## **Ethical Issues in Administration**



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#### Welcome to the session on Ethical Issues in Administration

21<sup>st</sup> Century public services are confronted with new reality of "reinventing government".

New challenges and constraints faced due to globalization process.

World over countries have pursued state reforms based on greater emphasis on market competition, non-intervention, debureaucratization, and corporatization, which has critical impacts on public accountability.

•Today nations are required to adjust their domestic policies to serve the global economic and political scenario.

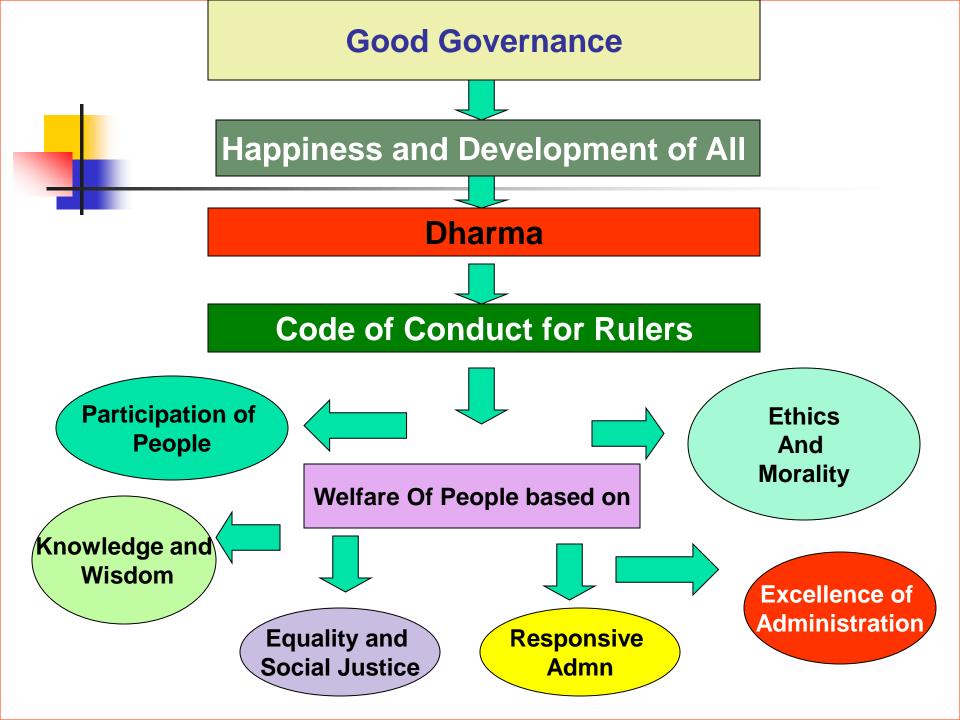
#### Conti.....

- The public management reforms have raised complex questions about values and ethics
- The pertinent question here is whether public service ethics and the forces of reinventing government are fundamentally at odds - representing a clash of values –
- In the present result oriented public management environment, there are an increasing number of "gray areas" where there are relatively few guidelines on actions by public servants and hence there is need to provide clearer ethical frameworks

