyed population identifies as male. Additionally, there are 17 occurrences of 'Female', suggesting a smaller proportion of female doctors. Furthermore, there is 1 occurrence as 'Prefer not to say'. For the response option 'Pharmacists', there are 6 occurrences for 'Male'. This suggests that the majority of Pharmacists in the surveyed population identify as male. For the response option 'Paramedics', there are 8 occurrences of 'Male', indicating that the majority of Paramedics in the surveyed population identify as male. Overall, this data provides insights into the gender distribution among different types of health workers in the surveyed population.





#### Figure 7.4: Sector Wise Distribution of Health Workers

"Government- public hospitals, primary health centers, and community health centers" has the highest count of 212 occurrences. This suggests that the majority of health workers in the surveyed population are employed in government-owned healthcare facilities such as public hospitals, primary health centers, and community health centers.

"Private Sector: Private hospitals, clinics, pharmacies, and healthcare organizations" has a count of 14 occurrences. This indicates that a smaller proportion of health workers are employed in the private sector, including private hospitals, clinics, pharmacies, and healthcare organizations. "NGO/ Other's" has a count of 3 occurrences. This implies that only a few health workers are employed in non-governmental organizations or other types of healthcare settings.

This information could be valuable for workforce planning, resource allocation, and policy development in the healthcare sector (Refer to Fig 7.4).



## Figure 7.5: Distribution of Roles in the Health Workforce

The distribution of roles provides insights into the composition of the health workforce, highlighting the diversity of roles and responsibilities within the healthcare system (refer to Fig 7.5). This analysis helps in understanding the composition of the health workforce and can inform workforce planning and resource allocation strategies within the healthcare sector.



#### Figure 7.6: Module Usage by Health Workforce

Reference Fig 7.6, the data provides insights into the usage of different modules or systems among health workers. The majority of health workers reported using either the "No Module used- Though Assisted Others Using Them" option or specific modules like "Certification Module", "Registration & Scheduling Module", "Vaccination Facility Module", and "Vaccination Module". The small number of instances where the response

80

is missing or not specified should be taken into account during analysis. A pie chart visualizes the distribution of module usage among health workers, with percentages displayed on each slice.



# Figure 7.7: Distribution of Roles With Gender in the Health Workforce

Roles like, "Supervisor/Managers, Vaccinator, Vaccine Center Staff, and Other Roles.", are distributed across different genders, including "Male, Female, and Prefer not to say".

For females, the most common role is "Other Roles" with a percentage of 37.5%, followed by "Vaccinator" with 36.87%. For males, the most common role is also "Other Roles" with a percentage of 45.07%, followed by "Supervisor/Managers" with 26.76%. There's a category

<b>Role Combination</b>	<b>Female</b>	Male
Other Roles	37.5%	45.07%
Supervisor/Managers	6.25%	2.81%
Vaccinator	6.25%	8.45%

"Prefer not to say" category consists of 100% in "Supervisor/Managers" (Fig 7.7 refers).

# **Attributes and Response Choices: Health Workers**

ATTRIBUTES	Counts of Response Option(1 to 5)					Mean	Median	Mode	Std Deviation
	1	2	3	4	5				
Ease of Use	6	11	37	78	97	38.16667	24	6	37.14573402
Navigation	4	12	40	100	69	37.5	26	4	36.7049043
Technical Support	5	23	41	94	65	38	32	5	33.24655371
Training Provided	7	26	44	92	55	37.33333	35	7	31.05729472
MF Authentication	6	18	38	91	72	37.5	28	6	33.74290049
UX Design	5	18	42	92	69	37.66667	30	5	33.72766751
Aesthetics	5	18	34	101	64	37	26	5	35.56215217
Data entry	5	12	34	96	80	37.83333	23	5	37.31137747
Inter-platform availability	9	12	45	97	61	37.33333	28.5	9	34.19876541
Data Tracking	9	12	33	94	79	37.83333	22.5	9	36.0620607
Report Generation	5	11	33	81	91	36.83333	22	5	36.37039394
Tech-Enhanced Features	6	13	37	91	76	37.16667	25	6	34.98293235
Error Occurrence	20	28	50	85	40	37.16667	34	20	26.52305077
Broken Navigational links	19	26	54	88	34	36.83333	30	19	28.02627735
Appointment scheduling/reschedule	5	20	43	99	60	37.83333	31.5	5	34.36770125
Loss of Data	38	39	58	52	38	37.5	38.5	38	18.43682908
Data Security	13	17	60	67	66	37.16667	38.5	13	27.73335337
Data export	11	21	59	67	63	36.83333	40	11	26.95933151
Data Back Up	6	22	50	73	70	36.83333	36	6	29.17999695
Privacy of Data	11	20	58	64	66	36.5	39	11	26.90569952
Precision/Accuracy	8	14	45	83	71	36.83333	29.5	8	31.81936866
Bothersome Daily Prompts	16	30	59	73	43	36.83333	36.5	16	24.74817614
Undesirable Events	23	32	59	66	43	37.16667	37.5	23	22.17668946
Effectiveness - for Multiple locs for vaccination	9	18	45	76	75	37.16667	31.5	9	30.39416976
Mean	10.45833	19.70833	45.75	83.33333	64.45833				
Median	7.5	18	44.5	86.5	66				
Mode	5	12	45	94	69				
Std Deviation	7.751232	7.305701	9.198052	13.21825	15.68964				

#### Table 7.3- Attributes and Response Choices by Health Workers

The dataset includes 26 attributes such as "Ease of Use," "Navigation," "Technical Support," "Training Provided," and others. Each attribute has response choices ranging from 1 to 5, indicating different levels of satisfaction or agreement (Table 7.3 refers).

The average response for each attribute is calculated. For example, "Ease of Use" has a mean of approximately 38.17, indicating that, on average, respondents rated the ease of use relatively high. Attributes such as "Ease of Use," "Navigation," and "Technical Support" have relatively high means, indicating positive ratings overall.

The distribution of responses varies across attributes, as indicated by differences in mean, median, mode, and standard deviation. Some attributes, such as "Loss of Data" and "Data Security," have relatively low mean ratings, suggesting areas for improvement.

Respondents generally provided positive ratings for various attributes related to the COWIN platform. However, there is variability in opinions, as indicated by the standard deviations, which could imply differing experiences or perspectives among respondents.

**Single ANOVA test** has been done to determine whether there are significant differences in mean ratings across different attributes. This can help identify attributes where responses significantly differ.

">>> # Print the results

>>> print("F-Statistic:", f\_statistic)

F-Statistic: 0.0515266654779813

>>> print("P-Value:", p\_value)

#### P-Value: 0.9999999999706415"

The result of the single ANOVA test of all the 24 Attributes, shows an **F-statistic of approximately 0.0515 and a p-value of approximately 1**. This implies that there is no significant difference in the means of the attributes based on the given data. In other words, the variation between the group means is not significantly different from the variation within the groups. Therefore, we fail to reject the null hypothesis, suggesting that there are no significant differences among the attributes. The result of the single ANOVA test indicates that there are no significant differences among the attributes related to user experience and satisfaction.

This finding suggests that, in terms of user experience aspects such as ease of use, navigation, and technical support, the COWIN platform may have performed consistently across different attributes. Overall, this analysis provides valuable insights into the satisfaction levels and perceptions of respondents regarding various attributes, enabling informed decision-making and strategic planning for improvement initiatives.

<u>Attributes</u>		<u>COUNTS O</u>	SE CHOICES	<u>Mean</u>	Median	<u>Mode</u>	<u>Standard</u> <u>Deviation</u>		
	1	2	3	4	5				
EffectiveLocationHandling	12	21	45	69	74	44.2	45	21	25.82944
Ease to track vaccination schedule	10	25	40	68	81	46.8	40	25	24.54081
Authenticity of dig cert	8	22	34	68	89	54.2	68	22	27.71747
Adequacy: Querry/Feedback	13	27	58	63	55	43.2	55	13	28.69418
Awareness: Feedback Mechn	37	56	55	41	32	44.2	41	32	11.10692
Response from Authorities	24	29	53	57	53	44.4	53	24	15.8841
Mean	16	32.33	49.17	59.5	60.67				
Median	12.5	26	47.5	63	58				
Mode	8	21	53	68	55				
Standard Deviation	11.63	13.21	8.96	10.84	20.39				

#### Table 7.4- Six Additional Attributes and Response Choices by Health Workers

Effective Location Handling: Response Choices: 1 (12), 2 (21), 3 (45), 4 (69), 5 (74). The majority of respondents rated effective location handling relatively high, with higher counts for response choices 4 and 5, indicating satisfaction with this aspect.

Ease to Track Vaccination Schedule: Response Choices: 1 (10), 2 (25), 3 (40), 4 (68), 5 (81). Similar to effective location handling, ease of tracking the vaccination schedule received generally positive ratings, with higher counts for response choices 4 and 5.

Authenticity of Digital Certificate: Response Choices: 1 (8), 2 (22), 3 (34), 4 (68), 5 (89). Respondents rated the authenticity of the digital certificate positively, with significantly higher counts for response choices 4 and 5, indicating a high level of satisfaction with the authenticity aspect (Table 7.4 refers).

Adequacy: Query/Feedback: Response Choices: 1 (13), 2 (27), 3 (58), 4 (63), 5 (55). Adequacy of query/feedback received mixed ratings, with relatively higher counts for response choices 3 and 4, suggesting that while respondents were generally satisfied, there is room for improvement in this area.

**Awareness: Feedback Mechanism: Response Choices:** 1 (37), 2 (56), 3 (55), 4 (41), 5 (32). Awareness of the feedback mechanism received varied ratings, with the highest count for response choice 2, indicating a relatively positive perception, but lower counts for response choices 4 and 5, suggesting some dissatisfaction or lack of awareness.

**Response from Authorities**: Response Choices: 1 (24), 2 (29), 3 (53), 4 (57), 5 (53). Interpretation: Response from authorities received mixed ratings, with relatively higher counts for response choices 3 and 4, indicating moderate satisfaction, but also **a notable count for response choice 1, suggesting some dissatisfaction.** 

Overall, the analysis reveals varying perceptions among Health Workers regarding different aspects of the COWIN platform. While some aspects received predominantly positive ratings, others showed room for improvement.

In general, for most response choices, the **mean**, **median**, **and mode values are relatively close** to each other, suggesting a roughly symmetrical distribution of responses.

The **standard deviation** measures the dispersion or spread of the response counts around the mean. A higher standard deviation indicates greater variability in the counts of responses. Responses choices 2, 3, and 4 have relatively low standard deviations, suggesting that the counts of responses are clustered closely around the mean. **Response choices 1 and 5 have higher standard deviations, indicating greater variability in the counts of responses.** 

Overall Trends: Response choices 4 and 5 have higher mean counts compared to choices 1, 2, and 3, suggesting that more respondents tend to select these choices. Response choice 3 has the lowest mean count, indicating that it is less frequently selected compared to the other choices.

The mode for response choice 4 is the highest among all choices, indicating that it is the most frequently occurring count of responses. These inferences provide insights into the distribution and variation in the counts of responses across different response choices.

Single ANOVA test has been conducted:

#### "F-Statistic: 0.00339388544245695

>>> print("P-Value:", p\_value)

#### P-Value: 0.9999977028096898"

The results indicate that the **F-statistic is approximately 0.0034**, and the **p-value is approximately 1**, this suggests that there are no significant differences in the means of the counts of response choices across the six attributes. In other words, based on the given data, there is no evidence to reject the null hypothesis, implying that the attributes do not significantly differ in terms of their counts of response choices.

In terms of response patterns, the attributes are perceived similarly by the respondents. The attributes are perceived with similar levels of importance or satisfaction by the



respondents in the context of the study.

# Figure 7.8: Overall Satisfaction of COWIN App by Health Workforce

Refer to Fig 7.8 for analyzing the, "Overall Satisfaction", with response options ranging from 1.0 to 5.0. Among the respondents, the highest number of occurrences is for a satisfaction score of 4.0, with 76 occurrences. This indicates that a significant portion of respondents rated their overall satisfaction as "4.0".

Following closely behind is a satisfaction score of 5.0, with 75 occurrences. This suggests that a similar number of respondents rated their overall satisfaction as "5.0", indicating a high level of satisfaction. The lowest number of occurrences is for a satisfaction score of 1.0, with only 9 occurrences. This suggests that very few respondents rated their overall satisfaction as "1.0", indicating a low level of satisfaction. Satisfaction scores of 2.0 and 3.0 have moderate occurrences, with 18 and 45 occurrences respectively, indicating varying levels of satisfaction among respondents.

Overall, the data suggests that the **majority of respondents rated their overall** satisfaction as moderate to high, with relatively fewer indicating low satisfaction levels. This information can be valuable for assessing the overall satisfaction of health workers and identifying areas for improvement.



Figure 7.9: AEFI / Feedback Improvement Suggestions by Health Workforce

Several key areas for improvement have been suggested in the feedback by Health Workers with respect to the, Feedback/Adverse Events Following Immunization (AEFI): **Simplification of Feedback Submission Process**: The most frequently suggested improvement is to simplify the feedback submission process by reducing the number of steps required. This indicates that health workers find the current process cumbersome and time-consuming. Streamlining the process could enhance efficiency and encourage more health workers to report AEFI (Fig 7.9 refers).

**Confidentiality and Anonymity of Feedback**: Ensuring confidentiality and anonymity of feedback submissions is another important concern raised by health workers. This suggests that there may be apprehensions about the privacy of submitted feedback. Addressing these concerns could increase trust and encourage more candid reporting of AEFI.

**Clear Guidelines on Reporting Adverse Events:** Many health workers emphasize the need for clear guidelines on reporting adverse events. This indicates a potential lack of clarity or inconsistency in the existing guidelines. Providing comprehensive and easy-to-understand guidelines can help standardize the reporting process and improve data quality.

Establishment of Dedicated Reporting Channels: Several suggestions advocate for the establishment of dedicated channels for reporting AEFI concerns. This implies that existing reporting mechanisms may not be adequately tailored to the needs of health

workers. Creating specialized channels can facilitate efficient communication and support for reporting AEFI.

**Offering Incentives for Feedback**: Some health workers suggest offering incentives, such as discounts or rewards, for providing feedback. This indicates a desire for recognition or tangible benefits associated with reporting AEFI. Implementing incentive schemes could incentivize participation and foster a culture of continuous feedback.

Overall, addressing these key areas for improvement can enhance the effectiveness and responsiveness of the feedback process for AEFI reporting among health workers. By simplifying procedures, ensuring confidentiality, providing clear guidelines, establishing dedicated channels, and offering incentives, health authorities can encourage greater participation and improve the quality of data collected on adverse events.

Based on the research outcome, it can be inferred that **simplifying the feedback submission process with fewer steps is the most emphasized suggestion**, as it has the highest count among all the responses. This indicates that stakeholders prioritize streamlining the process to make it easier for individuals to provide feedback.

Additionally, providing clear guidelines on reporting adverse events and ensuring confidentiality and anonymity of feedback submissions are also significant considerations, as they have relatively high counts. This suggests that stakeholders recognize the importance of clarity in reporting procedures and the need to protect the privacy and confidentiality of feedback providers.

Furthermore, offering incentives for providing feedback and establishing dedicated channels for reporting adverse events and AEFI concerns are also valued suggestions, although they have slightly lower counts compared to the other responses. This indicates that while incentives and dedicated channels are considered important, they may not be as critical as simplifying the feedback process and ensuring clear guidelines and confidentiality.

Overall, these findings highlight key areas of focus for improving the feedback submission process in terms of simplicity, clarity, confidentiality, and support mechanisms such as incentives and dedicated channels.



Figure 7.10: COWIN Dashboard Improvements- Health Workers

The data provides insights into various aspects of the CoWIN dashboard improvements and their implementation in the vaccination process (Fig 7.10 refers). Live Vaccination Centres (90): This indicates the presence of a considerable number of live vaccination centers, highlighting the widespread availability of vaccination facilities. Number of Citizens Registered (64): This represents the number of citizens who have registered for vaccination through the CoWIN platform, reflecting public engagement and interest in vaccination. Number of Vaccine Doses Administered (70): This shows the total number of vaccine doses administered, indicating progress in the vaccination drive and the utilization of available vaccine doses. Distribution by States & Districts (0): The absence of data in this category suggests a lack of information regarding the distribution of vaccination efforts across different states and districts.

Split between 1st & 2nd Dose (66): This indicates the distribution of administered vaccine doses between first and second doses, essential for tracking vaccination completion rates and ensuring timely administration of second doses. Adverse Event Following Immunization (AEFI) Reports (95): The high count of AEFI reports underscores the importance of monitoring and reporting adverse events to ensure the safety and efficacy of vaccination programs.

Split by Age and Gender (0): The **absence of data in this category suggests a lack of information regarding the distribution of vaccination coverage by age and gender groups, which is crucial for targeted vaccination strategies.** 

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Split by Vaccine Brands (81): This indicates the distribution of vaccine brands used in the vaccination process, reflecting the availability and utilization of different vaccine types.

Other (please specify) (0): The absence of data in this category indicates that no specific responses fell under the "Other" category, **suggesting a comprehensive coverage of the key aspects of CoWIN dashboard improvements.** 



Figure 7.11: Additional Features in COWIN - Health Workers

Integration with electronic health records for comprehensive health monitoring (101): This high count suggests a significant emphasis on integrating healthcare services with electronic health records (EHRs) for comprehensive monitoring of patient health. It indicates a trend towards leveraging digital technologies for more efficient and effective healthcare management (Fig 7.11 refers)

Expansion to include services such as telemedicine or virtual consultations (90): The substantial number of mentions indicates a growing adoption of telemedicine and virtual consultation services. This expansion suggests a shift towards remote healthcare delivery models, likely driven by factors such as convenience, accessibility, and the need to mitigate the impact of physical distancing measures.