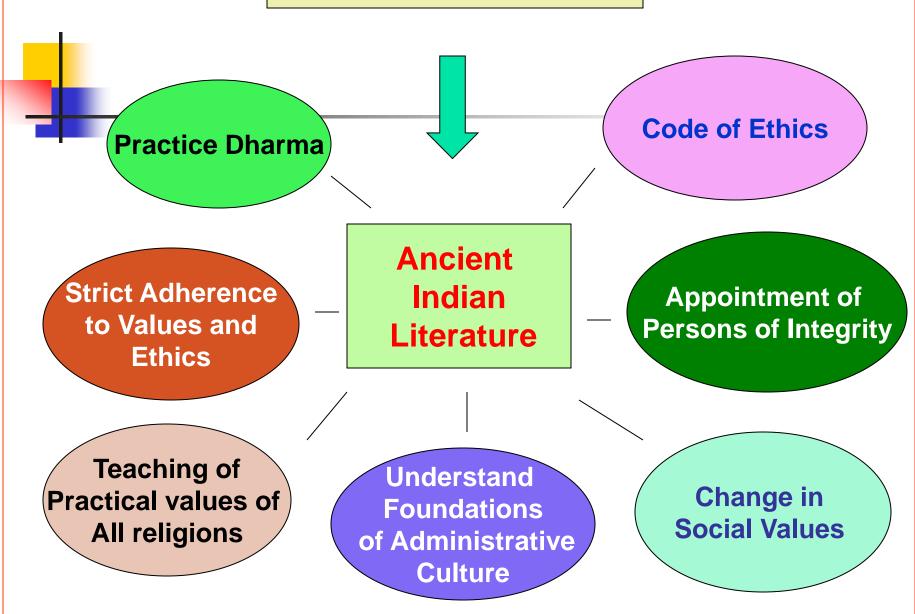
#### **Evolution of Morality**

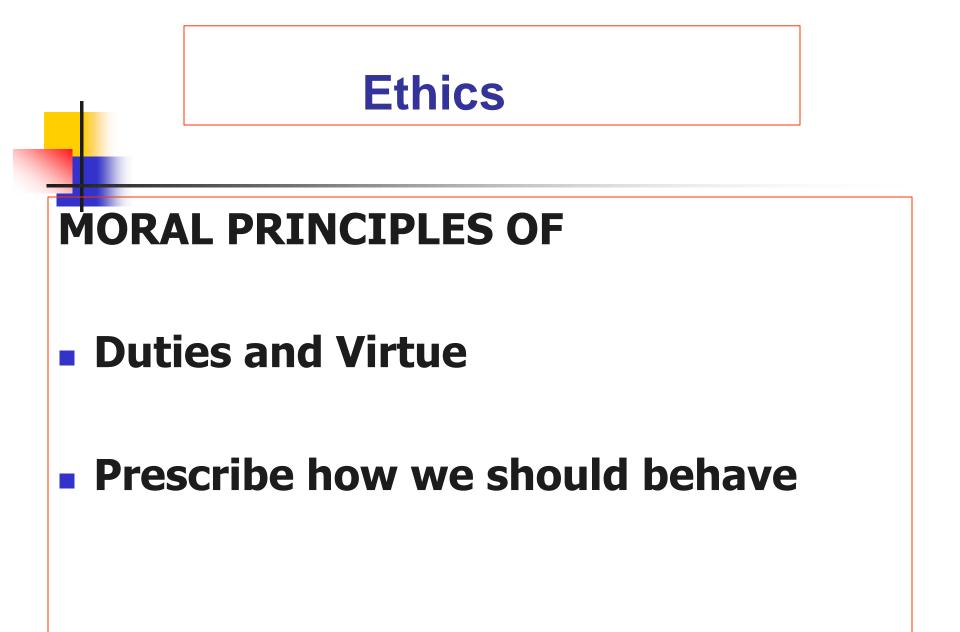
"...we know that as knowledge comes, person grows, morality is evolved, and idea of non separateness begins. Whether men understand it or not, they are impelled by that power behind to become unselfish. That is the foundation of morality. It is the quintessence of all ethics, preached in any language, or any religion, or by any prophet in the world..."

-Swami Vivekananda



#### Administrative Ethics





## **Ethical Choices**

What you find is that the tough ethical choices are not between good and evil, but rather between two goods:

- Truth versus Loyalty
- Individual versus Community
- Short-term versus Long-term
- Justice versus Mercy

#### Values

- Values are important Beliefs and Desires
- They Motivate Actions, and
- Shape attitudes



### Values Different Than Ethics

#### VALUES All Important beliefs (eg., Health, Wealth)

### ETHICS Beliefs About Moral Right and Wrong

## Elements of Public Service Ethics

#### Accountability

- Follow laws
- Obey authority
- Strive for efficiency, economy, effectiveness

#### Ethical behavior

- Adherence to standards
- Set sound standards
- Avoid even the perception/appearance of wrongdoing
- Help create an ethical environment

#### **Elements of Public Service Ethics**

#### What to control?

- Fiscal controls: legal expenditures
- Process controls: waste, fraud, abuse, mismanagement to achieve efficiency
- Program controls: effectiveness, achieving goals of annual and strategic plans

## **Ethics in Administration**

Ethics in Administration generally refers to the moral values, principles, codes of behaviors, cultural and righteousness of public servants

Values are those ideals of conduct the practice of which is admired by the people in general

#### **Principles of Public Service Ethics**

#### Public Interest : Advance Public, not Personal Interest

- Objective Judgment : Decisions on Merit, Free of Partiality, Prejudice and Conflict of Interest
- Accountability: Conduct Business openly, efficiently, equitably, honorably
- Responsibility: Safeguard Public Confidence by avoiding appearances of impropriety and Misconduct

#### Second ARC – Fourth Report Ethics in Governance - Jan 2007

- Ethical Framework for Ministers
- Enforcement of ethical norms in Legislatures
- Code of Ethics for Regulators
- Ethical Framework for the Judiciary
- Code of Ethics for Civil Servants
- Liability of Corrupt Public Servants to Pay Damages
- Constitutional Protection to Civil Servants Article 311 of the Constitution should be repealed.
- Reducing discretion
- Protecting the Honest Civil Servant
- Protection to Whistleblowers

The Committee's First Report in 1995 established The Seven Principles of Public Life, also known as the "Nolan Principles". They were:

- **<u>Selflessness</u>** Holders of public office should act solely in terms of the public interest. They should not do so to gain financial or other benefits for themselves, their family or their friends.
- **Integrity** Holders of public office should not place themselves under any financial or other obligation to outside individuals or organisations that might seek to influence them in the performance of their official duties.

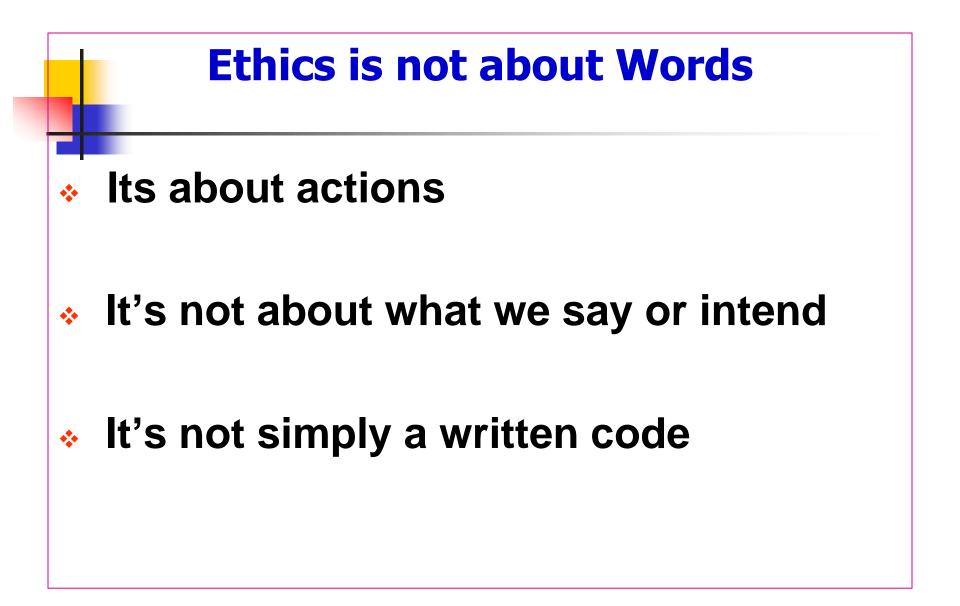
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**Objectivity** – In carrying out public business, including making public appointments, awarding contracts, or recommending individuals for rewards and benefits, holders of public office should make choices on merit and facts not on personal judgments

 <u>Accountability</u> – Holders of public office are accountable for their decisions and actions to the public and must submit themselves to whatever scrutiny is appropriate to their office.

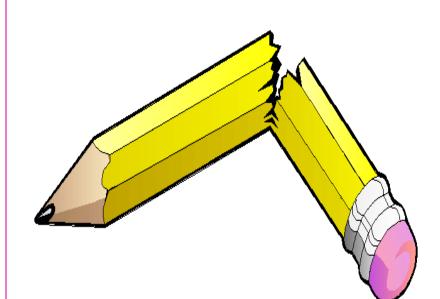
- <u>Openness</u> Holders of public office should be as open as possible about all the decisions and actions they take. They should give reasons for their decisions and restrict information only when the wider public interest clearly demands.
- Honesty Holders of public office have a duty to declare any private interests relating to their public duties and to take steps to resolve any conflicts arising in a way that protects the public interest.
- <u>Leadership</u> Holders of public office should promote and support these principles by leadership and example.