Implementation of a blood donation platform for addressing blood shortages (75): The presence of this initiative highlights a recognition of the importance of addressing blood shortages in healthcare systems. It indicates efforts towards developing platforms or

systems to facilitate blood donation processes, potentially aimed at increasing blood supply and ensuring timely access to blood products for patients in need.

Incorporation of features for managing chronic disease management programs (70): This indicates a focus on developing tools or programs specifically designed for managing chronic diseases. It suggests a proactive approach toward addressing the challenges associated with chronic conditions, such as long-term monitoring, patient education, and personalized care plans.

Integration with organ donation registries to promote organ donation (84): The emphasis on integrating with organ donation registries reflects a commitment to promoting organ donation and transplantation. It indicates efforts to streamline the organ donation process, enhance registry management, and raise awareness about organ donation to address the growing demand for organ transplants.

Overall, the data suggests a multifaceted approach toward leveraging technology and innovative strategies to improve various aspects of healthcare delivery, including monitoring patient health, expanding access to care through telemedicine, addressing blood shortages, managing chronic diseases, and promoting organ donation. These efforts align with broader goals of enhancing healthcare accessibility, efficiency, and effectiveness to meet the evolving needs of patients and healthcare systems.



Figure 7.12: Integration of COWIN with ABDM - Health Workers

Integration with ABDM: Reference data and Fig 7.12, Enhanced access to medical records and treatment history, was recommended, 114 times. Enhanced coordination and communication among healthcare providers: Occurs 97 times. Increased efficiency in

healthcare service delivery: Occurs 94 times. Improved interoperability between healthcare systems: Also occurs 97 times. Streamlined patient registration and identification: Occurs 109 times.

The analysis indicates that **"Enhanced access to medical records and treatment history" and "Streamlined patient registration and identification"** are the most frequently mentioned responses, with 114 and 109 occurrences respectively. This suggests that stakeholders place a significant emphasis on improving patient access to medical records and streamlining patient registration processes. Consistency in Responses: The counts for "Enhanced coordination and communication among healthcare providers" and "Improved interoperability between healthcare systems" are consistent, both occurring 97 times. This consistency suggests that stakeholders recognize the importance of effective communication and interoperability in healthcare delivery.

Efficiency Concerns: The response "Increased efficiency in healthcare service delivery" occurs slightly fewer times (94) compared to other categories. This could indicate that while efficiency is recognized as important, it may not be prioritized as highly as other aspects of healthcare improvement.

Blank Responses: The presence of 25 blank responses suggests either missing data or instances where respondents did not provide a specific response. Further investigation may be needed to understand why these responses are blank and whether they represent a lack of awareness or consideration for certain aspects of healthcare improvement. Overall, the analysis provides insight into the perceived priorities and areas of focus for healthcare improvement, highlighting the importance of patient access, communication among providers, interoperability, and streamlined processes.



# Figure 7.13: Addition of Organ Donation with COWIN - Health Workers

The most frequently mentioned response is "Enhanced awareness and education about organ donation" (120 occurrences). This suggests **a strong emphasis on increasing public knowledge and understanding of organ donation,** which is crucial for encouraging participation and addressing misconceptions (Fig 7.13 refers).

The response "Simplified registration process for donors" is also highly mentioned (113 occurrences). This indicates recognition of the importance of streamlining the registration process to make it easier for individuals to become organ donors, potentially increasing the donor pool.

"Improved coordination between donors and recipients" is another commonly cited response (114 occurrences). This underscores the importance of effective communication and collaboration between donors and recipients to ensure successful organ transplantation processes.

Although slightly less frequently mentioned, "Facilitated tracking and matching of organ donors and recipients" (96 occurrences) highlights the need for efficient systems and processes to match donors with recipients accurately, potentially reducing waiting times and increasing transplant success rates.

Overall, the analysis suggests a comprehensive approach to improving organ donation processes, focusing on increasing awareness, simplifying registration, enhancing coordination, and optimizing tracking and matching mechanisms. These efforts are essential for addressing the gap between organ supply and demand and ultimately improving patient outcomes in need of organ transplantation.

#### **Data Security/ Privacy Improvements**

After analyzing the provided suggestions, the refined list of main suggestions given by Health Workers, for improvement includes:-

1. Implementing a system similar to Aadhar for tracking purposes via mobile devices.

2. Ensuring proper interaction with receivers.

3. Implementing real-time OTP updates via SMS or email for account access (2-factor authentication).

4. Increasing bandwidth to improve system performance.

5. Maintaining confidentiality in all linkages, especially when accessing medical records.

6. Utilizing blockchain technology for better authentication.

7. Implementing data locking mechanisms to control data accessibility.

8. Providing healthcare providers with personal login IDs for better access.

9. Improving accessibility of the system.

10. Simplifying the system and providing clear instructions.

11. Restricting data operation to specified/authorized personnel and ensuring timely data transfers.

12. Providing social care for nursing staff.

13. Categorizing private and confidential data to restrict access.

14. Ensuring all individual records are linked to a registered mobile number.

15. Prioritizing server security by involving experts who manage bank websites.

16. Outsourcing security features and implementing password protection for users.

17. Enhancing the robustness of the system.

18. Ensuring data privacy.

19. Improving the speed and efficiency of the data entry process.

20. Enhancing communication and management practices.

21. Implementing data backup for beneficiaries based on ID card numbers for longterm preservation of vaccination history.

22. Implementing two-way authentication to prevent security breaches.

23. Enhancing awareness through music and slogan campaigns.

24. Implementing user name and password authentication for each patient.

These suggestions cover a wide range of areas for improvement in terms of system functionality, security, accessibility, and user experience in healthcare systems.



# **Public Feedback and Recommendations**

Figure 7.14: Education Status - Public

The most common type of response among the individuals surveyed is "High school diploma or equivalent", with a count of 148. Following that, "Bachelor's degree" is the next most frequent response, with a count of 131. "Master's degree or higher" comes next, with a count of 122. Lastly, there were 8 respondents who indicated "No formal education". There is a small minority who reported having no formal education. This information can provide insights into the educational attainment levels of the surveyed population and may be useful for understanding the demographics or characteristics of the group (Fig 7.14 refers).



Figure 7.15: Professional Status - Public

The majority of respondents (327) are employed, either full-time or part-time. This indicates that a significant portion of the public participating in the research are part of the workforce. A smaller but notable portion (58) of respondents are self-employed or entrepreneurs. This suggests a presence of individuals who are actively involved in entrepreneurial activities or are self-sufficient in their work. There are 14 respondents who identified themselves as students. This could indicate that there is also a representation of younger individuals or those pursuing further education among the respondents. Additionally, there are 10 respondents who are retired. This suggests the participation of individuals who have already completed their professional careers and are no longer part of the active workforce (Fig 7.15 refer).


# Figure 7.16: Gender Distribution - Public

The majority of respondents (357) identify as male, while a smaller proportion (52) identify as female (Fig 7.16 refers).



Figure 7.17: Age Profile - Public

There are two age groups specified: "18-44 years" and "45-59 years". The data indicates the number of respondents falling within each age group: 269 respondents are between 18 and 44 years old, while 140 respondents are between 45 and 59 years old.

Interpreting this data in the context of a research study on the CoWIN System implemented by the government for COVID-19 vaccination: The data provides insights into the age demographics of the respondents participating in the research study. It suggests that there is a larger representation of individuals aged 18 to 44 years compared to those aged 45 to 59 years. Understanding the age distribution of respondents is crucial for evaluating vaccine uptake patterns across different age groups. It helps researchers identify any variations in vaccination rates among different age cohorts and tailor vaccination strategies accordingly. Additionally, this information can inform efforts to address any specific concerns or barriers to vaccination that may be more prevalent among certain age groups, ensuring equitable access to vaccination services for all individuals. Overall, the data on age distribution provides valuable insights into the demographic characteristics of the respondents and can guide targeted interventions to enhance vaccination coverage and effectiveness (Fig 7.17 refers).



Figure 7.18: Use of Mobile and Connectivity Issues - Public

For data representing "Used Mobile": The most frequent response is "Frequently", with a count of 173. Following that, "Occasionally" is the next most common response, with a count of 126. "Rarely" is the least common response, with a count of 110. For data representing "Issues in Connectivity": The most frequent response is "Rarely", with a count of 219. Next is "Frequently", with a count of 104. "Occasionally" is the least common response, with a count of 219. Next is "Frequently", with a count of 104. "Occasionally" is the

In terms of using mobile devices for accessing vaccination-related information or services, a significant portion of respondents indicated that they do so frequently or occasionally. This **suggests a high level of engagement with mobile technology for**  vaccination-related activities. Conversely, a smaller proportion of respondents reported rarely using mobile devices for these purposes, indicating that there may be some individuals who are less reliant on mobile technology for accessing vaccination services.

Regarding issues related to connectivity, the majority of respondents reported rarely experiencing connectivity issues. This suggests that, overall, there are few barriers related to connectivity hindering access to vaccination-related information or services. However, a notable minority of respondents did report experiencing connectivity issues frequently. This indicates that there are still some individuals who face challenges with connectivity, which could potentially impact their ability to access vaccination services or information effectively.

Additionally, a smaller proportion of respondents reported occasionally experiencing connectivity issues, further highlighting the variability in connectivity experiences among the surveyed population.

Overall, the data provides insights into the usage of mobile devices and issues related to connectivity in accessing vaccination-related information or services. Understanding these patterns can inform efforts to improve access and outreach strategies, ensuring equitable access to vaccination services for all individuals (fig 7.18 refers).

Attributes		Count of R	esponse Options (1	l to 5)		Mean	Median	Mode	Std Deviation
	1	2	3	4	5	1			
Ease of use	32	26	56	129	144	77.4	56	32, 26, 56, 129, 144	55.36063583
Navigation	22	22	61	136	113	70.8	61	22	52.17949789
Technical Support	32	22	73	137	101	73	73	32, 22, 73, 137, 101	47.8591684
Training Provided	31	47	80	115	88	72.2	80	31, 47, 80, 115, 88	33.44697296
UX Design	28	22	74	143	90	71.4	74	28, 22, 74, 143, 90	49.50555524
Aesthetics	20	22	101	125	69	67.4	69	20, 22, 101, 125, 69	46.78995619
Data Entry	26	28	69	133	107	72.6	69	26, 28, 69, 133, 107	47.44786613
Inter-platform Availability	20	26	76	132	87	68.2	76	20, 26, 76, 132, 87	46.33788946
Data Tracking	14	30	84	141	88	71.4	84	14, 30, 84, 141, 88	50.71291749
Report Generation	24	24	69	126	114	71.4	69	24	48.20580878
Tech Enhanced Features	22	18	85	132	94	70.2	85	22, 18, 85, 132, 94	49.12433206
Error Occurrence	32	39	93	110	65	67.8	65	32, 39, 93, 110, 65	33.67046183
Broken Nav links	34	27	105	108	65	67.8	65	34, 27, 105, 108, 65	38.12741796
Appt scheduling	20	21	82	130	102	71	82	20, 21, 82, 130, 102	49.15282291
Loss of Data	62	49	107	86	68	74.4	68	62, 49, 107, 86, 68	22.567676
Data Security	26	36	79	117	90	69.6	79	26, 36, 79, 117, 90	38.01710142
Data Export	26	27	92	135	76	71.2	76	26, 27, 92, 135, 76	46.15950606
Data Back Up	28	21	94	126	83	70.4	83	28, 21, 94, 126, 83	44.84751944
Privacy of Data	28	28	92	124	84	71.2	84	28	42.18056424
Precision/ Accuracy	22	26	70	140	88	69.2	70	22, 26, 70, 140, 88	48.63332191
Bothersome Prompts	30	27	89	139	63	69.6	63	30, 27, 89, 139, 63	46.41982335
Undesirable Events	36	35	79	113	85	69.6	79	36, 35, 79, 113, 85	33.67194678
Effectiveness Multiple Loc	24	20	65	143	101	70.6	65	24, 20, 65, 143, 101	52.2714071
Mean	27.7826087	27.95652174	81.52173913	126.9565217	89.7826087				
Median	26	26	80	130	88				
Mode	32, 22, 28, 20, 26	22	69, 79, 92	143, 132, 126	88				
Standard Deviation	9.184752789	8.193557674	13.6976095	13.73607811	18.99989598				

Table 7.5 - Attributes and Response Choices by Public

#### Analysis of table of Attributes by Public:

Reference Table 7.5, response Options (1 to 5): Each attribute is followed by the count of responses for each of the response options 1 to 5. For example, for the attribute "Ease of use", there are 32 responses rated as 1, 26 responses rated as 2, 56 responses rated as 3, 129 responses rated as 4, and 144 responses rated as 5.

For attributes with higher mean values, such as "Ease of use" and "Navigation," respondents, on average, rated these attributes higher, indicating better performance or satisfaction. For attributes with lower mean values, such as "Loss of Data" and "Undesirable Events," respondents, on average, rated these attributes lower, indicating poorer performance or higher dissatisfaction.

The median values provide a measure of central tendency and reflect the middle value of responses. Attributes with higher median values generally indicate that the majority of respondents rated these attributes favorably, closer to the higher end of the rating scale (5).

The mode represents the most frequently occurring response values. For attributes with modes closer to 5, such as "Ease of use" and "Privacy of Data," it suggests that a significant number of respondents rated these attributes as the best (5). For attributes with modes closer to 1, such as "Error Occurrence" and "Broken Nav links," it suggests that a significant number of respondents rated these attributes as the set these attributes as the set the s

Higher standard deviation values indicate greater variability or spread in responses. Attributes with higher standard deviation values may have more diverse opinions among respondents, with some rating the attribute very low (1) and others rating it very high (5). This indicates an inconsistency in perceptions or experiences among respondents.

Identification of Strengths and Weaknesses:

Strengths: Attributes with consistently high mean, median scores, and modes closer to 5:"Ease of use", "Technical Support", "Training Provided", "Data Entry", "Data Tracking", "Report Generation", "Appt scheduling", "Data Security", "Data Export", "Data Back Up", "Privacy of Data". These attributes generally have positive user perceptions and are considered strengths of the product or service.

Areas Requiring Improvement: Attributes with lower mean and median scores, diverse opinions (high standard deviation), and modes closer to 1: "Broken Nav links",

"Loss of Data", "Precision/ Accuracy". These attributes may indicate areas needing attention or improvement to enhance user satisfaction and experience.

Actionable Insights: Attributes with High Mean and Median Scores but High Standard Deviation:

Attributes such as "Aesthetics," "Inter-platform Availability," "Tech Enhanced Features," "Bothersome Prompts," and "Undesirable Events" have relatively high mean and median scores but also high standard deviation.

Attributes with Consistently Low Ratings: "Broken Nav links," "Loss of Data," and "Precision/ Accuracy" consistently have low mean, median, and mode scores. Immediate attention is required to address issues related to these attributes and improve user satisfaction. Identifying the root causes of dissatisfaction and implementing corrective measures can lead to significant improvements in these areas.

**Single ANOVA Test**: The F-statistic helps determine whether there are statistically significant differences between the means of the groups, while the p-value indicates the significance level of the test. The outcome of the Single Factor ANOVA test shows an F-statistic value of approximately 0.0125 and a p-value of 0.9999999999999999999999. The F-statistic measures the ratio of the variance between groups to the variance within groups. A smaller F-statistic indicates that the variance between groups is similar to the variance within groups. The p-value represents the probability of observing the data if the null hypothesis (the means of all groups are equal) is true. In this case, the extremely high p-value of approximately 1 suggests that **there is no significant difference between the means of the groups.** 

Overall, with such a high p-value, **we fail to reject the null hypothesis**. This indicates that there is not enough evidence to conclude that there are significant differences between the means of the groups for the given attributes. Implies that, based on the provided data, the responses across different attributes do not show statistically significant variations.

The responses across the different attributes seem to be consistent or similar. This could indicate that respondents perceive the various attributes similarly or that there is little variation in their perceptions. The test results suggest that the attributes do not discriminate significantly in terms of the responses provided. In other words, the attributes may not have distinct impacts on the respondents' perceptions or evaluations.

Attributes with high mean and median scores but a wide range of opinions (high standard deviation) may benefit from further investigation to understand the underlying factors contributing to the variability in user perceptions. For example, understanding why some users find certain features aesthetically pleasing while others do not.

## Analysis: Comparison of Public Response With Input from Health Workers

To analyze the common attributes and identify any differences or similarities between the inputs from the Public and Health workers, let's compare the two datasets:

**Ease of Use**: The mean for the Public is 77.4, while for Health workers, it's 38.2. This suggests a significant difference in perceived ease of use between the two groups.

**Navigation**: The mean for the Public is 70.8, while for Health workers, it's 37.5. Again, a notable difference.

**Technical Support**: The mean for the Public is 73, and for Health workers, it's 38. This shows a difference in perceived technical support satisfaction.

Training Provided: Mean for the Public is 72.2, while for Health workers, it's 37.3.

UX Design: Mean for the Public is 71.4, while for Health workers, it's 37.7.

Data Entry: Mean for the Public is 72.6, while for Health workers, it's 37.8.

These comparisons reveal **consistent differences in perception between the Public and Health workers across various attributes.** Further analysis can be done to understand the reasons behind these differences and how they might impact the overall effectiveness and satisfaction with the product or service.



#### Figure 7.19: Ease of Tracking and Authenticity of DC - Public

As far as inputs on Ease of Tracking and Authenticity of the Digital Certificate, by public about COWIN application is analysed as follows.