- There is a big difference between what you have a right to do and what is right to do
- Most of the time we know what we should do
- The real test of ethics and character is whether we are willing to do the right thing
- …… Even when it is likely to cost more than we want to pay

## **Distinguishing Dilemmas**

- Moral Dilemma ;
   Not knowing the right thing to do
- Organisational Dilemma ; Being under pressure to do the wrong thing



## **Enemies of Integrity**

- Complacency
- Self Delusion
- Rationalisation
- Survival Syndrome
- Win at any cost
- Misplaced loyalty
- Undervaluing Integrity
- Unaccountability
- Arrogance of Power

## Complacency

## It Can't Happen Here Our People Always Do What's Right



#### Everyone is Ethical in His or Her Own Eyes



## **Doctrine of Relative Filth**

## I'm not so bad as long as others are worse

## Rationalisations Classical Fallicies –it's not unethical if ...

- It's for a good cause
- Its legal
- Everyone does it

- Others are a lot more worse
- I don't get caught

- Its not for me
- Its necessary to do it
- I'm just following the orders
- I', just fighting fire with fire
- No one is really hurt

**Misplace Loyalty** 

## Cats are loyal to the house



#### Dogs are loyal to their Masters



## Win At Any Cost

# If you are not willing to lose, you have to be willing to do anything it takes to win

## **Undervaluing Integrity**

## Most of us overestimate the cost of doing the right thing

# And underestimate the cost of failing to do so



## TWO WRONGS DON'T MAKE A RIGHT, BUT THEY MAKE A GOOD EXCUSE

## No Easy Answers --But if in Conflict

- Get the big Picture
- Document the Facts
- Get Help
- Decide what to do
- Take Action
- Evaluate

## WE JUDGE OTHER PEOPLE BY THEIR ACTIONS,

#### AND

#### WE JUDGE OURSELVES BY OUR INTENT

#### WHEN YOU DECIDE TO FIGHT FIRE WITH FIRE .....

### ALL YOU GET IS THE ASHES OF YOUR OWN INTEGRITY

## THE RAT RACE

THE PROBLEM WITH THE RAT RACE IS THAT EVEN IF YOU WIN, YOU ARE STILL A RAT



## **Ethics and Organisational Excellence**

Three important components in an organisation are:

- Men (Human Element)
- Money
- Material

Men (Human Element) more than any other factor determines the quantity and quality of the performance and output

- Human Excellence is based on Human Strength and not weakness. Strength is essentially goodness
  - Human Excellence depends upon the will power of human beings, which is basic to excellent personality development
- Will is the motive power, driving force and takes human beings to a higher plane and strengthens spirituality and character
- An appropriate blend of moral qualities and mental attitudes becomes an essential ingredient for moral government and administration.
- Such a Mixture will strengthen the basis of legitimacy and effective government which is found on Public trust and Confidence

## Focus on Three "C"

- **Customer/Client/Citizen**
- 1. External
- 2. Internal
- Culture
- **1.** Shared Values
- 2. Trust

Capacity for Continuous Improvement



## YOU ARE THE MOST VALUABLE RESOURCE

## **TOGETHER WE CAN;**

# SUPPORT ETHICAL CULTURE MODEL ETHICAL BEHAVIOUR

## The important thing is not to stop questioning.

## <mark>Albert Einstein</mark>



## " Best way of learning Is Ability to Question"

#### Quiz

1.Which Dilemma is it when we are under pressure to do the wrong thing?

(a)Ethical Dilemma
(b)Moral Dilemma
(c)Organizational Dilemma
(d) Both (b) and (c)

#### 3.What is the toughest ethical decision?

a)Between good and bad(b)Between two bads(c)Between two goodsd)Both (a) and (c)

### 2.Who said "the important thing is not to stop questioning"?

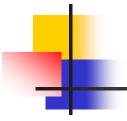
(a)Mahatama Gandhi(b)Swami Vivekanand(c)B R Ambedkar(d)Albert Einsten

4. Which report of second ARC talks about Ethics in Governance?

a)First b)Second c)Third d)Fourth



- Second ARC Fourth Report, Ethics in Governance, <u>https://darpg.gov.in/arc-reports</u>
- Ethics, Society and Good Governance-Need for Systemic Reforms ,Mamta Pathania, IJPA Special Issue on Ethical Governance and Society, July –Sept. 2013, New Delhi. ISSN No.0019-5561,July-Sept,2013(Vol. LIX No.3)
- Morale and Work Ethic in Public Administration, Public Administrationin India, Padma Ramachandran, National Book Trust, 2013



## THANK YOU