"Ease for tracking vaccination schedule and Interval management": The mean rating for this attribute is 70.6, indicating a relatively positive perception on average. The median rating is also 70, suggesting that the middle value of responses falls in the 3 to 4 range, which is positive. The mode is at 24, meaning that 24 respondents rated this attribute as 1, which might indicate some dissatisfaction. The standard deviation is approximately 48.83, indicating a fair amount of variability in respondents' opinions.

"Authenticity of the Digital Certificate": The mean rating for this attribute is 73, which is slightly higher than the previous attribute, suggesting a slightly more positive perception on average. The median rating is 71, indicating that the middle value of responses falls in the 3 to 4 range, similar to the previous attribute. The mode is at 28, indicating that 28 respondents rated this attribute as 1, possibly indicating some dissatisfaction. The standard deviation is 50, similar to the previous attribute, indicating variability in respondents' opinions.

In summary, both attributes have relatively positive mean and median ratings, suggesting a generally positive perception. However, the presence of modes at the lower end of the scale and the high standard deviations indicate variability in respondents' opinions, with some expressing dissatisfaction (Fig 7.19 refers).



#### Figure 7.20: Overall Satisfaction with the COWIN App by - Public

The majority of respondents rated their overall satisfaction as "4.0", with 143 occurrences. This indicates that a significant proportion of individuals expressed a relatively high level of satisfaction. Following closely behind is a satisfaction rating of "5.0", with 101 occurrences. This suggests that a substantial number of respondents rated their satisfaction as the highest possible level. There is a moderate number of responses for a satisfaction rating of "3.0", with 65 occurrences. This indicates that a sizable portion of individuals expressed a moderate level of satisfaction. Satisfaction ratings of "1.0" and "2.0" have fewer occurrences, with 24 and 20 respectively. This suggests that a smaller proportion of respondents expressed low levels of satisfaction. Overall, the data indicates that the majority of respondents from the Public dataset rated their overall satisfaction positively, with a significant portion giving high satisfaction ratings. However, there are still some respondents who expressed lower levels of satisfaction, albeit in smaller numbers (Fig 7.20 refers).

# Among the features provided by the CoWIN platform's dashboard, which one public feel requires improvements?

Number of Citizens Registered Adverse Event Following Immunization (AEFI) Reports Split by Age and Gender 11.0% 8.4% Live Vaccination Number of Vaccine Centres 12.8% Doses Administered 11 2% Distribution by States & Districts Split by Vaccine Split between 1st & 2nd Dose

Figure 7.21: COWIN Dashboard Improvement- Public Preception

The responses obtained from the public pertain to their perceptions regarding the features provided by the CoWIN platform's dashboard and the areas they believe require improvements. Here's the interpretation:

"Live Vaccination Centres" (Count: 239): The high count for this response suggests that a significant number of respondents perceive a need for improvements in the availability or functionality of live vaccination centers. This indicates a potential concern or dissatisfaction among the public regarding accessibility or efficiency at vaccination centers.

"Split by Vaccine Brands" (Count: 135): The moderate count for this response indicates that some respondents feel there is room for improvement in organizing or categorizing vaccine information based on different vaccine brands. This suggests a desire for clearer or more detailed information to aid decision-making or understanding of vaccine options.

"Split between 1st & 2nd Dose" (Count: 149): The moderate count for this response suggests that respondents perceive a need for improvements in tracking or managing the administration of first and second vaccine doses. This indicates a potential area of confusion or inefficiency in communicating or managing vaccination schedules.

"Distribution by States & Districts" (Count: 149): The moderate count for this response indicates that respondents perceive challenges or inadequacies in the geographical



distribution of vaccines across states and districts. This suggests concerns about equitable access to vaccines and potential disparities in distribution.

"Number of Vaccine Doses Administered" (Count: 155): The relatively high count for this response suggests that respondents are concerned about the accuracy or transparency of data related to the total number of vaccine doses administered. This indicates a desire for clearer or more reliable reporting mechanisms to track progress and monitor vaccine distribution.

"Split by Age and Gender" (Count: 147): The moderate count for this response suggests that respondents perceive a need for more targeted or tailored approaches to vaccine distribution based on demographic groups such as age and gender. This indicates concerns about addressing specific population needs or ensuring equitable access to vaccines across different demographics.

"Number of Citizens Registered" (Count: 133): The moderate count for this response suggests concerns or suggestions regarding the registration process for vaccination. This indicates potential issues with accessibility, ease of registration, or system functionality, reflecting a desire for smoother and more efficient registration procedures.

"Adverse Event Following Immunization (AEFI) Reports" (Count: 101): The relatively low count for this response suggests that while some respondents are concerned about vaccine safety monitoring and reporting mechanisms, it may not be as prominent a concern compared to other areas. However, it still highlights the importance of transparency and responsiveness in addressing vaccine safety concerns.

Overall, the counts for each type of response provide quantitative evidence of the public's perceptions and concerns regarding various aspects of the CoWIN platform's dashboard features. These insights can inform policymakers and stakeholders in addressing specific areas of improvement to enhance the effectiveness, accessibility, transparency, and safety of the vaccination process (Fig 7.21 refers).



# Figure 7.22: Comparison COWIN Dashboard Improvement- Public and Health Workers

Upon comparing the normalized counts for the responses from the public and healthcare workers, we can observe the following (Refer to Fig 7.22):-

**Similar Trends**: Both groups prioritize "Number of Citizens Registered" as an important aspect, with approximately 12-13% of responses from each group. "Split by Age and Gender" is also considered significant by both groups, with responses ranging from around 13-14%.

**Differences**: Health workers seem to emphasize "Adverse Event Following Immunization (AEFI) Reports" more than the public, with approximately 19% of responses compared to 9% from the public.

On the other hand, the public shows a higher interest in "Number of Vaccine Doses Administered" and "Split by Vaccine Brands," with responses around 14-16%, while health workers' responses for these categories are around 13-14%.

There's a slight discrepancy in the importance given to "Live Vaccination Centres" by both groups, with health workers' responses being around 18-19%, compared to around 22% from the public.

**Overall Perception:** Both groups share common concerns regarding vaccination distribution, tracking, and reporting, albeit with varying degrees of emphasis.

Health workers appear to be more focused on safety and adverse event reporting, while the public shows a broader interest in vaccination logistics and tracking.

These observations highlight the need for targeted strategies and communication approaches to address the specific concerns and priorities of both the public and healthcare workers in the vaccination process.



#### Figure 7.23: Adequacy of Feedback/AEFI -Public

Reference Fig 7.23, Response "1.0" (Low/Bad/Poor) has a count of 32.Response "2.0" has a count of 31.Response "3.0" has a count of 103.Response "4.0" has a count of 103.Response "5.0" (Highest/Best/Very Good) has a count of 101.

The data suggests a relatively balanced distribution of responses across the different rating levels. Responses "3.0" and "4.0" have the highest counts, indicating that a significant portion of the respondents perceive the adequacy of the query/feedback system to be average or satisfactory. The counts for responses "1.0" and "2.0" suggest that there are some respondents who perceive the system to be of low quality. However, the count for response "5.0" suggests that there is a substantial proportion of respondents who view the system as being of high quality or very good.

Overall, the data indicates that while there are varying perceptions about the adequacy of the query/feedback system, a considerable number of respondents have rated it positively, with ratings of "3.0" and "4.0" being the most common.



Figure 7.24: Awareness of Feedback/AEFI - Public

Reference Fig 7.24, Response "1.0" (Not aware) has a count of 43. Response "2.0" (Never) has a count of 64.Response "3.0" (Partially/sometimes) has a count of 95.Response "4.0" (Regularly) has a count of 74.Response "5.0" has a count of 82.

The data suggests varying levels of awareness and engagement with providing feedback through the CoWIN system or reporting Adverse Events Following Immunization (AEFI).

Overall, the data highlights the importance of awareness campaigns and user education initiatives to promote active participation in providing feedback and reporting AEFI through the CoWIN system. Increasing awareness and encouraging regular engagement can contribute to improving the effectiveness of the feedback mechanism and enhancing vaccine safety monitoring efforts.

Level of Awareness: A significant portion of respondents (count: 43) indicated that they were not aware of the feedback or AEFI reporting mechanisms provided by the CoWIN system. This suggests a need for improved communication and awareness campaigns to educate the public about these features.

Engagement: While some respondents reported partial awareness and occasional engagement (count: 95), there were also respondents who indicated regular utilization of the system for providing feedback or reporting AEFI (count: 74). This highlights varying

levels of engagement within the population and underscores the importance of promoting consistent participation.

Opportunities for Improvement: The data indicates that there is room for improvement in increasing awareness and encouraging active engagement with the feedback and AEFI reporting mechanisms. Strengthening awareness campaigns, simplifying the reporting process, and enhancing user education initiatives can help in fostering greater participation and improving vaccine safety monitoring efforts.

Overall, the findings underscore the significance of enhancing public awareness and engagement with feedback and AEFI reporting mechanisms to ensure the effectiveness of the CoWIN system in monitoring vaccine safety and addressing public concerns.



#### Figure 7.25: Responsiveness of Authorities- Feedback/AEFI - Public

Based on the analysis of the data on "Responsiveness from Authorities," which corresponds to the provided concept of getting a response from authorities to queries or feedback raised, the following interpretation can be made:

Reference Fig 7.25, Response "1.0" (No Response) has a count of 34. This indicates instances where respondents did not receive any response from authorities to their queries or feedback.

Response "2.0" (Delayed/Not Accurate) has a count of 33. This suggests cases where respondents experienced delays or received responses that were not accurate or satisfactory.

Response "3.0" (Delayed/Accurate) has a count of 101. This implies situations where respondents received responses from authorities after a delay, but the responses were accurate and addressed their queries or feedback adequately.

Response "4.0" (Prompt/No Accuracy) has a count of 83. This indicates instances where respondents received prompt responses from authorities, but the responses lacked accuracy or did not fully address their queries or feedback.

Response "5.0" (Prompt/Accurate) has a count of 109. This suggests cases where respondents received prompt and accurate responses from authorities, effectively addressing their queries or feedback.

Overall, the data reflects varying experiences of respondents regarding the responsiveness of authorities to queries or feedback raised. While some respondents received prompt and accurate responses, others experienced delays or received responses that were not entirely satisfactory. Addressing delays and ensuring the accuracy of responses can contribute to enhancing the effectiveness of communication between authorities and the public, fostering trust and transparency in governance processes.



#### Figure 7.26: Suggestion For Improvements- Feedback/AEFI - Public

Reference fig 7.26, "Offer incentives for providing feedback, such as discounts or rewards." (Count: 118): This response indicates that a significant number of respondents are interested in receiving incentives for providing feedback. The relatively high count suggests that offering rewards or discounts could be an effective strategy for encouraging more feedback through the CoWIN system, especially concerning AEFI.

"Ensure confidentiality and anonymity of feedback submissions." (Count: 186): With a relatively high count, this response underscores the importance of privacy and anonymity in feedback submissions. It indicates that a substantial portion of respondents values confidentiality, suggesting that measures to protect user privacy could significantly enhance participation and trust in the feedback process.

"Simplify the feedback submission process with fewer steps." (Count: 245): This response received the highest count among the options, highlighting the widespread desire for a streamlined feedback submission process. The significant number of respondents expressing this preference suggests that simplifying the process by reducing steps and complexity could greatly increase engagement and participation.

"Establish dedicated channels for reporting AEFI concerns." (Count: 136): While not as high as the count for simplifying the feedback process, this response still indicates a notable interest in having specialized channels for reporting AEFI concerns. The moderate count suggests that respondents see value in having dedicated avenues for addressing specific issues related to adverse events following immunization.

"Provide clear guidelines on reporting adverse events." (Count: 196): With a relatively high count, this response underscores the importance of clear communication and guidance in the feedback process, particularly regarding adverse events. The significant number of respondents expressing this preference suggests that providing clear guidelines could facilitate more effective and accurate reporting of AEFI concerns.

Overall, the data reveals a range of preferences among respondents regarding the features and improvements that would encourage them to provide more feedback through the CoWIN system, especially concerning AEFI. While incentives, confidentiality, and simplification are key considerations, specialized channels and clear guidelines also play crucial roles in enhancing user engagement and trust.



Figure 7.27: Comparison - Suggestion For Improvements- Feedback/AEFI –Public and Health Workers

Reference Fig 7.27, on comparison of the normalized data for the public and health worker responses: Provide clear guidelines on reporting adverse events: Public: 29.93%. Health Workers: 52.56%. Analysis: Health workers show a significantly higher emphasis on the importance of clear guidelines for reporting adverse events compared to the public.

Offer incentives for providing feedback, such as discounts or rewards: Public: 15.08%. •

Health Workers: 26.50%. Analysis: Health workers also prioritize offering incentives for feedback more than the public, although to a lesser extent than clear guidelines for reporting adverse events.

Simplify the feedback submission process with fewer steps: Public: 28.21%.Health Workers: 49.57%. Analysis: Health workers place a stronger emphasis on simplifying the feedback submission process compared to the public.

Ensure confidentiality and anonymity of feedback submissions: Public: 22.88%. Health Workers: 40.17%. Analysis: Health workers also prioritize confidentiality and anonymity of feedback submissions more than the public.

Establish dedicated channels for reporting AEFI concerns: Public: 21.87%. Health Workers: 38.46%. Analysis: Similarly, health workers show a higher emphasis on establishing dedicated reporting channels for adverse events compared to the public.

Overall, the comparison reveals that health workers consistently prioritize various aspects of feedback improvement more than the public does. This suggests that health workers

may have a deeper understanding of the importance of feedback mechanisms and their potential impact on healthcare delivery.

# Public view on integrating a Blood Donation Platform into the CoWIN platform would encourage more people to donate blood?



## Figure 7.28: Views on Integrating Blood Donor – Public

**Reference Fig 7.28,** Yes: 241 respondents indicated that they believe integrating a Blood Donation Platform into the CoWIN platform would encourage more people to donate blood. This suggests a significant level of support for the idea among the respondents. No: 60 respondents expressed skepticism and indicated that they do not believe integrating a Blood Donation Platform into the CoWIN platform would encourage more people to donate blood. This suggests that there is a minority of respondents who are not convinced about the potential effectiveness of such integration. Not sure: 74 respondents were unsure about whether integrating a Blood Donation Platform into the CoWIN platform indicates a level of uncertainty or indecision among some respondents regarding the potential impact of such integration.

Overall, it appears that there is considerable interest and support for the idea of integrating a Blood Donation Platform into the CoWIN platform among the respondents, as evidenced by the higher number of "Yes" responses compared to "No" responses. However, the presence of a significant number of respondents who are unsure suggests that there may be varying levels of awareness or understanding about the potential benefits of such integration. Further education or clarification on the topic may be needed to address any uncertainties among respondents. From Public perspective, what are the potential benefits of integrating the CoWIN platform with the Ayushman Bharat Digital Mission for healthcare delivery and patient management?



# Figure 7.29: Integration of COWIN with ABDM Benifits – Public

Reference fig 7.29, Streamlined patient registration and identification (191 responses, 46.44%): This response garnered the highest percentage of responses, indicating a strong emphasis on improving the ease and efficiency of patient registration processes. Improved interoperability between healthcare systems (187 responses, 45.48%): This response indicates a recognized need for better integration and communication between different healthcare systems to ensure seamless data exchange and coordination.

Enhanced access to medical records and treatment history (141 responses, 34.32%): The significant number of responses in this category suggests a desire for easier access to personal medical information, which is crucial for informed decision-making and continuity of care.

Enhanced coordination and communication among healthcare providers (138 responses, 33.55%): While slightly lower in percentage, this response still highlights the importance of effective collaboration and communication among healthcare professionals to ensure optimal patient care.

Increased efficiency in healthcare service delivery (148 responses, 35.98%): Though important, this aspect received a slightly lower percentage compared to other options, indicating that while efficiency is valued, it may not be the primary focus for improvement.

Overall, the data reflects a strong emphasis on improving patient experiences, enhancing data accessibility and interoperability, and fostering better communication and coordination among healthcare providers.

Additional functionalities or features the public would like to see incorporated into the CoWIN platform to enhance its usefulness for managing healthcare initiatives beyond COVID-19 vaccination?



## Figure 7.30: Additions in COWIN- post COVID – Public

Reference Fig 7.30, The responses from the public provide valuable insights into their preferences for additional functionalities or features in the CoWIN platform to enhance healthcare initiatives beyond COVID-19 vaccination. Here's an analysis and interpretation of the outcome:

Integration with electronic health records for comprehensive health monitoring (200 occurrences): This response indicates a strong desire among the public for seamless integration of health records into the CoWIN platform. It reflects the importance of having access to comprehensive health data for effective monitoring and management of healthcare.

Expansion to include services such as telemedicine or virtual consultations (172 occurrences): This suggests a growing demand for remote healthcare services, particularly telemedicine and virtual consultations. It highlights the need for convenient and accessible healthcare solutions beyond traditional in-person visits.

Incorporation of features for managing chronic disease management programs (165 occurrences): The emphasis on managing chronic diseases underscores the significance of addressing long-term healthcare needs within the CoWIN platform. It signals a recognition of the importance of proactive management and support for individuals with chronic conditions.

Implementation of a blood donation platform for addressing blood shortages (137 occurrences): This response highlights the public's concern about blood shortages and the potential role of the CoWIN platform in facilitating blood donations. It suggests a willingness to leverage the platform for addressing critical healthcare challenges beyond vaccination.

Integration with organ donation registries to promote organ donation (144 occurrences): Similarly, the focus on organ donation indicates a desire to leverage the CoWIN platform for promoting and facilitating organ donation efforts. It reflects a broader commitment to enhancing healthcare outcomes and saving lives through organ donation initiatives.

Overall, the responses reflect a multifaceted approach to enhancing the CoWIN platform's usefulness for managing healthcare initiatives. The emphasis on integration with health records, expansion of services, and support for disease management and donation programs underscores the platform's potential to serve as a comprehensive healthcare management tool beyond its initial vaccination focus.



## **Analysing Inputs from Public and Health Workers**

Figure 7.31: Analysis of Public and Health Workers – Desired Features in COWIN

Reference fig 7.31, the top two desired features for both health workers and the public are **integration with electronic health records and expansion to include services such as telemedicine or virtual consultations.** This suggests a strong demand for digital health solutions and remote healthcare services among both groups.

Interestingly, the **implementation of a blood donation platform ranks higher in the priorities of health workers compared to the public.** This could indicate that health workers recognize the importance of addressing blood shortages and promoting organ donation within the healthcare system.

The incorporation of features for managing chronic disease management programs is also a significant priority for both health workers and the public. This highlights the importance of proactive healthcare measures and disease prevention strategies in the community.

Overall, the normalized data suggests a convergence of priorities between health workers and the public, with a focus on leveraging technology to improve healthcare access and management, as well as addressing critical healthcare needs such as blood shortages and chronic disease management.

Public- opinion, what specific features or changes would make the COWIN system more user-friendly and citizen-centric?



# Figure 7.32: User Friendly Features in COWIN- Public

Reference Fig 7.32, the counts of types of response options from the public regarding the features or changes that would make the CoWIN system more user-friendly and citizencentric are as follows: Enhance mobile responsiveness for easy access on smartphones: 221 occurrences, Customize the user interface based on individual preferences: 98 occurrences, Provide step-by-step guidance throughout the registration process: 171 occurrences, Implement an intuitive search function for locating vaccination centers: 121 occurrences, and Incorporate visual aids or multimedia tutorials for clarity: 134 occurrences.

The highest count is for the suggestion to enhance mobile responsiveness, indicating a strong desire for easy access to the CoWIN system on smartphones. This suggests that a significant portion of the public relies on mobile devices for accessing the system, highlighting the importance of optimizing the platform for mobile use.

The relatively lower counts for customizing the user interface, implementing an intuitive search function, and providing step-by-step guidance suggest that while these features are desirable, they may not be as crucial as mobile responsiveness. However, they still represent important considerations for improving user experience and accessibility.

In summary, the data suggests that prioritizing enhancements for mobile responsiveness, along with incorporating additional features such as customizable interfaces and intuitive guidance, could significantly improve the user experience and citizen-centricity of the CoWIN system. Additionally, efforts may be needed to raise awareness and gather more comprehensive feedback from users to address any existing gaps or uncertainties regarding system improvements.

Challenges faced in tracking your vaccination schedule through the COWIN system, what improvements would you recommend?



## Figure 7.33: Challenges Vaccine Scheduling Overcome in COWIN- Public

Reference Fig 7.33, the response counts from the public indicate various suggestions for improving the vaccination scheduling experience through the COWIN system. Here's an analysis and interpretation of the outcomes:

"No Views": 51 occurrences - This response suggests that some individuals either have no specific recommendations for improvement or they did not face challenges in tracking their vaccination schedule.

Blank responses: 361 occurrences - A significant number of respondents did not provide any feedback or left the response field empty.

"Send automated reminders for upcoming vaccination appointments": 211 occurrences -This suggests that many individuals would like to receive automated reminders to help them stay informed about their upcoming vaccination appointments. Automated reminders can be an effective way to ensure that individuals do not miss their scheduled vaccinations.

"Offer personalized vaccination schedule notifications through SMS or email": 138 occurrences - This indicates a desire for personalized notifications tailored to individuals' vaccination schedules. Providing notifications through SMS or email can help individuals stay organized and informed about their vaccination appointments.

"Improve the user interface for viewing and managing vaccination schedules": 120 occurrences - This suggests that there is a need for enhancements to the user interface of the COWIN system to make it easier for individuals to view and manage their vaccination schedules. Improving the user interface can enhance usability and make the scheduling process more intuitive.

"Provide real-time updates on vaccination center availability": 178 occurrences - Realtime updates on vaccination center availability can help individuals quickly identify available slots and make timely appointments. This feature can improve access to vaccination services and streamline the scheduling process.

"Enable synchronization with personal calendars or smartphone apps": 90 occurrences -Enabling synchronization with personal calendars or smartphone apps can facilitate better integration of vaccination schedules with individuals' daily lives. This feature can enhance convenience and ensure that vaccination appointments are seamlessly incorporated into individuals' schedules.

Overall, the responses highlight the importance of enhancing the vaccination scheduling experience through features such as automated reminders, personalized notifications, improved user interface, real-time updates, and synchronization with personal calendars or smartphone apps. These improvements can contribute to a more user-friendly and efficient vaccination process, ultimately supporting public health efforts to increase vaccination rates.

#### Suggest improvements to the COWIN system's data security and privacy features.

The responses collected regarding data security and privacy improvements for the CoWIN system exhibit a diverse range of opinions and suggestions. Here's an analysis and interpretation based on the provided outcomes:

"Nil", "No", "No suggestion", "Nothing", "Nill", "Don't know", "Ok": These responses indicate a lack of specific recommendations or awareness regarding data security and privacy improvements among some respondents. It suggests that these individuals may not have considered this aspect or may not have sufficient knowledge to provide suggestions.

"Yes": This response indicates that some respondents acknowledge the need for data security and privacy improvements and may have specific suggestions or concerns in mind.

"It should be more systematic", "More awareness regarding its importance and telling that there won't be any side effects": These responses suggest a desire for a more organized and transparent approach to data security and privacy measures. They highlight the importance of educating users about the significance of these measures and addressing any concerns or misconceptions regarding potential side effects. "Incorporate Blockchain", "AES Higher versions, Anonymity of data with pass key with User to prevent malfunction even if data leaks", "Encrypted database only to be enabled by biometric linked to Aadhar": These responses propose specific technical solutions to enhance data security, such as incorporating blockchain technology, implementing advanced encryption standards (AES), and ensuring data anonymity and encryption linked to biometric authentication. They reflect an understanding of advanced cybersecurity measures and a proactive approach to safeguarding sensitive information.

"Less interaction with other third-party apps and integration with UIDAI", "Harden the app against intruders", "Closely monitor": These responses emphasize the importance of limiting interactions with external applications, strengthening the app's security against potential threats, and implementing robust monitoring mechanisms to detect and prevent unauthorized access or breaches. They underscore the need for continuous vigilance and proactive measures to mitigate security risks effectively.

"User interface to be more interactive, functional", "Use chatbots or AI to enable intuitive FAQ and self-help at each stage/type of use of the app": These responses focus on improving the user experience and accessibility of data security features by enhancing the user interface, implementing interactive features such as chatbots or artificial intelligence (AI), and providing intuitive guidance and support throughout the app usage. They prioritize user-centric design and user engagement to promote better adherence to security protocols and practices.

Overall, the responses reflect a varied range of perspectives and recommendations regarding data security and privacy improvements for the CoWIN system. It highlights the importance of addressing user concerns, implementing robust technical solutions, enhancing user awareness, and maintaining continuous monitoring and adaptation to ensure the integrity and confidentiality of user data.

#### **CHAPTER 8: FINDINGS, RECOMMENDATIONS AND CONCLUSION**

#### **Findings**

CoWIN, the Covid Vaccine Intelligence Network, serves as a digital platform designed to facilitate the nationwide distribution and management of COVID-19 vaccines in India (Government of India, 2021). It aims to streamline processes such as registration, appointment scheduling, vaccine administration, and certification, ensuring efficient vaccine delivery across the country (Government of India, 2021).

**Review of Literature**: Extensive literature review on CoWIN covered various aspects including user-centered design, partnerships, adaptability, sustainable financing, impact assessment, technical aspects, data security, and privacy measures (Mason et al., 2022; Exemplars, 2022; Singh & Parida, 2023; Prasuna & Rachh, 2023; Tripathi, 2023). The literature underscores the significance of user-centered design, real-time data collection, and robust data security measures for the successful implementation of digital health tools like CoWIN (Mason et al., 2022; Exemplars, 2022; Prasuna & Rachh, 2023).

**Findings of Chapter3**, "CoWIN Deployment and Integration", gave various insights, to include that, CoWIN serves as a comprehensive solution for COVID-19 vaccination, managing registration, scheduling, verification, vaccination, and certification processes (Purohit et al., 2022). Developed as a cloud-based IT solution, CoWIN integrates databases of healthcare workers, facilities, and vaccination centers, ensuring nationwide support (Purohit et al., 2022). Its modular design facilitates interoperability with third-party applications, enhancing its functionality and accessibility (Purohit et al., 2022).

**Program Implementation and Stakeholder Engagement (Chapter 4)**: In Chapter 4 we found that, the successful implementation of CoWIN required meticulous preparations, including the creation of databases, training of staff, and community outreach programs (Exemplars, 2022). Despite challenges during the initial launch, effective utilization of the CoWIN platform was observed, facilitated by clear standard operating procedures and partnerships with organizations like UNDP (Nagpal et al., 2021). Strong governance

mechanisms, multiagency coordination, and capacity strengthening initiatives were crucial in ensuring efficient implementation and scaling strategies (Purohit et al., 2022).

**Challenges, Successes, and Future Outlook (Chapter 5)**: This Chapter brought out that, India's successful rollout of CoWIN demonstrates the power of collaboration, innovation, and effective implementation in combating the COVID-19 pandemic (Purohit et al., 2022). Despite challenges such as shifting to demand-driven distribution and addressing evolving pandemic needs, policy measures, adaptability, and process monitoring mechanisms have contributed to CoWIN's success (Purohit et al., 2022).

**Data Security and Privacy (Chapter 6)**: In Chapter 6, it emerged very clearly that, the reliance on digital platforms like CoWIN raises concerns about data security and privacy in the healthcare sector (Prasuna & Rachh, 2023). Recent data breaches highlight vulnerabilities and underscore the need for stringent security measures (HT News Desk, 2023). User perspectives emphasize the importance of improved protection measures and collaboration between stakeholders to address data security challenges (Prasuna & Rachh, 2023).

#### **Recommendations**

Certain outcomes have emerged from the content analysis of the Chapters 3, 4, 5, and 6, which offers a comprehensive strategy for addressing key challenges and maximizing the effectiveness of the CoWIN program, in form of recommendations:

**Enhancing Interoperability and Functionality (Chapter 3):** Continuous improvement of CoWIN's interoperability with existing digital solutions and third-party applications is crucial to enhance its functionality and accessibility (Purohit et al., 2022).

**Strengthening Stakeholder Engagement (Chapter 4)**: Enhancing Interoperability and Functionality: Continuously improve Co-WIN's interoperability with existing digital solutions and third-party applications to enhance its functionality and accessibility (Purohit et al., 2022).

Strengthening Stakeholder Engagement: Foster ongoing collaboration and communication with stakeholders at national, state, and district levels to address emerging challenges and optimize program implementation (Purohit et al., 2022).

Continuous Monitoring and Evaluation: Implement robust monitoring and evaluation mechanisms to track vaccination coverage, identify bottlenecks, and inform evidence-based decision-making for program improvement (Purohit et al., 2022).

Promoting Digital Integration in Healthcare: Encourage the integration of Co-WIN into other healthcare programs, leveraging its success to enhance efficiency, coverage, and data management across the health system landscape (Purohit et al., 2022).

These recommendations emphasize the importance of collaboration, innovation, and continuous improvement in maximizing the impact and effectiveness of the Co-WIN program.

Addressing Remaining Challenges and Enhancing Resilience (Chapter 5): Recommendations focus on sustaining CoWIN's success, addressing remaining challenges, and enhancing resilience to future pandemics. Integration with other public health programs and exploration of the Ayushman Bharat Digital Mission are highlighted as potential avenues for improvement (Exemplars, 2022).

Emphasizing Software Design Principles for Sustainability (Chapter 5): Implementation Expert's insights underscore the importance of open-sourcing CoWIN's technology and emphasizing software design principles for sustainability (Exemplars, 2022).

Promoting Standardized Grammar in Security and Privacy Discourse (Chapter 5): Recommendations include defining threat models, promoting best practices, and clear communication of security measures to enhance security and privacy in healthcare systems (Civils Daily, 2023).

The **recommendations emerging from Chapter 6** focus on bolstering data security and privacy measures in healthcare systems, particularly in the context of digital platforms like CoWIN:

Encryption of Data: It is recommended to encrypt sensitive healthcare data to prevent unauthorized access and ensure confidentiality (Prasuna & Rachh, 2023).

Regular Security Audits: Implementing regular security audits helps identify vulnerabilities and weaknesses in the healthcare system's security infrastructure, allowing for timely remediation (Prasuna & Rachh, 2023).

Reinforcement of Data Privacy Laws: Strengthening data privacy laws is crucial to provide legal frameworks for protecting individuals' health data and holding organizations accountable for breaches (Prasuna & Rachh, 2023).

Development of Robust Data Protection Policies: Establishing comprehensive data protection policies helps guide organizations in safeguarding healthcare data and ensuring compliance with relevant regulations (Prasuna & Rachh, 2023).

Enhancing User Awareness: Educating users, including healthcare staff and patients, about best practices in data security enhances their awareness and helps prevent security breaches caused by human error (Prasuna & Rachh, 2023).

Instituting Comprehensive Training Programs: Providing comprehensive training programs for healthcare staff on data security best practices equips them with the knowledge and skills needed to protect sensitive health information effectively (Prasuna & Rachh, 2023).

Collaboration with Governmental and Non-Governmental Organizations: Collaborating with governmental and non-governmental organizations is essential for pooling resources and expertise to address data security challenges effectively (Prasuna & Rachh, 2023).

Allocation of Additional Resources for Research: Allocating additional resources and time for research initiatives facilitates the development of innovative strategies and solutions to enhance data security in healthcare systems (Prasuna & Rachh, 2023).

Addressing Emerging Challenges: Continuously monitoring and addressing emerging challenges in data security helps healthcare systems adapt and evolve to mitigate new threats effectively (Prasuna & Rachh, 2023).

These recommendations emphasize the importance of strengthening data security measures, fostering collaboration, and addressing emerging challenges to ensure the integrity and confidentiality of healthcare data in the digital age.

# <u>Findings and Recommendations from Survey of Stakeholders (HealthCare</u> <u>Workers and Public)</u>

#### Survey Health Care Workers

The responses collected from healthcare workers provided valuable insights into their experiences with the CoWIN platform. Analysis of the data revealed common challenges faced by healthcare professionals, including issues related to technical support, data security, and accessibility. Furthermore, the feedback highlighted areas of satisfaction and areas for improvement, shedding light on the strengths and weaknesses of the platform from the perspective of frontline workers.

Based on the analysis of responses, several recommendations were formulated to enhance the functionality and usability of the CoWIN platform. These recommendations ranged from improving user interface design and technical support to strengthening data security measures and streamlining feedback mechanisms. By addressing the identified challenges and implementing the suggested improvements, stakeholders can work towards optimizing the CoWIN platform to better serve the needs of healthcare workers and support the National COVID-19 vaccination program(Table 8.1 refers).

Key Insights and Analysis	<b>Recommendations for Improvements</b>
The analysis of the CoWIN platform among healthcare workers shows positive ratings for "Ease of Use" and "Navigation." However, deficiencies in "Loss of Data" and "Data Security" reveal potential shortcomings. Variations in perceptions are observed, particularly in query/feedback adequacy and awareness. The ANOVA test indicates consistent perceptions with moderate to high overall satisfaction levels.	<ol> <li>Enhance data security measures to bolster user trust and confidentiality, considering deficiencies in "Loss of Data" and "Data Security."</li> <li>Improve query/feedback mechanisms to ensure timely and effective responses, addressing mixed ratings for adequacy and awareness.</li> <li>Provide additional training and support to standardize user experiences across different attributes, particularly where variability in perceptions is observed.</li> <li>Continuously monitor user feedback and satisfaction levels to identify emerging issues and implement iterative improvements on the CoWIN platform, based on user perceptions and satisfaction levels.</li> </ol>
Healthcare workers' feedback on the AEFI process underscores the need for improvements. Simplifying feedback submission, ensuring confidentiality,	<ol> <li>Simplify feedback submission process to reduce steps and enhance user- friendliness.</li> </ol>

## Table 8.1- Key Insights and Recommendations- Healthcare Workers

Key Insights and Analysis	<b>Recommendations for Improvements</b>
providing clear guidelines, and establishing dedicated channels and incentives are key areas identified for enhancing AEFI reporting effectiveness and responsiveness.	<ol> <li>Address confidentiality concerns by implementing privacy safeguards.</li> <li>Provide clear guidelines for standardized adverse event reporting.</li> <li>Establish dedicated reporting channels for seamless communication.</li> <li>Consider incentive schemes to encourage feedback participation.</li> </ol>
Insights from Figure 7.10 shed light on CoWIN dashboard improvements and their impact on the vaccination process. Key metrics such as live vaccination centers, registered citizens, administered vaccine doses, and AEFI reports reveal progress and challenges. However, the absence of data on distribution by states & districts and demographic breakdowns may hinder targeted strategies and analysis. Tracking vaccine doses by first and second doses, and by brand, reflects efforts to monitor completion rates and vaccine utilization.	<ol> <li>Enhance data collection for comprehensive vaccination coverage.</li> <li>Improve data transparency and accessibility for informed decision- making.</li> <li>Strengthen monitoring of adverse events to ensure vaccination safety.</li> </ol>
Figure 7.11 highlights additional CoWIN platform features enhancing healthcare delivery, like EHR integration, telemedicine expansion, blood donation platforms, and organ donation registries. High counts in EHR integration and telemedicine expansion underscore the focus on digital technologies to boost healthcare accessibility. Initiatives like blood donation platforms and organ donation registries aim to address critical healthcare needs and promote altruistic acts.	<ol> <li>Continuously evaluate and enhance additional CoWIN features.</li> <li>Foster collaboration with relevant stakeholders.</li> <li>Implement robust monitoring and evaluation mechanisms.</li> </ol>
Figure 7.12 illustrates CoWIN platform integration with ABDM, highlighting improved access to medical records, streamlined patient registration, and enhanced coordination among healthcare providers. While responses emphasize better interoperability, there's room for efficiency improvement. In Figure 7.13, organ donation feature enhancements are discussed, focusing on increased awareness, simplified donor registration, and improved coordination between donors and recipients, emphasizing effective communication in organ transplantation.	<ol> <li>Streamline patient registration and identification processes.</li> <li>Implement clear guidelines and communication channels.</li> <li>Enhance interoperability between healthcare systems.</li> <li>Increase awareness and education about organ donation.</li> <li>Simplify registration processes for organ donors.</li> <li>Implement robust tracking and matching mechanisms for organ transplantation.</li> <li>Address data security and privacy concerns.</li> <li>Improve system performance and accessibility.</li> <li>Prioritize user training and support.</li> <li>Establish stringent protocols for data access and operation.</li> </ol>

Key Insights and Analysis	<b><u>Recommendations for Improvements</u></b>	
CoWIN Platform Analysis:		
Positive ratings for "Ease of Use" and "Navigation" among healthcare workers.	Analysis of CoWIN platform usage among healthcare workers.	
Deficiencies identified in "Loss of Data" and "Data Security," indicating potential shortcomings in data management and security protocols.	Analysis of CoWIN platform usage among healthcare workers.	
Variations in perceptions among healthcare workers, particularly in attributes related to query/feedback adequacy and awareness.	Analysis of CoWIN platform usage among healthcare workers.	
Recommendations:		
Enhance data security measures based on identified deficiencies to bolster user trust and confidentiality.	Analysis of CoWIN platform usage among healthcare workers.	
Improve query/feedback mechanisms to address mixed ratings for adequacy and awareness.	Analysis of CoWIN platform usage among healthcare workers.	
Provide additional training and support to standardize user experiences across different attributes.	Analysis of CoWIN platform usage among healthcare workers.	
Continuously monitor user feedback and satisfaction levels for iterative improvements.	Analysis of CoWIN platform usage among healthcare workers.	
AEFI Feedback Analysis:		
Valuable feedback from health workers on simplifying the AEFI feedback submission process.	Feedback from health workers regarding AEFI process.	
Concerns about confidentiality and anonymity of feedback submissions.	Feedback from health workers regarding AEFI process.	
Clear guidelines on reporting adverse events deemed essential by health workers.	Feedback from health workers regarding AEFI process.	
Recommendations:		
Simplify the feedback submission process and ensure clear guidelines for reporting adverse events.	Feedback from health workers regarding AEFI process.	
Implement measures to safeguard the privacy of feedback providers and establish dedicated reporting channels.	Feedback from health workers regarding AEFI process.	
CoWIN Dashboard Insights:		
Insights into various aspects of CoWIN dashboard improvements and their implementation in the vaccination process.	Data from Figure 7.10 providing insights into CoWIN dashboard improvements.	
Absence of comprehensive data regarding distribution by states & districts and split by age and gender.	Data from Figure 7.10 providing insights into CoWIN dashboard improvements.	
Recommendations:		
Enhance data collection and reporting mechanisms to ensure comprehensive coverage and improve transparency.	Data from Figure 7.10 providing insights into CoWIN dashboard improvements.	
Strengthen monitoring and reporting of adverse events to ensure safety and efficacy of vaccination programs.	Data from Figure 7.10 providing insights into CoWIN dashboard improvements.	
Additional CoWIN Features:		
Integration with EHRs, telemedicine, and organ donation platforms to enhance healthcare delivery.	Discussion on additional features in CoWIN platform.	
Recommendations:		
Continuously evaluate and enhance feature functionality to address healthcare challenges effectively.	Discussion on additional features in CoWIN platform.	

Key Insights and Analysis	<b>Recommendations for Improvements</b>		
CoWIN Integration Analysis:			
Insights into integration with ABDM and addition of organ donation features.	Discussion on CoWIN integration with ABDM and addition of organ donation features.		
Recommendations:			
Streamline patient registration processes and enhance interoperability between healthcare systems.	Discussion on CoWIN integration with ABDM and addition of organ donation features.		

## Survey - Public

Analysis of the responses yielded profound insights into the intricacies of citizens' interactions with the CoWIN platform. Beyond surface-level feedback, the questionnaire unearthed underlying patterns, preferences, and pain points experienced by users. Common themes emerged around the accessibility, usability, and effectiveness of the platform, shedding light on areas ripe for improvement. By dissecting the data and discerning trends, researchers gained a nuanced understanding of public sentiment, enabling targeted interventions to enhance the platform's performance.

Armed with a deep understanding of user perspectives, researchers formulated a series of targeted recommendations to propel the evolution of the CoWIN platform. These recommendations encompassed a wide spectrum of enhancements, ranging from technical refinements to user experience optimizations. Suggestions included bolstering mobile accessibility, fortifying data security measures, streamlining registration processes, and amplifying user support mechanisms. By heeding these recommendations, stakeholders can orchestrate a transformative journey towards a more robust, user-centric CoWIN ecosystem(Table 8.2 refers).

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Mobile Connectivity Issues:	
- Significant Use of Mobile Devices: Most respondents reported using mobile devices frequently or occasionally for vaccination-related activities.	- Enhance Mobile Accessibility: Develop mobile-friendly platforms and applications to optimize user experience for accessing vaccination-related information and services on mobile devices.
- Connectivity Challenges: While the majority reported rare occurrences of connectivity issues, a notable minority experienced frequent connectivity issues.	- Address Connectivity Challenges: Invest in infrastructure and technologies to improve internet connectivity, especially in areas where frequent connectivity issues are reported, ensuring uninterrupted access to vaccination services.
Attribute and Response Choices:	

	Table 8.2- Key	y Insights an	d Recommen	dations- Public
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Key Insights and Analysis	<b>Recommendations for Improvements</b>
- User Satisfaction Ratings and Variability in Responses: Attributes with higher mean values received better ratings from respondents, indicating higher satisfaction. Conversely, attributes with lower mean values were rated lower.	- Address Weaknesses: Focus on improving attributes with lower mean and median scores, such as "Broken Nav links" and "Loss of Data," to enhance user satisfaction.
	- Investigate Variability: Conduct further investigation into attributes with high standard deviation to understand the factors influencing diverse user perceptions.
	- Implement Corrective Measures: Take immediate action to rectify issues related to consistently low-rated attributes to improve overall user experience.
	- Continuous Monitoring: Continuously monitor user feedback and satisfaction levels to identify emerging issues and implement iterative improvements.
	- Enhance Data Security: Strengthen data security measures to address concerns related to "Data Security" and enhance user trust and confidentiality.
Comparison Analysis:	
- Public vs. Health Workers: There are consistent differences in perception between the public and health workers across various attributes.	- Tailored Solutions for Different User Groups: Develop customized solutions or features within the CoWIN platform to address the specific needs and preferences of different user groups, such as the public and health workers.
	- User Feedback and Engagement: Implement robust feedback mechanisms to gather insights from both the public and health workers, allowing for continuous improvement based on user input.
	- Training and Support Programs: Provide targeted training and support programs for health workers to improve their familiarity and proficiency with the CoWIN platform, addressing any usability issues or technical challenges they may encounter.
	- UX Design Optimization: Optimize the user experience design of the CoWIN platform to enhance usability, navigation, and overall satisfaction for both the public and health workers.
	- Communication and Transparency: Foster open communication and transparency between the CoWIN platform developers and users, ensuring that concerns and suggestions are acknowledged and addressed promptly.

# Table 8.3- Ease of Vaccination Tracking

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Ease of Tracking Vaccination Schedule:	Address Dissatisfaction Points:
• The mean rating for this attribute is 70.6, indicating a relatively positive perception on average among the public.	• Identify factors contributing to dissatisfaction with ease of tracking vaccination schedules and authenticity of digital certificates. Implement targeted improvements to address concerns. (Reference: Analysis of public responses on ease of tracking and authenticity of digital certificates from Figure 7.19)
• The median rating of 70 suggests that the majority of responses fall in the positive range.	• Enhance User Experience:

Key Insights and Analysis	<b>Recommendations for Improvements</b>
• However, the mode at 24 indicates that a considerable number of respondents rated this attribute lower, possibly indicating some dissatisfaction.	• Focus on improving user experience related to tracking vaccination schedules and accessing digital certificates. Streamline process, enhance usability, and provide clear instructions. (Reference: Analysis of public responses on ease of tracking and authenticity of digital certificates from Figure 7.19)
• The standard deviation of approximately 48.83 highlights variability in respondents' opinions, with a fair amount of dispersion around the mean.	• Feedback Mechanisms:
	• Encourage users to provide feedback on tracking vaccination schedules and digital certificates. Use feedback to iterate and improve features based on user input. (Reference: Analysis of public responses on ease of tracking and authenticity of digital certificates from Figure 7.19)
	Communication and Transparency:
	• Maintain transparency regarding authenticity and security of digital certificates. Address concerns raised by users and communicate updates to ensure user confidence. (Reference: Analysis of public responses on ease of tracking and authenticity of digital certificates from Figure 7.19)
	Continuous Monitoring and Evaluation:

# **Table 8.4- Overall Satisfaction**

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Distribution of Overall Satisfaction	
Ratings:	Address Dissatisfaction Points:
• The majority of respondents rated their overall satisfaction with the CoWIN app as "4.0", with 143 occurrences.	• Identify reasons behind lower satisfaction ratings. Implement targeted improvements to address concerns and enhance overall satisfaction with the CoWIN app. (Reference: Analysis of public responses on overall satisfaction with the CoWIN app from Figure 7.20)
• Following closely behind is a satisfaction rating of "5.0", with 101 occurrences, indicating a substantial number of highly satisfied respondents.	Enhance User Experience:
• A moderate number of responses were recorded for a satisfaction rating of "3.0", with 65 occurrences, suggesting a sizable portion of respondents expressed a moderate level of satisfaction.	• Focus on improving user experience across different aspects of the CoWIN app, addressing usability issues encountered by users. (Reference: Analysis of public responses on overall satisfaction with the CoWIN app from Figure 7.20)
• Satisfaction ratings of "1.0" and "2.0" had fewer occurrences, with 24 and 20 respectively, indicating a smaller proportion of respondents expressed low levels of satisfaction.	Communication and Transparency:
	• Maintain transparent communication with users regarding updates, enhancements, or changes made to the CoWIN app. Address concerns raised by users promptly. (Reference: Analysis of public responses on overall satisfaction with the CoWIN app from Figure 7.20)
	Continuous Monitoring and Evaluation:
	• Continuously monitor user satisfaction levels with the CoWIN app. Regularly solicit feedback from users and incorporate it into future updates and iterations. (Reference: Analysis of public
Key Insights and Analysis	<b>Recommendations for Improvements</b>
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	responses on overall satisfaction with the CoWIN app from Figure 7.20)

# Table 8.5- CoWIN Dashboard Improvement

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Public Perception on CoWIN Dashboard Features:	Enhance Live Vaccination Centers:
• The public perceives several areas in the CoWIN platform's dashboard that require improvements based on their responses.	• Address concerns regarding the availability and functionality of live vaccination centers by improving accessibility and efficiency at vaccination centers. (Reference: Analysis of public perceptions on CoWIN dashboard improvement features from Figure 7.21 and comparison with health workers' perceptions from Figure 7.22)
• Key areas highlighted include the availability and functionality of live vaccination centers, organization of vaccine information by brands, tracking of first and second vaccine doses, geographical distribution of vaccines, accuracy of data on vaccine doses administered, demographic-based distribution of vaccines, registration process efficiency, and adverse event reporting mechanisms.	Improve Data Transparency and Accuracy:
• The counts for each type of response provide quantitative evidence of the public's perceptions and concerns regarding various aspects of the CoWIN platform's dashboard features.	• Enhance transparency and accuracy in data reporting, especially concerning the number of vaccine doses administered and adverse event reporting mechanisms. (Reference: Analysis of public perceptions on CoWIN dashboard improvement features from Figure 7.21 and comparison with health workers' perceptions from Figure 7.22)
• Higher counts suggest greater perceived importance or dissatisfaction with specific features, while lower counts indicate areas of lesser concern or satisfaction.	<b>Optimize Vaccine Distribution Strategies:</b>
• Health workers and the public share common concerns regarding vaccination distribution, tracking, and reporting, albeit with varying degrees of emphasis.	• Implement strategies to address concerns related to the geographical distribution of vaccines, demographic-based distribution, and registration process efficiency to ensure equitable access to vaccines. (Reference: Analysis of public perceptions on CoWIN dashboard improvement features from Figure 7.21 and comparison with health workers' perceptions from Figure 7.22)
• Health workers appear to prioritize safety and adverse event reporting more than the public, while the public shows a broader interest in vaccination logistics and tracking.	Focus on Safety and Adverse Event Reporting:
	• Strengthen adverse event reporting mechanisms and safety monitoring to address health workers' concerns and ensure public confidence in vaccine safety. (Reference: Analysis of public perceptions on CoWIN dashboard improvement features from Figure 7.21 and comparison with health workers' perceptions from Figure 7.22)
Ease of Tracking and Authenticity of DC:	Enhance User Experience and Reliability:

Key Insights and Analysis	<b>Recommendations for Improvements</b>
• The public generally perceives the ease of tracking vaccination schedules and the authenticity of digital certificates positively.	• Improve user interfaces and functionality to further enhance ease of tracking vaccination schedules and digital certificate authenticity. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
• While the mean and median ratings indicate a favorable perception, the presence of lower ratings (mode at 1) and high standard deviations suggest variability in opinions.	• Address any identified issues that lead to dissatisfaction among users, such as connectivity problems or confusing navigation. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
<b>Overall Satisfaction with CoWIN App:</b>	Increase Transparency and Communication:
• The majority of respondents express high satisfaction levels with the CoWIN app, with a significant proportion rating it as "4.0" and "5.0".	• Provide clear and transparent information about vaccination schedules and digital certificate authenticity to build trust and confidence among users. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
• However, there are still some individuals who express lower levels of satisfaction, though in smaller numbers.	• Communicate any updates or changes to the CoWIN app promptly to keep users informed and engaged. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
	Address Dissatisfaction Points:
	• Investigate the reasons behind lower satisfaction levels reported by some users and take appropriate corrective actions. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
	• Implement user feedback mechanisms to continuously monitor satisfaction levels and address concerns in real- time. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
	Ensure Consistency and Standardization:
	• Standardize procedures for tracking vaccination schedules and issuing digital certificates to ensure consistency and reliability across the platform. (Reference: Figures 7.19 and 7.20 from the dataset analysis)
	• Train healthcare workers and staff responsible for managing the CoWIN app to ensure adherence to established protocols and best practices. (Reference: Figures 7.19 and 7.20 from the dataset analysis)

# **Table 8.6 – Adequacy of Feedback/AEFI**

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Adequacy of Feedback/AEFI Reporting:	Incentives for Feedback:
• Responses indicate a mixed perception of the adequacy of the query/feedback system. While ratings of "3.0" and "4.0" are common, there are also respondents who perceive the system poorly (ratings of "1.0" and "2.0").	• Consider offering rewards or discounts to incentivize feedback submission, especially regarding AEFI concerns, to increase participation. (Reference: Analysis of public perceptions on the adequacy, awareness, responsiveness, and suggestions for improvements in feedback/AEFI reporting from Figures 7.23, 7.24, 7.25, and 7.26)
• The presence of high ratings ("5.0") suggests a significant proportion of respondents view the system positively, indicating room for improvement but also areas of satisfaction.	Confidentiality and Anonymity:
	• Implement measures to ensure the confidentiality and anonymity of feedback submissions to enhance trust and

Key Insights and Analysis	<b>Recommendations for Improvements</b>
	encourage more candid responses. (Reference: Analysis of public perceptions on the adequacy, awareness, responsiveness, and suggestions for improvements in feedback/AEFI reporting from Figures 7.23, 7.24, 7.25, and 7.26)
Awareness of Feedback/AEFI Reporting:	Simplified Submission Process:
• Responses reflect varying levels of awareness and engagement with providing feedback or reporting AEFI. While some respondents are not aware or never engage, others engage partially or regularly.	• Streamline the feedback submission process by reducing steps and complexity to make it more user-friendly and encourage greater participation. (Reference: Analysis of public perceptions on the adequacy, awareness, responsiveness, and suggestions for improvements in feedback/AEFI reporting from Figures 7.23, 7.24, 7.25, and 7.26)
• Improved communication and awareness campaigns are needed to educate the public about these mechanisms and encourage active participation.	Dedicated Reporting Channels:
	• Establish specialized channels for reporting AEFI concerns to provide focused support and address specific issues more effectively. (Reference: Analysis of public perceptions on the adequacy, awareness, responsiveness, and suggestions for improvements in feedback/AEFI reporting from Figures 7.23, 7.24, 7.25, and 7.26)
<b>Responsiveness of Authorities to Feedback:</b>	Clear Reporting Guidelines:
• Experiences with the responsiveness of authorities to queries or feedback vary. While some received prompt and accurate responses, others experienced delays or received inaccurate responses.	• Provide clear and comprehensive guidelines on reporting adverse events to standardize procedures and ensure accurate and consistent data collection. (Reference: Analysis of public perceptions on the adequacy, awareness, responsiveness, and suggestions for improvements in feedback/AEFI reporting from Figures 7.23, 7.24, 7.25, and 7.26)
• Addressing delays and ensuring response accuracy can enhance communication effectiveness and foster trust between authorities and the public.	
Suggestions for Improvements in Feedback/AEFI Reporting:	Overall Analysis:
• Respondents express preferences for various improvements, including offering incentives for feedback, ensuring confidentiality, simplifying the submission process, establishing dedicated reporting channels for AEFI concerns, and providing clear reporting guidelines.	• The comparison reveals that health workers consistently prioritize various aspects of feedback improvement more than the public does. (Reference: Analysis of the comparison between public and health worker responses on suggestions for improvements in feedback/AEFI reporting from Figure 7.27)
• These suggestions highlight the importance of user-centric design and effective communication strategies in promoting engagement and trust in feedback mechanisms.	
	Recommendations:
	• Collaborate with health workers to develop clear and comprehensive guidelines for reporting adverse events, emphasizing standardization and accuracy.
	• Explore feasible incentives for feedback participation, tailored to the needs and preferences of both health workers and the public.

Key Insights and Analysis	<b>Recommendations for Improvements</b>
	• Work towards simplifying the feedback submission process by leveraging user-friendly technology and minimizing bureaucratic hurdles.
	• Enhance confidentiality measures and establish secure platforms for anonymous feedback submission to build trust and ensure open communication.
	• Allocate resources to establish dedicated channels for reporting adverse events, facilitating prompt response and resolution of healthcare concerns.

## **Table 8.7 – Integration- Blood Donation**

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Support for Integration:	Education and Awareness Campaigns:
• Among the respondents, 241 indicated support for integrating a Blood Donation Platform into the CoWIN platform. This suggests a significant level of interest and endorsement for the idea.	• Launch education and awareness campaigns to inform the public about the potential benefits of integrating a Blood Donation Platform into CoWIN.
• The positive response indicates a belief among respondents that such integration could effectively encourage more people to donate blood, potentially enhancing blood donation efforts.	• Provide clear information on how such integration can facilitate and encourage blood donation efforts, addressing any misconceptions or doubts among respondents.
Skepticism and Uncertainty:	Engagement and Feedback Mechanisms:
• However, there were also 60 respondents who expressed skepticism and doubt about the effectiveness of integrating a Blood Donation Platform into CoWIN.	• Establish feedback mechanisms to gather insights from both supporters and skeptics of the integration idea.
• Additionally, 74 respondents were unsure about the potential impact of such integration, indicating a level of uncertainty or indecision among some respondents.	• Use feedback to address concerns, provide additional information, and tailor communication strategies to better engage with different segments of the population.
Overall Perception:	Partnerships and Collaborations:
• Despite the skepticism and uncertainty, the higher number of "Yes" responses compared to "No" responses suggests a prevailing interest and support for integrating a Blood Donation Platform into CoWIN.	• Collaborate with blood donation organizations and healthcare institutions to develop and implement effective integration strategies.
• The presence of uncertainty among some respondents highlights the need for further education and clarification on the potential benefits and effectiveness of such integration.	• Leverage existing networks and resources to maximize the reach and impact of the Blood Donation Platform integrated into CoWIN.
Recommendations:	<b>Continuous Evaluation and Improvement:</b>
	• Continuously evaluate the effectiveness of the integrated platform in encouraging blood donation.
Education and Awareness Campaigns:	• Incorporate feedback and make necessary adjustments to optimize the platform's functionality and impact over time.
• Launch education and awareness campaigns to inform the public about the potential benefits of integrating a Blood Donation Platform into CoWIN.	
• Provide clear information on how such integration can facilitate and encourage blood donation efforts, addressing any misconceptions or doubts among respondents.	

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Engagement and Feedback Mechanisms:	
• Establish feedback mechanisms to gather insights from both supporters and skeptics of the integration idea.	
• Use feedback to address concerns, provide additional information, and tailor communication strategies to better engage with different segments of the population.	
Partnerships and Collaborations:	
• Collaborate with blood donation organizations and healthcare institutions to develop and implement effective integration strategies.	
• Leverage existing networks and resources to maximize the reach and impact of the Blood Donation Platform integrated into CoWIN.	
<b>Continuous Evaluation and Improvement:</b>	
• Continuously evaluate the effectiveness of the integrated platform in encouraging blood donation.	
• Incorporate feedback and make necessary adjustments to optimize the platform's functionality and impact over time.	

## **Table 8.8 – Integration with ABDM**

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Streamlined Patient Registration and Identification:	Integration and Interoperability Enhancements:
• With 191 responses accounting for 46.44%, there is a strong emphasis on improving the ease and efficiency of patient registration processes.	• Implement measures to enhance interoperability between the CoWIN platform and the Ayushman Bharat Digital Mission (ABDM) to facilitate seamless data exchange and communication.
• This indicates a recognition of the importance of simplifying administrative tasks to enhance the overall patient experience and streamline healthcare delivery.	
Improved Interoperability Between Healthcare Systems:	Patient-Centric Solutions:
• Garnering 187 responses (45.48%), there is a recognized need for better integration and communication between different healthcare systems.	• Prioritize initiatives aimed at streamlining patient registration processes and providing easier access to medical records and treatment history to empower patients and improve their healthcare experiences.
• This highlights the importance of seamless data exchange and coordination to ensure comprehensive and coordinated patient care across different healthcare settings.	
Enhanced Access to Medical Records and Treatment History:	Healthcare Provider Collaboration:
• With 141 responses (34.32%), there is a desire for easier access to personal medical information, which is crucial for informed decision-making and continuity of care.	• Facilitate platforms and tools that enable better coordination and communication among healthcare providers, fostering a collaborative approach to patient care delivery.
• This emphasizes the importance of empowering patients with access to their own health data to	

Key Insights and Analysis	<b>Recommendations for Improvements</b>
facilitate better healthcare decision-making and management.	
Enhanced Coordination and Communication Among Healthcare Providers:	Continuous Improvement and Evaluation:
• Despite receiving slightly fewer responses (138 responses, 33.55%), there is still recognition of the importance of effective collaboration and communication among healthcare professionals.	• Regularly assess the effectiveness of the integration efforts and solicit feedback from both patients and healthcare providers to identify areas for further improvement.
• This underscores the need for improved coordination to ensure seamless transitions of care and optimal patient outcomes.	
Increased Efficiency in Healthcare Service Delivery:	
• While important, this aspect received a slightly lower percentage of responses (148 responses, 35.98%) compared to other options.	
• This suggests that while efficiency is valued, it may not be the primary focus for improvement, with greater emphasis placed on patient-centered aspects of healthcare delivery.	

# <u>Table 8.9 – Improvements Key Insight</u>

Key Insights and Analysis	<b>Recommendations for Improvements</b>
Integration with Electronic Health Records (EHR):	Seamless Integration with EHR Systems:
• With 200 occurrences, there is a strong desire among the public for seamless integration of health records into the CoWIN platform.	• Prioritize efforts to integrate electronic health records into the CoWIN platform to enable comprehensive health monitoring and management.
• This reflects the importance of having access to comprehensive health data for effective monitoring and management of healthcare beyond COVID-19 vaccination.	
Expansion to Include Telemedicine or Virtual	
Consultations:	Expansion of Telemedicine Services:
• Garnering 172 occurrences, there is a growing demand for remote healthcare services, highlighting the need for convenient and accessible healthcare solutions.	• Enhance the platform to include telemedicine and virtual consultation services to meet the growing demand for remote healthcare access.
• This indicates a recognition of the benefits of telemedicine and virtual consultations in providing healthcare beyond traditional in-person visits.	
Incorporation of Features for Chronic Disease	
Management:	Support for Chronic Disease Management:
• With 165 occurrences, there is emphasis on managing chronic diseases, underscoring the importance of addressing long-term healthcare needs within the CoWIN platform.	• Develop features and programs within the CoWIN platform to support the management of chronic diseases and promote proactive healthcare measures.
• This reflects a commitment to proactive management and support for individuals with chronic conditions.	
Implementation of a Blood Donation Platform:	Facilitation of Blood and Organ Donation:

Key Insights and Analysis	<b>Recommendations for Improvements</b>
• With 137 occurrences, there is concern about blood shortages and a willingness to leverage the CoWIN platform for facilitating blood donations.	• Implement features and initiatives to facilitate blood and organ donation efforts through the CoWIN platform, addressing critical healthcare needs in the community.
• This highlights the platform's potential role in addressing critical healthcare challenges beyond vaccination and promoting community health initiatives.	
Integration with Organ Donation Registries:	
• With 144 occurrences, there is a desire to promote and facilitate organ donation efforts through the CoWIN platform.	
• This reflects a broader commitment to enhancing healthcare outcomes and saving lives through organ donation initiatives.	

# **Table 8.10 – User Friendly Features CoWIN**

User-Friendly Features in CoWIN - Public Opinion:	<b>Recommendations for Improvement</b>
Enhance Mobile Responsiveness:	Prioritize Mobile Responsiveness:
• With 221 occurrences, there is a strong desire for easy access to the CoWIN system on smartphones.	• Ensure easy access on smartphones by prioritizing mobile responsiveness, considering the significant reliance on mobile devices.
• This suggests that optimizing the platform for mobile use is crucial, as a significant portion of the public relies on mobile devices.	
Customize User Interface:	Implement Customizable User Interfaces:
• With 98 occurrences, there is a desire for customizable interfaces based on individual preferences.	• Cater to individual preferences and improve user experience by implementing customizable user interfaces.
• While not as crucial as mobile responsiveness, this feature is still important for improving user experience and accessibility.	
Provide Step-by-Step Guidance:	Offer Step-by-Step Guidance:
• With 171 occurrences, there is a need for step-by-step guidance throughout the registration process.	• Assist users in navigating the system effectively by providing step-by-step guidance throughout the registration process.
• This can help users navigate the system more easily and ensure a smoother registration experience.	
Implement Intuitive Search Function:	Incorporate Intuitive Search Function:
• With 121 occurrences, there is a desire for an intuitive search function to locate vaccination centers.	• Streamline the process of finding nearby vaccination centers and appointments by incorporating an intuitive search function.
• This feature can streamline the process of finding nearby vaccination centers and appointments.	
Incorporate Visual Aids or Multimedia Tutorials:	Consider Visual Aids or Multimedia Tutorials:
• With 134 occurrences, there is interest in incorporating visual aids or multimedia tutorials for clarity.	• Enhance clarity and accessibility for all users by considering the

User-Friendly Features in CoWIN - Public Opinion:	<b>Recommendations for Improvement</b>
	incorporation of visual aids or multimedia tutorials.
• This can enhance understanding and make the platform more user- friendly, especially for those less familiar with digital interfaces.	
Challenges Faced in Tracking Vaccination Schedule -	
Recommendations for Improvement:	
Automated Reminders:	Implement Automated Reminders:
• With 211 occurrences, there is a desire for automated reminders for upcoming vaccination appointments.	• Help individuals stay informed and organized by implementing automated reminders for upcoming vaccination appointments.
Personalized Notifications:	Provide Personalized Notifications:
• With 138 occurrences, there is interest in receiving personalized vaccination schedule notifications through SMS or email.	• Tailor communication to individual preferences by providing personalized vaccination schedule notifications through SMS or email.
Improved User Interface:	Enhance User Interface:
• With 120 occurrences, there is a need for enhancements to the user interface to make it easier to view and manage vaccination schedules.	• Improve user experience by enhancing the user interface to make it easier to view and manage vaccination schedules.
Real-Time Updates on Center Availability:	Provide Real-Time Updates:
• With 178 occurrences, there is a desire for real-time updates on vaccination center availability.	• Enable individuals to quickly identify available slots and make timely appointments by providing real-time updates on vaccination center availability.
Synchronization with Personal Calendars or Smartphone Apps:	Facilitate Synchronization:
• With 90 occurrences, there is interest in enabling synchronization with personal calendars or smartphone apps.	• Seamlessly integrate vaccination schedules into individuals' daily lives by facilitating synchronization with personal calendars or smartphone apps.
Overall, these recommendations aim to enhance the vaccination scheduling experience by providing timely reminders, personalized notifications, an improved user interface, real-time updates, and seamless integration with personal calendars or smartphone apps. Implementing these improvements can contribute to a more user-friendly and efficient vaccination process, ultimately supporting public health efforts to increase vaccination rates.	
Suggestions for Improving Data Security and Privacy Features of the CoWIN System:	
Lack of Specific Recommendations or Awareness:	
• Responses such as "Nil", "No", "No suggestion", "Nothing", "Nill", "Don't know", and "Ok" indicate a lack of specific recommendations or awareness regarding data security and privacy improvements among some respondents.	
Acknowledgment of Need for Improvement:	
• The response "Yes" indicates that some respondents acknowledge the need for data security and privacy improvements and may have specific suggestions or concerns in mind.	
Desire for a Systematic Approach and Awareness:	

<b>User-Friendly Features in CoWIN - Public Opinion:</b>	<b>Recommendations for Improvement</b>
• Responses like "It should be more systematic" and "More awareness regarding its importance and telling that there won't be any side effects" suggest a desire for a more organized and transparent approach to data security and privacy measures.	
Technical Solutions:	
• Responses proposing specific technical solutions such as "Incorporate Blockchain", "AES Higher versions, Anonymity of data with pass key with User to prevent malfunction even if data leaks", and "Encrypted database only to be enabled by biometric linked to Aadhar" reflect an understanding of advanced cybersecurity measures.	
Limiting Interactions and Strengthening Security Measures:	
• Responses like "Less interaction with other third-party apps and integration with UIDAI", "Harden the app against intruders", and "Closely monitor" emphasize the importance of limiting interactions with external applications, strengthening the app's security against potential threats, and implementing robust monitoring mechanisms.	
Improving User Experience and Accessibility:	
• Responses suggesting improvements to the user interface, such as "User interface to be more interactive, functional", and incorporating interactive features like chatbots or AI for intuitive guidance and support, such as "Use chatbots or AI to enable intuitive FAQ and self-help at each stage/type of use of the app", prioritize user-centric design and user engagement.	
Overall, these diverse suggestions highlight the multifaceted nature of data security and privacy concerns and the importance of adopting a comprehensive approach that addresses technical, educational, and usability aspects to enhance the security and privacy features of the CoWIN system.	

## **Conclusion: Navigating the Digital Healthcare Landscape**

In our journey through Chapters 3 to 6 and the invaluable insights from stakeholder surveys, we've embarked on a profound exploration of India's digital healthcare transformation. This transformative odyssey has been marked by triumphs, tribulations, and a steadfast vision for the future.

Chapter 3 - Digital Health Infrastructure: From the inception of groundbreaking tools like the eVIN smartphone application to the seamless integration of CoWIN into the Universal Immunization Program, India has laid a sturdy foundation for digital healthcare. These initiatives not only digitize healthcare processes but also pave the way for scalable, integrated solutions.

Chapter 4 - Implementation Challenges and Policy Measures: While our path has been fraught with hurdles such as demand-driven distribution and evolving pandemic needs,

strategic policy measures have been instrumental in navigating these challenges. Through rapid adaptation, technological enhancements, and robust financial sustainability efforts, India has showcased resilience in the face of adversity.

Chapter 5 - Challenges, Successes, and Future Outlook: The assimilation of the CoWIN system into the fabric of the Universal Immunization Program is a testament to India's resolve in overcoming obstacles. Forward-looking recommendations, aimed at sustaining success and enhancing resilience, underscore our commitment to continuous improvement and innovation.

Stakeholder Surveys: Insights gleaned from the perspectives of health workers and the public have illuminated critical considerations in our digital healthcare journey. Concerns regarding data security, privacy, and the importance of user consent serve as poignant reminders of the ethical imperatives inherent in technological advancement.

Chapter 6 - Data Security and Privacy: As we traverse the digital landscape, the paramount importance of data security and privacy becomes increasingly apparent. While robust security measures and recommendations for enhancement have been put forth, our vigilance must remain unwavering in the face of evolving threats.

In summation, our voyage through the digital healthcare frontier is a testament to our collective dedication to progress and innovation. By addressing implementation challenges, prioritizing data security and privacy, and fostering collaborative partnerships, we forge ahead towards a future where equitable, accessible healthcare is not just a dream but a tangible reality. As we chart our course through the complexities of the digital age, let us do so with unwavering resolve and an unwavering commitment to the well-being of all.

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#### Appendix A

#### CoWIN - Enabling over 2 billion Vaccines

#### **Implementation Expert**

#### (Personal communication with Implementation Expert, January 26, 2024)

#### **1. Introduction**

The development of the COVID-19 vaccine has been one of the most amazing feats of human-engineering and coordination under pressing time-constraints. With the discovery, trials and approvals behind us, the next step in this large-scale immunisation program was to produce, procure and distribute the billions of vaccines that would be needed for regular life to resume. Moreover, we had to do this with extreme speed. If the vaccine program was not fast, we would have been left chasing our own tail, as those vaccinated early may have lost immunity before the last person was vaccinated.

A successful drive was contingent upon smooth co-ordination between a large number of stakeholders, requiring extreme speed from all of our health infrastructure, both private and public. With uncertainties and anxieties in full swing, conditions were also ripe for rent-seeking, black-marketing and other ugly unfairness to prop. While no system can guarantee that there is no fraud, we still needed to install checks and balances to minimise it. The biggest check was transparency around the vaccination data.

Additionally, there were various considerations to keep in mind as vaccinations would have ensued – citizens' preferences in type of vaccine, timing of vaccination and place of vaccination. In parallel, vaccination centres needed flexibility and agency too, to decide how best to serve their local constituencies.

In the covid-19 vaccination scenario, extreme speed, smooth coordination, and vaccineefficiency were paramount. We needed to balance these three while also triaging fairly, providing individual agency and flexibility to vaccination centres. This was hard for any country, but an even harder challenge given our population size, with the diversity and federated structure of India.

We need an information system that acted as a single source of truth to ease co-ordination between the actors, enforce citizens agency and prevent unfairness stemming from information asymmetry. We also had to be aware of the reality that not all states would have had the same capacity or spending power to develop such a system. If an individual had taken a vaccine dose in one state, multiple siloed systems (or lack of a system) should not have been the reason why they were denied their second vaccine dose in another state.

To re-open socio-economic activities, many sensitive locations, and international borders eventually required vaccine certificates to safeguard the interests of their respective residents. This meant individuals needed provable ways of recording the date, time and brand of vaccine administered to them. The final crinkle was that this was not a use and throw away system. The information infrastructure we built needed to be able to serve citizens in the long-run, as at the time, it was not known whether covid-19 vaccinations were here to become an ongoing program.

This is where Co-WIN stepped into the picture to answer the multitude of worries raised in the points aforementioned. Co-WIN served as the digital information management system that bridged the gaps to enable the administration over 2 billion vaccine doses in a record time.

#### 2. About Co-WIN

Co-WIN or the Covid Vaccine Intelligence Network, served as the technological backbone of India's mass vaccination campaign. The Empowered Group on Vaccine Administration was the committee driving the development on Co-WIN. The production of Co-WIN was jointly overlooked by the Ministry of Health and Family Welfare (MoHFW) and Ministry of Electronics and Information Technology (MeitY).

Demonstrating India's IT prowess, backed by its Digital Public Infrastructure, the platform was perfected over just 2 weeks and was ready in time to roll out in January 2021 as soon as Emergency Use Authorisation was given to the two vaccines. The indigenously developed technology was launched along with India's vaccination programme on January 16, 2021 by the Hon'ble Prime Minister Shri Narendra Modi.

Co-WIN served as a single source of truth for all stakeholders. Formulated as a transparent system, it allowed tracking of each dose of vaccine supplied to vaccination facilities and recording the fulfilment of demand at a granular level. Co-WIN provided a personal dashboard to vaccinators to view the performance at their facilities. We needed thousands of health care centres to manage logistics and inventory while being vaccine efficient. The Co-WIN data platform made their jobs easier. Further, the data collected was made available on an online dashboard on Co-WIN's website to administrative authorities to view key indicators for vaccination coverage. This helped administrators answer important questions about the demand for vaccines in their constituency, as well as the performance of vaccination centres.

More importantly, this same data was made available to the larger public as well. This kind of transparency is what kept a check on rent-seeking, and made distribution equitable at all nodal points. This degree of traceability removed information asymmetry from the entire ecosystem and mad all stakeholders accountable.

Below, in **Graphic 2.1**, we see how Co-WIN streamlined the flow of information for vaccine delivery from the cold-chain points down to the last resident of this country.



Graphic 2.1 - Supply Chain of COVID-19 Vaccines

One of the more critical roles for an information-management system like Co-WIN was also to act as a **feedback** tool for India's vaccination policy. Co-WIN enabled data-driven public health policy and helped evaluate the performance of each brand of vaccination. The Co-WIN platform had been used to learn from the frontlines and standardise quality of vaccine delivery across vaccination facilities. Additionally, Co-WIN also had the capability of recording After Effects Following Immunization (AEFI) data. While we are extremely lucky that the current set of vaccines have shown next to no adverse effects in the population, let us remember that the unfortunate circumstances had not provided our scientists and researchers with sufficient time for multiple testing cycles for these vaccines. We could just have easily had problems in the roll-out that were not caught in the trials. An AEFI data system served as our early-warning system to pause or in extreme cases even terminate the roll-out if a vaccine were found to introduce unacceptable side-effects.

With the evolving nature of the pandemic, it was expected that any system designed for vaccine administration would have to be dynamic and adaptable to accommodate new changes. This had been evident from the different phases of vaccination roll-out that had seen evolving policy on vaccine administration and growing needs of citizens and vaccinators using the platform. We also had to be prepared for the unexpected. In anticipation of parallel systems taking place, the entire system was designed as a **digital public good** based on open APIs (Application Programming Interface), with due security measures in place. These open APIs had been made public to further ensure innovation on top of the Co-WIN platform to drive wider coverage and inclusion by third party developers.

To ensure the system didn't become a victim of a digital divide, various measures were taken to ensure Co-WIN served the length and breadth of this nation equitably. Below is a summary of the features offered for Citizens and Vaccinators alike by Co-WIN.

## **3. Inclusive for a Billion Plus**



Here, we further explore how Co-WIN ensured inclusivity for a nation as diverse and regionally different as India. Co-WIN provided multiple modes of registration in walkins, online and assisted registrations through Community Service Centres (CSCs) and call centres. Second, digital self-registration had been simplified and made available in multiple languages. Third, minimal data inputs were required, and multiple options of

photo identity proofs had been provided to smoothen the process of vaccination.

There was, however, a unique challenge that the COVID-19 virus posed. Crowds of citizens overwhelming the vaccination centres was a risk. The third phase of the vaccine was opened to 865 million citizens at once. When the registration for 18 to 44 age group was opened, if there was no system of presenceless allotment, we would have witnessed chaos at the centres. Not only would this have endangered the crowd, but also the frontline healthcare workers. Such a chaos could have further created incentives for people to distribute fake vaccines and start blackmarketing and profiteering from access to vaccines. There was also a risk that tension at a vaccination centre may have spilled over into a law-and-order issue. Even one such incident would have been amplified and added to vaccine hesitancy in the public. This is why walk-ins were not opened up for the 18 to 44 age group at the very beginning.

Hence, Co-WIN had to include a digital system of allotment till the supply by the vaccine manufacturers had caught up with the demand in the country. As the supply caught up, it was observed that over 80% of all vaccinations were through direct walk-ins. This furthered bolstered the inclusivity of the platform, ensuring no digital divide.

Flexibility also introduced an additional challenge: tracking the vaccination schedule and the brand of first dose of vaccine & when the follow-up dose has to be administered. Both for the digitally literate and illiterate, the problem would have gotten amplified as more brands of vaccines entered India. **Issuance of a digital certificate** to record the vaccination help guide all individuals when they visit for their second dose.



using maps on Co-WIN - Sign-up with only mobile number, verified through a four-digit OTP - Monosyllabic / single-word questions used as prompts

- Choice of 11 regional languages -Hindi, Marathi, Malayalam, Tamil, Telugu, Kannada, Oriya, Punjabi,



#### **Minimal Data Inputs**

Required - Name, age & gender
Choose from 7 options for photo identification, including Aadhaar
Ensuring privacy of all citizens, deploying standard security protocols and enforcing authentication at every step of the way

#### 4. Evolution of the Co-WIN Platform

In phase one starting January 16, 2021, Co-WIN was focussed on assisting healthcare workers (HCWs) and Front-line workers (FLWs). All States and Union Territories had to create and share lists of HCWs and FLWs to be uploaded in bulk. At this point of time, there was no online appointment system. The government roll out vaccination by prioritising the most vulnerable.

HCWs and FLWs were slotted for vaccination through Co-WIN at specific facilities, with text messages sent with date and locations of vaccination. However, the first challenge was unclean data and incorrect phone numbers. As a result, individuals were not receiving text messages. Furthermore, due to the nature of their jobs, at times HCWs and FLWs were not able to attend their vaccination slots as they were required to travel to places they had not opted for. This supply-driven approach resulted in lower efficiency of vaccination centres, resulting in underutilisation of manpower. Co-WIN data helped see that this approach would not work, especially when it would be applied to the general public at large. This resulted in the first fundamental change in the vaccination policy – Demand-driven distribution. The government can make vaccines available, but individuals have to choose to take them.

#### First Fundamental Change: Approach should be demand-driven

Further, it was initially decided that the second dose of the vaccine would be administered at the same centre to ensure that the individual gets the same vaccine. On deliberation, we realised restricting citizens based on location for second dose would reduce compliance and increase complications in administration. Hence, the second fundamental change was brought about to the vaccination policy.

# Second Fundamental Change: Flexibility in booking second appointment at any centre

The guiding principle of ensuring that the system can adapt quickly based on the rapidly changing socio-economic and policy environment was always kept in mind. An **open architecture with interoperability** was the result of this driving principle. It was anticipated that large hospital networks may choose to use their IT systems for vaccination, and we are now seeing States produce their native systems. The open APIs help us to ensure that all systems are talking to each other and there remains **a single source of truth**.

The phase two of vaccine roll out saw the target audience doubling. Vaccinations were opened for citizens aged 60 and above and 45 and above with comorbidities. This was followed by vaccination opening for all citizens aged 45 and above. With a larger audience, the online self-registration and appointment system was launched for the first time. Changes were also incorporated in the vaccinator modules to accommodate larger crowds, differentiation based on age. Further, capabilities of data reporting and analysis were also added, along with a revamped view for dashboards.

Phase three was the most challenging phase of the development. With vaccination being opened to all citizens above the age of 18 (865 million in total), online registrations became a necessity for managing demand at vaccination centres. More importantly, to handle nearly a billion visits/visitors on the portal, significant developments were undertaken for capacity building to make the backend of the system robust. Capabilities to handle different vaccination policies based on state governments. To ensure inclusivity, Co-WIN was also adapted for lingual diversity and disability friendliness.

#### 5. Architecture and Interoperability of the Co-WIN Platform

The evolution of Co-WIN has shown how it has served as a much-needed feedback mechanism to help course-correct our vaccine policy. It also helps enforce a complex policy in a simplified way across hundreds of thousands of stakeholders in the vaccine distribution supply chain. While we have been lucky with AEFI numbers, Co-WIN would've helped give early signs of those too.

The engineering and architecture of the Co-WIN platform also deserves its due credit, which allowed for such swift and fundamental changes to both the vaccine policy and citizen access. The graphic 5.1 lays out the architectural diagram of the Co-WIN platform which explains its modular construct that allows for interoperability.



Image 5.1 – Architecture of Co-WIN Platform

The Co-WIN platform has four modules: orchestration module, citizen-registration module, facility managmenet and vaccinator module, and the certificate, feedback, and AEFI reporting module.

Each of these four modules functions independently from the others, which minimizes dependencies across modules and makes it easier to fix bugs or errors. At the same time, each module feeds information into the next one, which minimizes user labor and redundancies.

Through the first or the orchestration module, CoWIN administrators, at the National, State, District levels, are registered on the platform using unique logins. Administrators

in-turn assign roles to other system users, such as vaccinators and verifiers. Using the databases of vaccine stocks and sites, state authorities supply vaccines to the districts and districts supply them to the vaccine facilities. District Administrators can acknowledge the receipt of vaccine stocks by uploading the batch number of the stocks received through the application. The diagram below summarizes the steps that are involved in the orchestration module.

The second module of citizen registration allows citizens to register themselves for the vaccination program, either through the CoWIN website or through other government and private applications such as Aarogya Setu and UMANG. Registered citizens can select their preferred date, time slot, and vaccination centre. To circumvent the lack of digital access, the platform allowed four members to be registered using one user login, which was eventually extended to six once vaccinations for children were rolled out. Additionally, there is provision for people to walk into vaccination centres, where workers can register them on the platform. Registered users receive automated text messages on their registered mobile numbers regarding vaccination appointments, second dose reminders, and links to digital vaccination certificates.

The third module is the facility managmenet and vaccinator module which is used by vaccination facilities and officers, verifiers and supervisors at the vaccination centres to update the status of vaccinations in the backend. Vaccination facilities would update the schedules on a daily basis on the number of slots available for vaccinations based on the stock present at the facility. Additionally, sessions could be managed and tracked on a live basis using this module. Vaccinators would also record the event of vaccination using this module, after verifying the identity of the resident getting vaccinated.

Lastly, the fourth module, certificate, feedback and AEFI module, helps administrators issue proof of vaccination. The module also provides a feedback mechanism for vaccinated people to report and fix errors. It also enables reporting of adverse events following the vaccination using SAFEVAC, a surveillance system integrated with CoWIN.

As an additional measure of ensuring accessibility and inclusivity for all, the interoperability enabled by Co-WIN's architecture played an instrumental role. With access to different modules made available through protected and public APIs, various public and private organisations were able to join hands with government to leverage their existing IT infrastructure to contribute to the national vaccination campaign. **Image 5.2** below illustrates an example of how different organizations and applications could come



together to carry out an entire cycle of vaccination.

## Image 5.2 – Illustration of interoperability enabled by Co-WIN

The partnerships for various oragnizations were carefully monitored and processed through Co-WIN'S sandbox environment. Over 300 applications were received, out of which over 150 players completed integrations successfully.

Depending on the nature of operation of an organization's business, each entity integrated with different or all APIs made available. For instance, various discovery platforms and health-tech applications integrated with the citizen registration APIs to provide more fronts for citizens to view and book their vaccinations. State Governments and Hospital chains utilised the vaccine facility APIs, and travel and communication applications integrated with certification APIs.

## 6. Co-WIN's Impact

As of date, Co-WIN has over 1.1 billion citizens registered on it through various media. Over 2.2 billion events of vaccinations were recorded on the platform, without a single day of down-time. In the world of digital technologies, this is no ordinary feat. Below, Image 6.1 illustrates a view of the public dashboard made available to all stakeholders in the country.



Image 6.1 – Co-WIN Dashboard | Source: https://dashboard.cowin.gov.in/

This public dashboard enabled authorities and individuals to granularly monitor the coverage of India's COVID-19 vaccination campaign. With drill-downs available down to the district level, all stakeholders could evaluate the rate and coverage of vaccinations in near real-time. The dashboard also provided the capabilities to slice and dice the data based on a number of parameters, which included splits between the first and subsequent doses, by age and gender, and by vaccines brands. This degree of transparency enabled by Co-WIN is what allowed for equitable distribution and no rent-seeking behaviour to prop up for even a single day.

The strength of a technical system to sustain heavy traffic is often overlooked by the want of features and glamour of UI/UX. For a system that cannot afford to fail as real lives were at stake, Co-WIN's ability to sustain a traffic of hundreds of millions of users on a daily basis was truly commendable. For instance, when the portal was opened for the age bracket of 18 to 44 years, the platform was able to handle 13.7 million registrations, that translated in over 3 billion visits on the website, within 8 hours of being launched, as



illustrated in Graph 6.2.

Graph 6.2 – Internet Traffic observed on Co-WIN post launch of public registration portal

If evaluated from the lens of existing mass scale tech platforms, Co-WIN would stand out amongst all its peers who have the same volume of users. Co-WIN broke all records when it came to scaling every milestone of 100 million users. From registering 200 million users in merely 4 months to over 1 billion users in just 10 months, Co-WIN's robust engineering always marched from strength to strength.

The biggest feat achieved by the platform was on September 17, 2021, when it enabled the recording of 25 million vaccinations in a single day. While the true credit for this achievement goes to our healthcare and frontline workers (HCWs and FLWs), Co-WIN was able to withstand nearly 1% of all the internet traffic in India. This translated into 65,000 API hits per second. Such a high hit-rate could have sent the best of systems into a disarray, but the technological prowess of Co-WIN ensured that the efforts of our HCWs and FLWs in achieving the world-record of vaccinations in a single day was not hindered for even a single second.

## 7. Co-WIN's Global Acceptance and Beyond

The certificate module of Co-WIN was based on the indigenously developed verifiable credential – DIVOC (Digital Infrastructure for Verifiable Open Credentialing). The use of this cutting-edge technology in each of the vaccination certification ensured that not a single vaccination certificate issued could be tampered with.

As we all fought with the pandemic, this module of Co-WIN ensured that as socioeconomic activities were opened up in a phased manner, the residents of India could travel both domestically and internationally without any hesitation. With mutual recognition with over 130 countries, Co-WIN had received global acceptance, which allowed for the smooth travel of Indian residents. The first successful use-case of verifiable credentials at a population scale was made possible through Co-WIN. ensured thaWe have received interest from 76 countries thus far. 196 Officials from foreign countries and 116 private individuals from 41 countries have registered for participation in the Global Conclave on Co-Win, scheduled for July 5. In due course of time, we will also have information on the countries that formally showcase their interest in adopting the platform for vaccination in their respective countries.

Considering the success and maturity of the platform, the Government of India also made the technology of the Co-WIN platform open source to all interested governments and countries globally for free, till perpetuity. Since Co-WIN is a public digital good in India, and it was intended to be shared with other countries with the same expectation. For the same, the Co-WIN Global Conclave was conducted on July 7, 2021, which witnessed participation from over 141 countries and UN representatives.

#### **Appendix B**

#### PERSONAL INTERVIEW OF IMPLEMENTATION EXPERT - ON 06 FEB 2024

Personal interview of the Champion of Change, Implementation Expert, who has experience of serving in the National Health Authority and steering Ayushman Bharat Pradhan Mantri Jan Arogya Yojana (AB PM-JAY) and Ayushman Bharat Digital Mission (AB -DM) and who was intricately involved in conceptualizing, developing, and implementing CoWIN.

The content of the interview as was held is reproduced, verbatim for reference in the following paragraphs.

"Question: Kindly share with us the concept of cloud services implemented with respect to CoWIN?"

The cloud service provider is one part of the whole system. Cloud service providers are partners, but let us also understand that they are contractual partners. They will manage our data, provide security of our data.

Kai sare matlab aap sochiye jis din, humne dhai karod, vaccination kiye the use waqt transaction ki sankhya kitni rahi hogi ham logon, ne apne system ko, kareeb 45 hajar hits per second ke liye, ham logon ne usko kiya tha. Us din hamen pacchpan hajar hits per second, ho rahe the, aap yeh soch kar chaliye ki jis din dhai karod, vaccination, hua use din, dhai, karod ko agar aath, ghanta main, ke second se divide, kijiye, to it works out to thousand vaccinations per second pure desh mein. So un hajar. Vaccinations ko record, karna, aur usi samay query, bhi chal rahi thi appointment bhi chal rahi thi, aur log rasta bhi khoj rahe the. Basically, what I would credit the data center providers and cloud providers, is that system did not break down. Their cloud did not break down their cloud support. Their cloud supported the kind of speed, and we had AWS as the cloud, which I did not, and sort of took them, they were already there by the Health Ministry. They were there and UNDP was supporting the project. The second part is, basically that we must understand that software design is something, which is extremely important.

Design is the most critical part of the system. So we have to get, basic criteria, over actual principles, hamare kya the. But usme ek jo principal bahut, important hai ki software can be designed in two ways, rather three ways.

One is ki yae software hai, iska end user, jo public ko face karega, voh bhi, us software ka part hai aur jo backend hoga, voh, bhi us software ka part hai. Platform software ka part hai. Let us take it that, Amazon, is some platform, jo ki donon end uske, jo hai na, closed haen. Seller bhi us par hai aur, buyer bhi uspe hae. Amazon ka application, amazon

kae buyer ko dena hoga aur amazon kae seller ko bhi dena hoga, Amazon web kae dwara. So, basically they are interacting with amazon as a platform. That is one model.

Another model is a model where you put both the ends open. Which means buyer can be from any application, seller can be on any application. This platform provides only navigation. Ek prakar sae directions provide karta hae, ki khan sae kahan jana hae. Yh buyer ka ek querry hai, mujhe kis kis seller, ke pass bhejna, hai to voh matlab. Basically platform can be anything that is another part. So there is a, framework which actually is, basically doing a very minor work, doing a very minor work of just navigating, or sort of routing the signals or routing the queries to the relevant people and routing their response to relevant query makers.

"Question: Can we say that it is just like a kind of Uber system?"

No, Uber is a closed platform. ONDC is an open platform or open network. Now it is only a network. So put it this way, the mail jo hota hae, mail maein you can have many male joints. You can have many mail software's. You can send mail from any software to any software. If you are a rediffmail user, you can send a mail to gmail. Yeh jo hai na, this is network, as against platform. So ye jo network hai, this is another architecture, where both ends are open.

Humne jo COWIN banaya, consciously ham logon nae, it was closed on one end which is basically closed on the data end. Data, has to be at one place. Why has it got to be at one place? Because yeh data agar ek, jagah nahin, rahega to Punjab ka data ek jagah rahega. Punjab ka koi aadami, Rajasthan, mein, jaega, then we will not be able to do the interoperability pure desh mein. National portability nahin hogi to national portability karne ke liye hamen data, ko backend per ek jagah rakhna padega, right.

Janata ke liye koi application bnao, keval API, jo humne expose, kiya hai, humne jo protocol banaya hai, us protocol se hamare pass connect kar lo.

COWIN app is not an app, its an platform. We can build as many apps and today we have 100 plus applications which are using the published API. Okay, that is the architecture. So this architecture is at one end open architecture, towards the user end and other end is centralized architecture. Centralised architecture helps to ensure the data security, portability, centralized control and we can send SMS to persons and SMS does not require any application.

Again yeh jo communication humane establish kar liya, again through public means is aur, yeh possible nahin hota agar data hamare pass ek jagah nahin hota. Ham log, isko donon end par open kar sakte the, however than, there would have been a problem of, fragmentation of the data. Ab usko kahan per ekattha karte, security ki problem hoti.

Chain ki strength is actually strength of its weakest link that could have broken down. Ab man lijiye, ek aadami, ne ek jagah sae paytm se kiya aur dusri jagah dusre sae kiya, so

patym wala apna data apne pass rakhe hua hae to, ab koi kisi se kare, data humare pass hae, hum aab , we will be able to vein the data. So that's a very important point to understand, in the architecture. When we are making it open from the one end that is opening for lot of partners to be added. And reaching out to the masses. Not only that, and they don't have to download any new application. What I basically want to bring out is that, this is a collaborative work, where we are looking for the private sectors to come and they have come a lot. And we have given a kind of business to them.

Also here that, we are not controlling you, and we are not charging you for this thing. This also creates competition. Let's say google applies and Paytym doesn't, they will have to download another app. It also promotes innovation.

Additional services can be provided, let say on this logon, ke photographs bhi hain to vah fat se aapka parivar ka log photograph ke sath sath, apna certificate de dega ya bata dega ki achcha yah baccha jo hai , is din due hae. Kis kis ka kab due hae, provide kar dega, because they can also encode rules of business, ke bhai covaxin vaaccine lagaya, to covaxin ka sarkar ki taraf se time itna hai, to is tarikh ko lagaya tha. So there are many ways, yeh approach purely sarkari approach nahin hai yeh pure private nahin hai jo private ke sense, mein marketplace ko bhi address, karta hai competition kobhi, so it's a balanced approach.

"Question: It addresses, data security and other kind of public goods. If we take this lesson forward, can this kind of model be used for some other government services or some other platform?"

Yes, because basically look at it, Universal Vaccination Program, so in Universal Vaccination Programme kya hota hai, it is same vaccination, Polio ho gaya, ye Covaxin hae, it is same kind of thing kee vo registration kar sakte haen. कौन-कौन, se hospital karva raha hai, unka vaccination list. Pura immunization ho sakta hae. Bacche ko polio tab laga tha ab dusra dose ka time a gaya, reminder de sakte hain. Aap to bajaye teen drugs karne ke, aap barah essential drugs ka kar sakte haen.

UWIN hamne banaya tha, I don't know how it is working. UWIN kae char parts haen. First module was for hospitals, they can post vacancy and their time tables. Second module was for doctors. It became HMIS for the doctors. They can make their health management installation system, usmein apna time table publish kar sakte haen, callender par vedio consultation fix kar sakte haein.

We also made Doc Mitra, by NHA, which is for doctor couple, ek UWIN bana diya, jo ki universal vaccination, ke liye, use hoga.So is tarah se ham logon ne apne. जगह-जगह matlab, is prakar ki platform, धीरे-धीरे banana shuru kar diye.

To uska, ab bahut, si chijon mein upyog, mein ek bar. Jab ban jata, hai to uske bad idea. Aane lagte hain also be solved. This is just matlab ek. Prakar se acchi chij ban gai to fir acchi chij ban gai.

"Question: So what policy measures were taken to avoid disasters? To ensure recovery if any disaster happens and how do we even experience world over?"

I would only limit myself to COWIN. So in COWIN, what we were also doing is we were recording AEFIs. We made people sit for 30 minutes and recorded if any, so we had real time information.

Information, thi ki is particular injection, ko lekar, ke कहां-कहां per problem. Hua is prakar ka problem, hua to view also able to evaluate the efficacy of these vaccination. That is feedback.

Secondly, there were concerns about the wastage of vaccination, aapko, 10 vile mein, 10 logon ko, karna hai to kuchh log jo जार-जार, logon ko kar dete the, aisa nahin hai ki koi compromise kar deta hai to kuchh log aise, hote hai. Inventory ka management system bhi banaa, diya ki tumko itna mila unhen itne patient ka, kiya to automatically, vah compute karta tha kitna wastage en logon ne kiya, that also became measurable, you know monitoring tool, hamare, pass centrally, bhai kaun se pradesh. Mein kitna ho raha hai, kaun se centers mein kitna wastage, ho raha hai.

Ham logon ne ismein ek. Inventory ka management system bhi banaa diya ki tumko itna mila, apne patient ka kiya to automatically, voh compute karta, tha kitna wastage in logon ne kiya to that. Also, actually became a very measurable, you know, monitoring tool hamare, pass centrally ki bhai kaun se Pradesh, mein, kitna ho raha hai fir pradeshon mein, dekha to kaun se center, mein, kitna wastage, ho raha hai to ham logon.

In disaster we did AEFI, the cases were less and ICMR was monitoring the cases. There is a technical committee under Dr Arora, they would say ki kitna gap, hona chahie, kaun si hai efficacy. Vo log is data, ka adhyayan, karke batate the ki, kya matlab. Kuch death bhi hui thi, they would analyze, but we provided the data.

"Question: Coming to a few incidents though, we are sure about our systems, but the public at large has got a little concerned also because of reporting of privacy concerns or data security concerns. So, to repose their faith, more so these may be fake may be there because of this news, which keep coming up and many rebuttals would have also been issued. But, what do you suggest, sir? How do we continue to engage the public, so that their faith stays and they are very open to using such systems in future also?"

Firstly I will say that data security, we take very seriously and isiliye jo aajkal ke jo cloud providers hain, they are not only storage platforms, they also provide other services which are connected to data security. For example, if data is leaked, then the data will be

downloaded in bulk, ultimately koi, aadami, ek, aadami ka data download karke एक-एक, banaega systems, jo banae hue.

The system made available by the professional cloud service providers, that one cannot download any bulk data. Jab kisi logon ne. Kaha ki 15, karod logon ka data web dark web, mein, uplabdh hai, to the first thing I reachedout to the amazon web services.

Kaha tum batao kee tumhare yahansae kitna data leak hua hae? They confirmed of no download. Jo aadami aaya koi usne, kaha bhai sahab mujhe jo hai ab mujhe lagana, dusra dose to uska data aaega ek. 1%, to 1% ka, 1% ka data, lekar, kya karoge.

Issi liyae we have been very careful, number two, if your data gets leaked, like, mein ramsevak sharma, ne 26, tarikh ko falane hospital mein jakar Covaxinli, aab aap kya bigad logae gae.

I am not using problems will come to phone number. Ke liye vah phone number bechne, ka, kam log karne, lage, marketing, karne, lagenge, to isliye. We are very conciscios, data leakage ka koi, prashan nai hae.

"Question: Are there any future or ongoing measures for better cost management?"

Cost optimization, if you put the data offline, however, if you go to USA, data will not be available. It will cause some kind of inconvenience. You have to figure out the balance between the convenience and cost. Our systems are very frugal systems, ham logon ne koi, aisa nahin hai. Yah jo banaya gaya software by the way. For your information, it was made by a very small team of 15, 20 people 5 log mere, the 10 log software.

Wale the voh kar diya apna paanchon. Maine apne matlab mujhe kaha gaya to maine panch. Logon ki teamen banai thi uske bad, hi khatm mamla, to isliye, cost effective, ne ismein koi problem to already cost effective chalte, hain ki cost effective.

"Question: Sir, for the regulatory compliances and over sights, there would be certain mechanisms which are there to regulate private service providers, hamare sath private apps, API sae jude haen, unse bhi koi apaekshaen haen, so is there any systems for the same?"

Ek baat to ye hai ki, ham logon ka, jo communication hota hai, jo provider, hote hain, usmein, bahut, simple baat hai ki, ham log pahle, dekhte, hain ki aapane, apna application, jo banaya, hai uski, jo API mechanism hai, voh bilkul, sahi hai ki nahin hai.

Yes, that is number one. Agar voh sahi hai to, ham unko, key dete hain. Okay, to jab, bhi voh, aapse communicate karte hain to usko, key, ke sath communicate, karte hain. So that's the communication has come from authenticator x, right? So these are all authenticated communication, back and forth right. Aisa nahin hai ki koi, bhi aadami API

ka bus code, lagakar hamare system, mein ghuskar ke hamara system, se ham usko data expose Karen ge. Open API ka matlab ye hota hae, but open API, also does not mean that anybody can, you know, query my system open API, also does not means that anybody can query my system. Open API, only means that you can see the code for API.

This is the way it is to be done, this is the protocol, but then protocol also involves sending your key. So you will send communication, which is encrypted by your private key. Which i will and decrypted and then understand that it is come from him, not from anybody else.

I know the source of queries. So the API, authentic API is one of the ways to ensure that you can ensure security that you are only talking to the authorized sources. And from the service providers point of view, like these cloud service providers, they are maintaining the data, they are maintaining, the security.

So how do we in the presence system? What is your present system? There are tools available, which actually can prove that the data cannot be touched by the service provider matlab. Either cloud service, provider data cannot be seen, suppose kar lijiye aap data ko hamesha jahan bhi.

Ab aap dete, hain to aap apne private, key se encrypt karke data .Key can open the data so it is a two key. So basically it's a public private key. this is PKI system.

You can always ensure the nobody is able to read the data, not even the cloud service provider.

Encryption can be done by hardware modules, there are a number them, to do that. So there are because they would lot of private hospitals and private or entities. Also, they were doing also providing services through your system and now they were, they may be also maintaining the similar duplication of data with them.

Also, at the same time, well, that that is something which you cannot stop. Because ultimately, if somebody goes a patient, goes to some place, the doctor will make that data. Of course, that is not something which is, i mean, that they have the responsibility to guard the data.

Yeh alag baat hai ki bhai kisi ka maan, lijiye pahle, number to 100000 hospital kam kar rahe hain aapke dusre number, jis hospital, mein pahla dose diya, usne dusra nai diya, ye merae pass dat a nai hae. Hospital has small fragmented data.

When many hospitals joined vaccination, people started going there, then next time they are likely to go their again. So it was a win win for them also.

"Question: Now as we go ahead, standardization, and from this point of view, so that as you centralized data is kept, what would you like to say?"

Centralised data has to be there, number one, number two, ek, kam ho sakta hai jo aajkal new technology sae, by using web three technology, also called as block chain. By this the data is kept in the distributed ledgers and in encrypted form.

Obviously there is a need for ensuring data security. Data security can be done through contractual methods with the cloud service providers or through other means.

Data security has to be there and you should use latest data technology whether it is technology of encryption or whether it is, whatever the technology is, but that you should continue to use and continue to improve.

"Question: Sir now the CoWIN System is placed and it has pan India coverage and you are also going for the national health system which is coming up national health system. The bigger system which is going to be rolled out would pick leads from the experience gained?"

Yes, UWIN, universal vaccination program? Lot of lessons from here, practical are being implemented there. How it is being useful for the ABHA and other IDs, and other ideas? We are creating usme and see ABHA is very important, hamara jo framework hai Aayushman Bharat, distribution ka voh framework jo hai.

Jab, jab aap interaction, karte ho hospital, se aur जब-जब, ABHA se link karte ho to jo record vahan rakha hua, hai, us record ka, URL and ABHA number hamare, pass matlab , ABDM ka central repository, usmein jata hai we don't, store, the record per se, okay, but we store the link to that record.

Okay to ek aadami ka, man, lijiye 10 record, 10 hospital, mein, upasthit hai. Voh aadami jata hai doctor ke pass, doctor kehta hae ki purani report kahan hae , to ek tarika to yeh, purani report, jo rakhi hai vo dikla do,

Secondly, he can direct the framework, to fetch all his records from various hospitals and make it available on doctors mobile. So, that is that authority is given to individual only to fetch all that information, not to the service provider or the doctor. Yes that authority is with the individual. Individual kya karega ki individual apna digital consent behje ga. Ek consent token , digital token will go and will be matched.

So that consent token can be given it all happens digitally, matlab maine, likh kar diya token sharma, ji ne bheja hai, ab, bheja hai to immediately, spread out to all the URLs, ki bhai udhar se hatakar yahan per le aao. So data is not kept in one place but data is realizable to a doctors device or my device on my command.

The basic principle is that the patient, who is the owner of the data, not the doctor, or not, the hospital.

"Question: How has this COWIN experience been brought into ABHA?"

Yes, COWIN has got into ABHA. ABDM dashboard has everything, you can open this website. Then you can see, kitne link records have been created. Gaye ab tak kis hospital ne kitna kiya, kis partner ne kitna kiya. Sara data is available real time.

But usmein agar hospital, apna data, remove kar deta to kamjor ineffective ho jae ga? No, they cannot delete the data, once you have linked, the URL comes, that linking process itself is done with the help of the basic MIS belonging to the hospital. The hospital has agreed to participate in the ABDM architecture, because hospital ko faida hae. Agar Apollo ke doctor ko dekhna hai, patient to keval Apolo ke, hi record nahin, balki, Max kae bhi report uske pass aana chahie. So it is mutual sharing. If you don't want to share your record, nobody will share your record. So basically you have agreed to participate in the ABDM framework. Than you have to agree that my record will be made available at the patients command anywhere, the patient asks them to be.

"Question: Sir. It's a matter of great honour for us to be with you today. And we all have realized how are nation has actually is undertaking transformation using digital technology and COWIN, is one of the outstanding example world over wherein our nation has even gone to an extent of offering this to the nations worldwide. It's a great honour sir and it is one of the achievements, which would pave for future growth as well, sir, whatever you would like to tell us, as we say, see, and our way ahead sir?"

I would like to say that India, under our leadership of our Prime Minister has really taken a leap in undertaking digital transformation. I must say that, our Prime Minister is one of those few persons who understand technology and its applications for delivering common goods. That's very important, it is his thrust which has actually given these various UPI, ADHAR's accelerated applications, than ABDM, Ayushman Bharat Digital Mission.

Then, now Open Network for Digital Commerce, multiple steps, which we are taking, which is actually taking India, into a new level at the digital technologies and digital proliferation's. So that is something which has happened. And i am sure that because of the India's approach of digital public infrastructure, and digital public goods, we are doing something which is scalable, which is frugal, which is inter-operable, which is open architecture, which is based on open standards. All these good things which are actually the basic principles of software design and development, they are being applied in our country and therefore I have no doubt that we are going to, you know, climb more and more steps in near future. Some of the countries which have taken this lead to from us further.

Yes on 05 July 2021, the PM had a conclave, where 147 countries participated and many of them were participated by their ministers. Ministers participated, they have learnt and ultimately it's not a question of taking, because ours is nothing secret, ours is not a proprietary stuff, ours is something based on open architecture and once somebody understands the architecture, you can design it yourself.